National Securities Depository Limited



June 20, 2024

<u>Disclosure under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015</u>

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), this is to inform that SEBI vide letter dated June 19, 2024 had issued a warning to the Company.

The relevant details required in compliance with the Regulation 30 of SEBI Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are mentioned in **Annexure** and the same is enclosed herewith.

For National Securities Depository Limited

Pratik Punjabi

Chief Financial Officer



Annexure

Disclosures under Regulation 30 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD- 1/P/CIR/2023/123 dated July 13, 2023.

Sr. No.	Particulars	Details
1.	Name of the Authority	Securities and Exchange Board of India (SEBI)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	SEBI vide its letter dated June 19, 2024 has issued warning/Deficiency/Advisory based on the onsite inspection conducted for the period from October 01, 2022 to July 31, 2023.
		SEBI vide the said letter has inter-alia stated to be careful in future, strengthen internal control systems to avoid the recurrence of such instances, and take corrective actions, where required.
3.	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	June 19, 2024
4.	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Pursuant to the completion of the onsite inspection, SEBI observed instances of non-compliances with certain SEBI Regulations and Circulars.
5.	Impact on financial, operational or other	There is no impact on the financial, operational or other activities of the Company.
1 ×	activities of the listed entity, quantifiable in monetary terms to the extent possible	