

June 11, 2024

Disclosure under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), this is to inform that SEBI vide letter dated October 30, 2023 had issued a warning to the Company.

The relevant details required in compliance with the Regulation 30 of SEBI Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are mentioned in **Annexure** and the same is enclosed herewith.

For **National Securities Depository Limited**



Alen Ferns
Company Secretary

Disclosures under Regulation 30 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD- 1/P/CIR/2023/123 dated July 13, 2023.

Sr. No.	Particulars	Details
1.	Name of the Authority	Securities and Exchange Board of India (SEBI)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	A warning has been issued in relation to providing link in the Consolidated Account Statements to enable investors to convert their mutual funds units held in physical form into demat form. The Company has responded to the said letter on November 3, 2023.
3.	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	October 30, 2023
4.	Details of the violation(s)/ contravention(s) committed or alleged to be committed	SEBI had observed non-compliance with SEBI circular no. CIR/MRD/DP/31/2014 dated November 12, 2014 by the Company.
5.	Impact on financial, operational or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no impact on the financial, operational or other activities of the Company.