

June 11, 2024

Disclosure under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), this is to inform that SEBI vide letter dated August 02, 2023 had issued a warning to the Company.

The relevant details required in compliance with the Regulation 30 of SEBI Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are mentioned in **Annexure** and the same is enclosed herewith.

For **National Securities Depository Limited**



Alen Ferns

Company Secretary

Disclosures under Regulation 30 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023.

Sr. No.	Particulars	Details
1.	Name of the Authority	Securities and Exchange Board of India (SEBI)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	A warning has been issued in relation to allotment of unique client code to clients, whose KYC records had not been validated by KRA. The Company has responded to the said letter on December 18, 2023.
3.	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	August 2, 2023
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed	SEBI had observed non-compliance with SEBI Circular no. SEBI/HO/MIRSD/DOP/P/CIR/2022/46 dated April 6, 2022 by the Company.
5.	Impact on financial, operational or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no impact on the financial, operational or other activities of the Company.