

NSDL's e-Services for Investors



Indian Securities Market - Overview



Starting Investment in Securities Market

Accounts Required



Bank Account



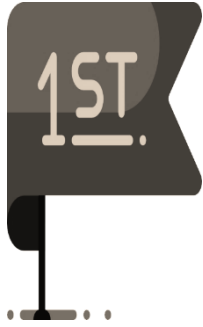
Trading or Broking Account



Demat Account

Many DPs offer a 3-in-1 account opening facility which gives you the convenience of opening a trading, demat and bank account – all together. Now many DPs are offering online demat account opening. Trading or Broking account is required only if you want to buy / sell shares through stock exchange.

About NSDL



1996

First Depository
in India



One of the leading Depositories in
the world

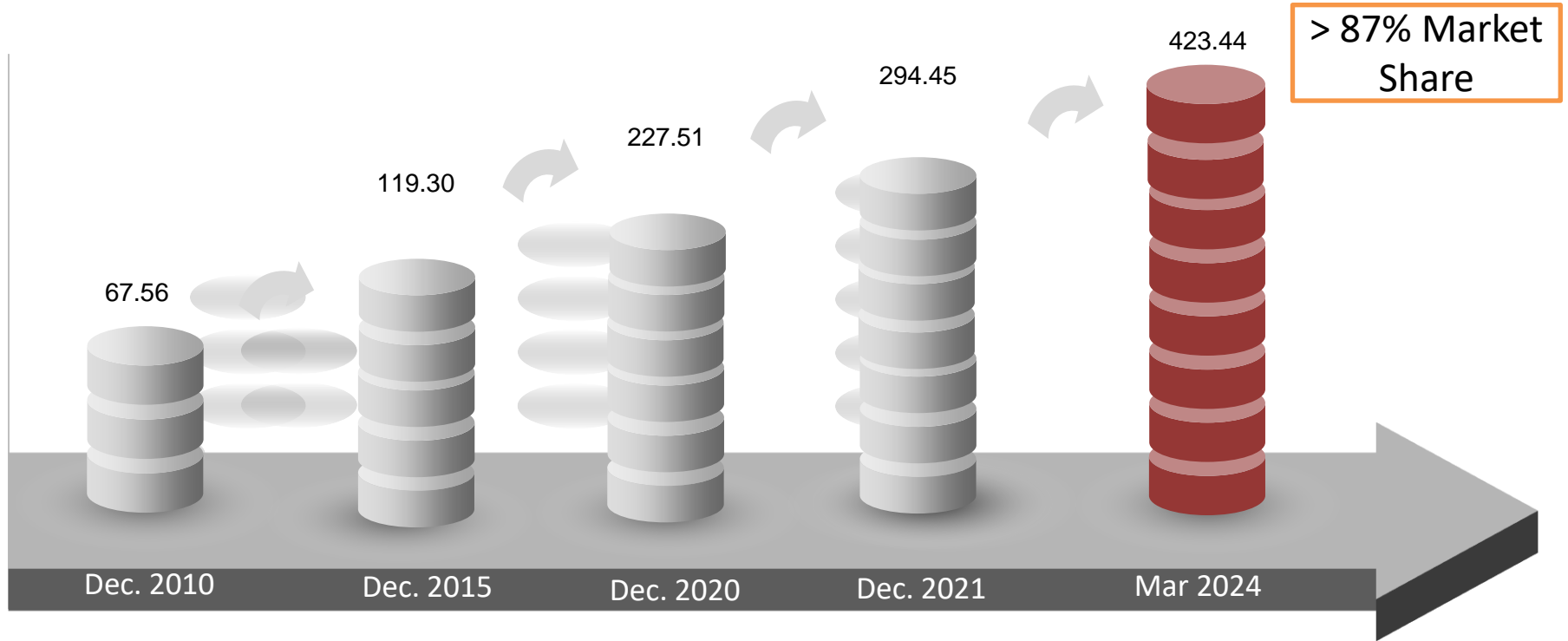


Demat accountholders present
in 99.27% of pincodes in India

Wide service network – all States
and UTs

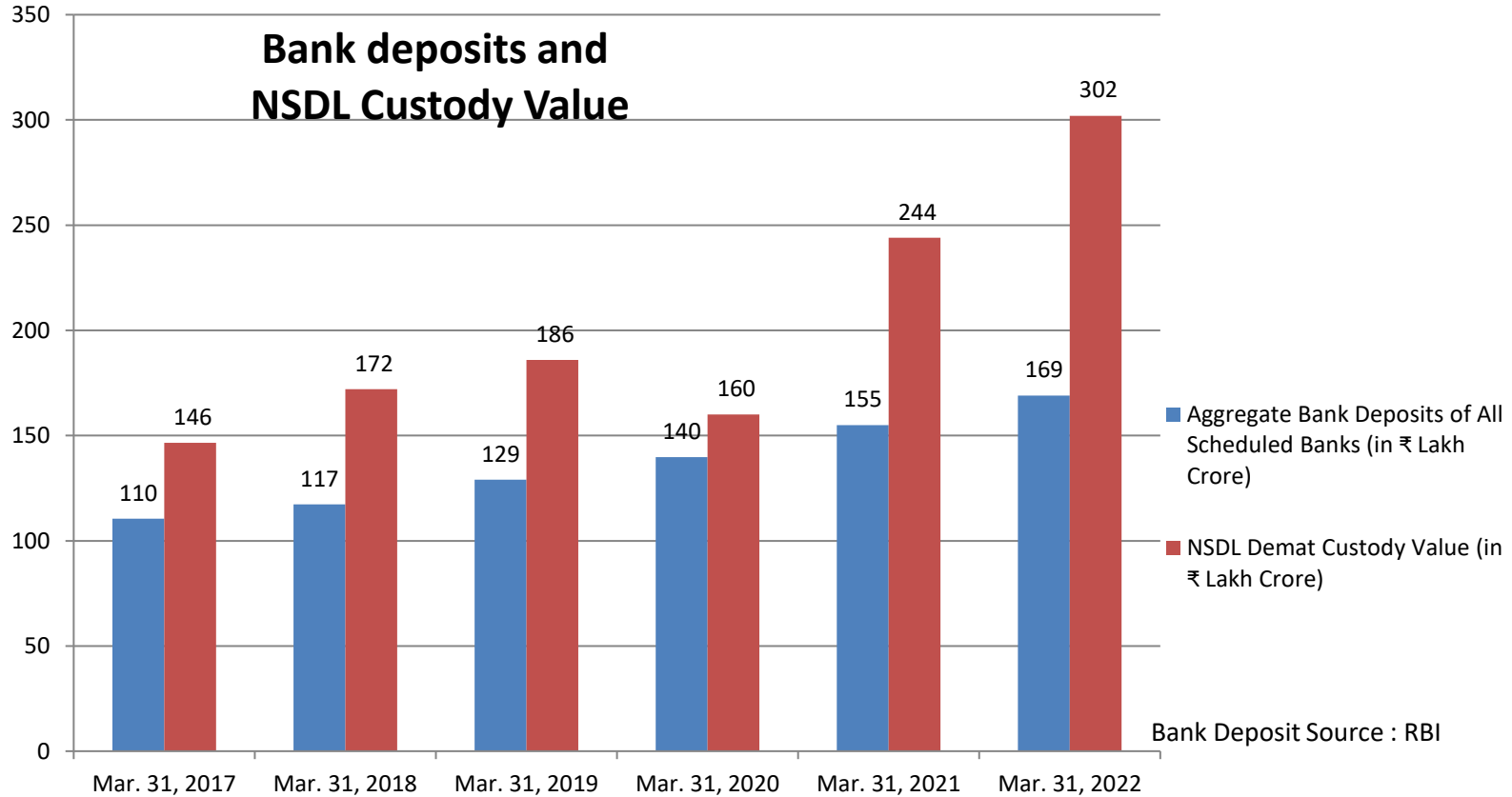
No. of Service Centres – 61,298

Growing Custody Value

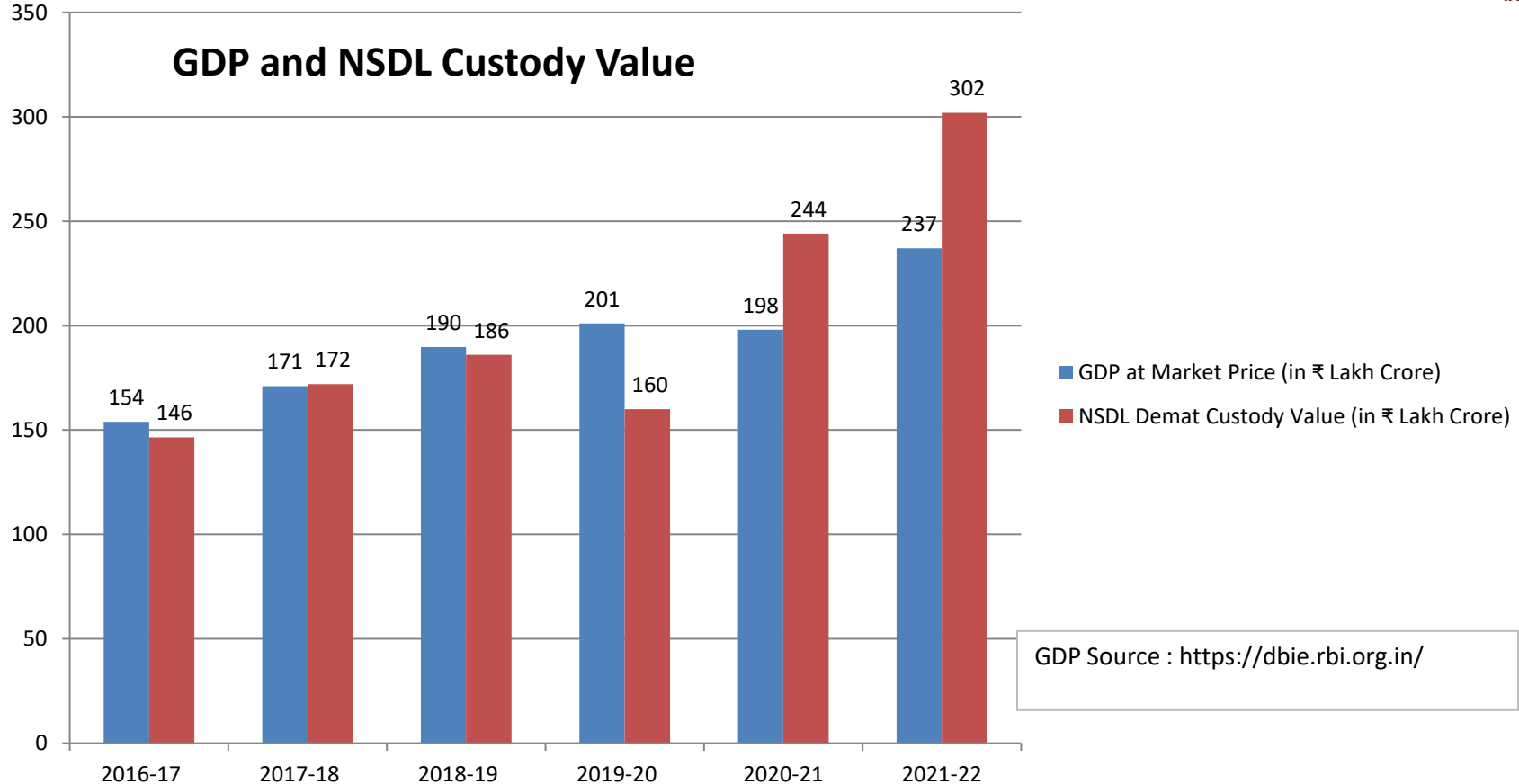


Total Value of All Assets Held In All NSDL Demat Accounts in ₹ Lakh Crore

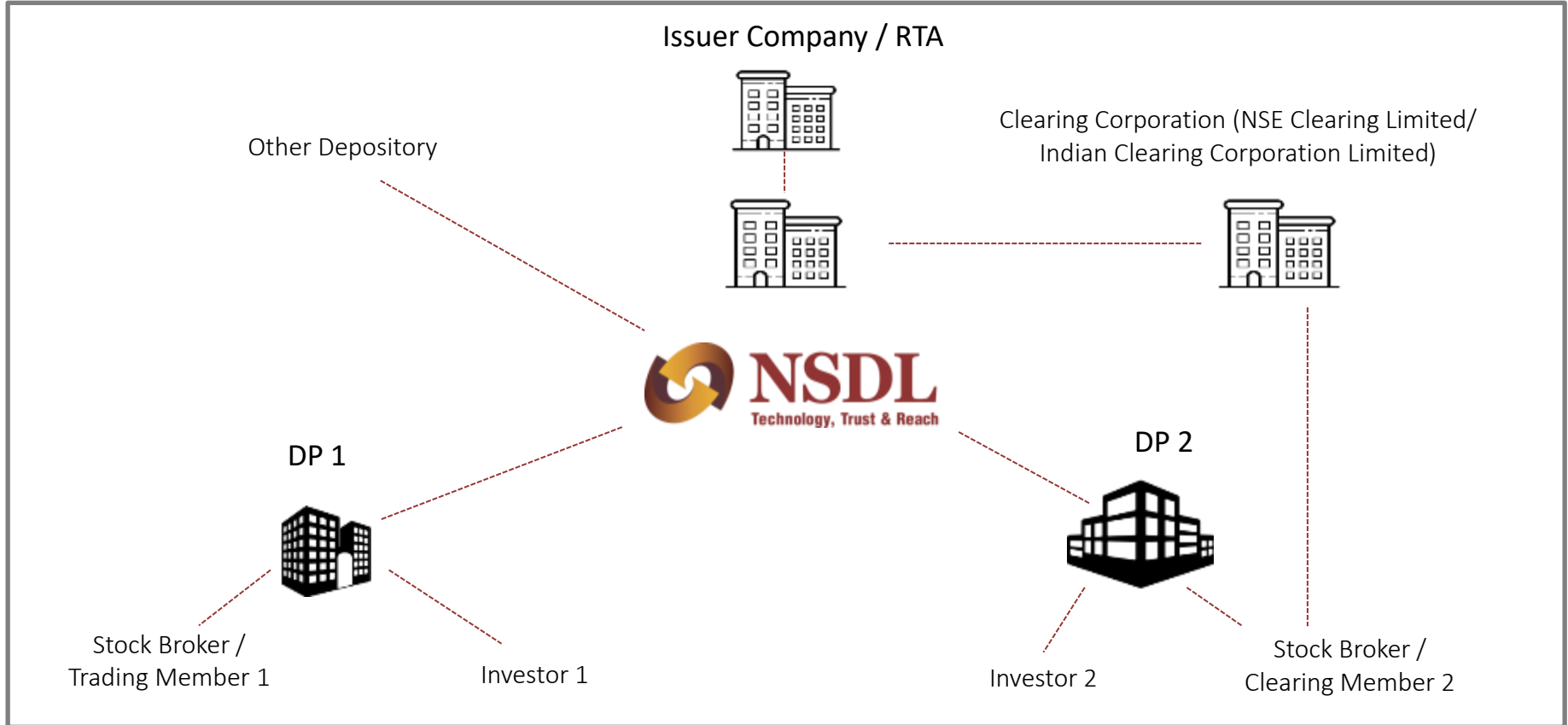
NSDL's Progress



NSDL's Progress



Indian Securities Market - Depository System



Services offered by Depository Participant



*Even unlisted shares can be dematerialized. **Transfer of securities held in physical form not allowed w. e. f. April 1, 2019. Re-lodgement of transfer deeds which were submitted prior to this, but were rejected or returned, was allowed till March 31, 2021. Shares pending for transfer with listed company / its RTA shall be issued only in demat.

Key points on Anti-Money Laundering

Legislative and Regulatory References

- Prevention of Money Laundering Act, 2002
- Prevention of Money Laundering (Rules), 2005
- SEBI Master Circular – October 15, 2019

What is Money Laundering (ML)?

- Money Laundering is “the process in which the proceeds of crime are converted and disguised as legitimate assets”

What is Anti-Money Laundering (AML)?

- Anti-Money Laundering is “the initiative to effectively prevent, detect, control and report ML activities to the authorities”

What are Suspicious Transactions?

Transactions (including attempted transactions), that may involve proceeds from a predicate offence, do not have any economic rationale, made in circumstances of unusual or unjustified complexity or gives rise to a reasonable ground of suspicion that it may involve financing of activities relating to terrorism

Key Authorities – Financial Intelligence Unit (FIU-IND), Ministry of Home Affairs and the Enforcement Directorate

Key points for all Investors

Individuals:

- Provide officially valid documents for proof of identity and address
- Furnish correct information on income, occupation, business, marital status, etc.
- Provide accurate financial information – salary or business income as applicable
- Inform about any changes to the above information
- Invest only using own sources of funds, not third party funds
- Do not fall prey to any gimmicks or schemes from anyone

Non – Individuals:

- Provide officially valid documents for proof of identity and address
- Furnish information about Beneficial Owner(s), where applicable
- Furnish all other related documents (AoA, MoA, Partnership deed, ASL, etc.)
- Provide correct information about nature and type of business
- Changes to the above information may be duly informed to DPs

Cooperate with DPs who may seek any additional information for due diligence

Be diligent and aware about various money laundering schemes

Contact your DP or broker in case of any doubts

Be a Prudent Investor



Keep record of documents signed, account statements, contract notes received and payments made. Save important emails and take periodic back up of data.

Change password of your online accounts frequently.
Never share your passwords, OTP, CVV and PIN with any one.
Never click on suspicious links received via SMS, email or social media platforms.

Read SMS, emails, letters, statements, bills sent by your broker, bank, DP and NSDL. These are sent to you to keep you updated.

Accept the DIS only if serial number is pre printed and Client ID is pre stamped or pre printed. Keep DIS in safe custody. Do not to leave the DIS “blank or signed” with the Depository Participants (DPs) or any other person/entity when not in use.

Be a Prudent Investor



Inform your DP about any change in your Personal Information such as address, bank account immediately.

Always mention your Mobile Number and email ID in account opening form and keep them updated.

NSDL publications and presentations are available in Hindi, English and other languages at www.nsdl.co.in → Education

Schedule of Awareness Programs, Registration, Feedback and Webinar Recordings are available at www.nsdl.co.in → Education

Be a Prudent Investor – Don'ts



Never deal with any unregistered or unauthorised market intermediary. Check on the SEBI's website that your stock broker / authorised person, mutual fund distributor, investment advisor are registered with SEBI.

Never invest with borrowed money. Avoid unnecessary loans and credits.

Be a Prudent Investor

**Nominate Karo - Surakshit, Samajhdaar,
aur Atmanirbhar Niveshak Bano !**



Hurry!! Last date is

June 30, 2024

NOMINATE YOUR LOVED ONES TODAY!!

**Opting-in/Opting-out of nomination is mandatory in your
Demat Account to avoid your account becoming inactive**



SCAN TO
KNOW
MORE



SCAN TO
NOMINATE
ONLINE*

*Applicable to single demat account holder (Resident Individual) who have not opted-in/opted-out for Nomination in their demat account.

Be a Prudent Investor

Do Not Fall Prey To Stock Tips, Hot Picks etc. Circulated on Twitter, Instagram, WhatsApp, Telegram, Unsolicited SMS, YouTube Channels etc.



Be a Prudent Investor – Consult a SEBI Registered Investment Advisor and Do Your Own Homework Before Investing

Be a Prudent Investor

Do Not Invest In Any Ponzi Scheme, Unregistered Collective Investment Scheme or Any Other Schemes Promising Unrealistic Returns



Be a Prudent Investor – Consult a SEBI Registered Investment Advisor and Do Your Own Homework Before Investing

NSDL Newsletter for Investors

The Financial Kaleidoscope – Free monthly newsletter

**Invest in Women,
Accelerate progress**



www.nsdl.co.in



Education



Newsletter – The
Financial Kaleidoscope



<https://investor.sebi.gov.in>



Dive into Money Matters to grasp Personal Finance concepts.



Abundant Educational Resources, related to investments, including securities market



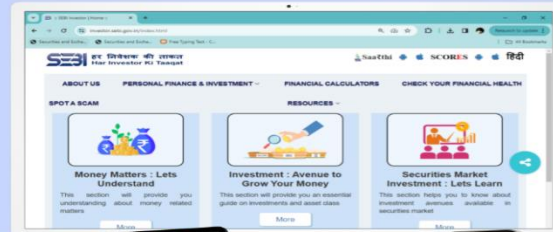
Access a range of Financial Tools and Calculators.



Evaluate your Financial Health with the easy check.

Join on a journey of informed decision-making and confident participation in the securities market.

UNLOCK THE **WEALTH OF KNOWLEDGE**
AT THE SEBI INVESTOR WEBSITE
Empower yourself in the world of investing



TO VISIT THE SEBI INVESTOR WEBSITE, SCAN THE QR CODE



Learn to Manage Your Money for Financial well-being. For more information, please visit SEBI's Investor website <https://investor.sebi.gov.in>

SEBI's Mobile App for Investor Education



SAARTHI

SEBI SCORES Education

E Everyone

 Add to wishlist



If You are holding Securities in Paper form

1. Provide PAN, KYC information and Nomination to RTA / Company for all joint holders.
2. Get PAN and Aadhaar linked for all joint holders.
3. Folio may be frozen if not complied.
4. Electronic interface provided by company / RTA for various service requests like issuance of duplicate shares, transmission, change in name etc.
5. SEBI has prescribed 'Simplified and Standard Norms' for processing of various service requests [Form ISR – 1 and other forms available on website of listed company / RTA].

Grievances Redressal

1. Read Investor Charter at www.nsdl.co.in → Education
2. Lodge your complaint with SEBI at <https://scores.sebi.gov.in/> or SEBI Mobile App – SCORES.
3. For filing dispute for resolution by conciliation and arbitration, visit SEBI's Securities Market Approach for Resolution Through ODR (SMART ODR) Portal <https://smartodr.in/login>
4. SEBI Toll free helpline - 18002667575 / 1800227575
5. NSDL helpline - 022-48867000
6. Online submission of Grievances at www.nsdl.co.in → Query related to Demat
7. NSDL email for grievance - relations@nsdl.com
8. NSDL email for other information - info@nsdl.com





Be alert.

Never click on unknown links... you may risk exposing your bank account to frauds.

Money Kumar

Beware of unknown links:

- Delete SMS/emails with unknown links immediately
- Verify details of website if it requests financial details
- Do not share personal or bank information on social media



For more details, visit <https://rbikehtahai.rbi.org.in/dp>



Issued in public interest by भारतीय रिज़र्व बैंक RESERVE BANK OF INDIA www.rbi.org.in

DigiSaathi, 24/7 helpline for automated responses on information related to digital payment options. Toll-Free Number: 1800-891-3333; A Short Code: 14431; Website: www.digisaathi.info



Be cautious while scanning QR codes to make payments. Be alert of online loan apps and quick-win lottery schemes.

Beware of links offering:

- Unauthorised Digital Lending Apps
- Fake Lottery Schemes

- While making payments using QR code confirm the name on the screen
- Never download Lending Apps from unknown sources
- Do not share personal or bank information with unknown entities



For more details, visit <https://rbikehtahai.rbi.org.in/dp>



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DigiSaathi, 24/7 helpline for automated responses on information related to digital payment options. Toll-Free Number: 1800-891-3333; A Short Code: 14431; Website: www.digisaathi.info



Be smart. Verify the credentials of unknown callers, investment portal sites and requests for urgent help for money through social media.

Prasidh Krishna
Indian Cricketer, RBI Employee

Beware of fraudsters:

- Do not answer calls/emails from unknown persons demanding money
- Check details of websites/Apps offering high returns
- Verify requests for urgent help for money



For more details, visit <https://rbikehtahai.rbi.org.in/dp>

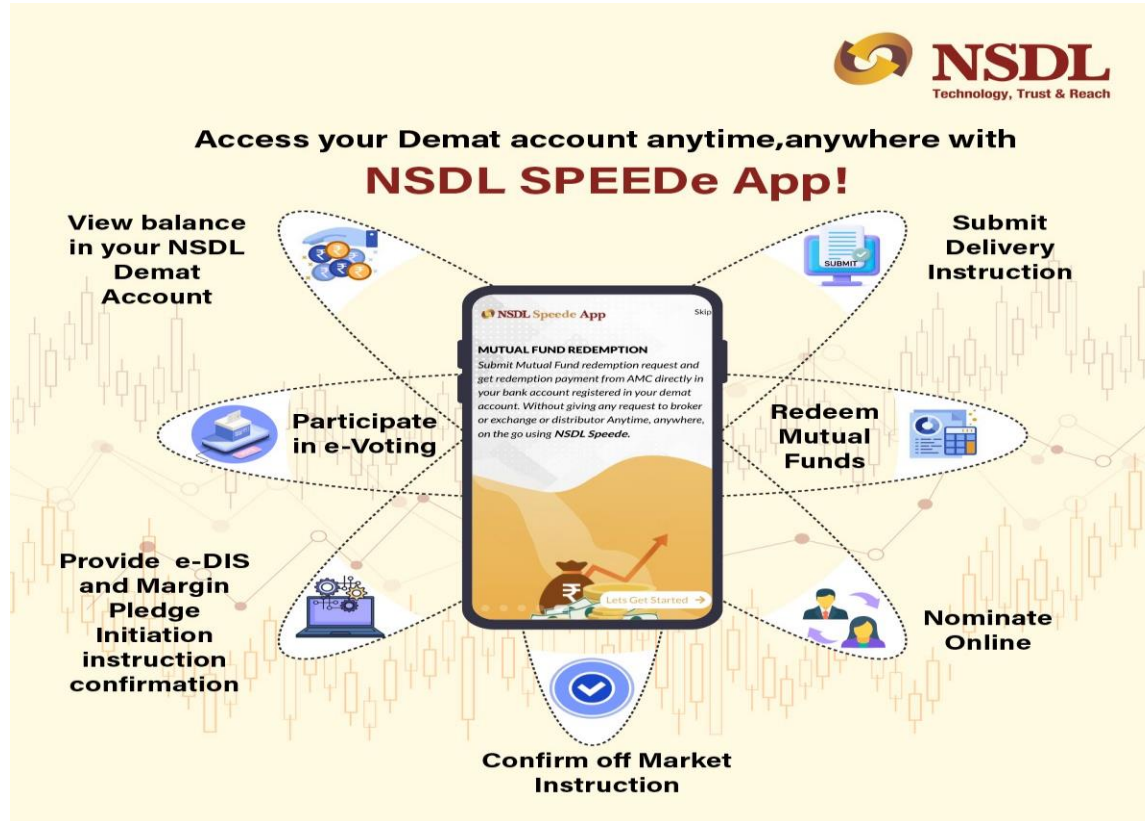


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NSDL's e-Services

NSDL Mobile Application - Speede



NSDL Mobile Application - Speede

Available Free of Cost



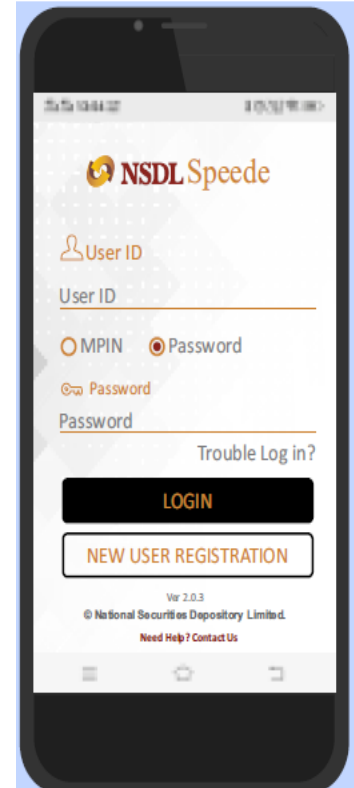
Available on Play store
and Apple store



Follow simple steps to
register



IDeAS and SPEED-e users
can log in with same User
ID and Password



Internet-based Demat Account Statement - IDeAS

It is a facility to view and download updated balances and transactions in demat account



1. This facility is available to all the clients having demat account with any NSDL DP.
2. One time registration is required to use this facility. Registration can be done online or by submitting a request to your DP.
3. There is no charge for using this facility.
4. From June 1, 2021, no need to create log in IDs with different ESPs. IDeAS you will help you to fulfil all your e-Voting needs.

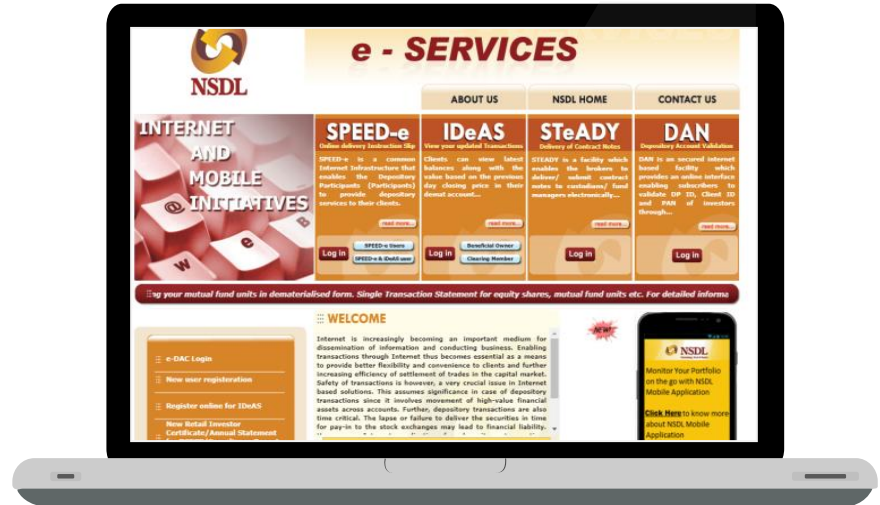
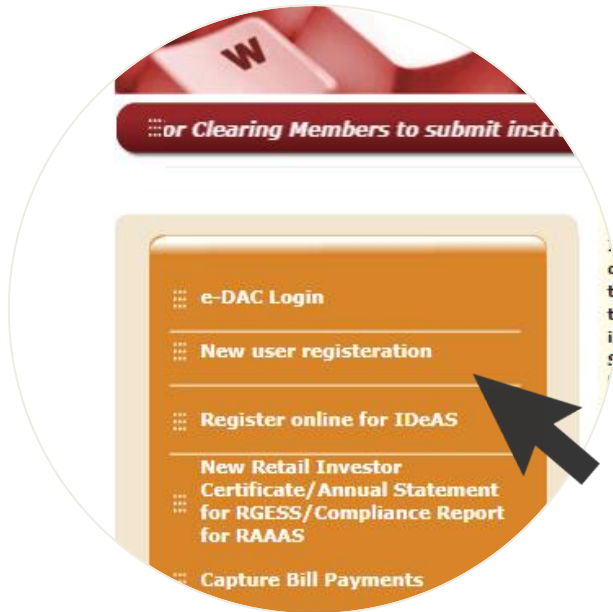
IDeAS - Features

1. View latest account balance along with the value as on previous day closing price.
2. View transactions that have taken place in demat account during last 30 days.
3. Download month-wise Statement of Transaction for past 12 months.
4. View ISIN-wise positions and transactions for a given market type and settlement number.
5. View and download past 12 Consolidated Account Statement (CAS).
6. Participate in e-Voting.




How to Register for IDeAS?

1. There are two types of Users - Password Users and Smart Card / e-Token Users
2. Password users can register online at www.eservices.nsd.com



Registering for IDeAS – Online

1. Enter DP ID, client ID and mobile number and click on submit button.
2. Fill-up all the required information.
3. Choose a User name and Password of your choice.
4. Complete Captcha verification.
5. Click on Submit button.



The screenshot shows a laptop displaying the NSDL e-SERVICES website. The page title is "Direct Registration for IDeAS facility". The form includes the following fields and elements:

- DP ID* (text input)
- Client ID* (text input)
- Mobile Number* (text input)
- Verification Code* (text input with a captcha image showing "530102")
- Play Audio button (with a speaker icon)
- (Enter Text from Image) (text input)
- Submit button
- Reset button
- * Mandatory fields (red text)
- Best viewed on Internet Explorer version 6.0, 7.0 and 8.0 (text in a box)

What is SPEED-e?

1. Its an online service that enables NSDL demat account holders to submit various instructions online.
2. Its available to any NSDL demat account holder provided his/her DP has registered with NSDL.
3. List of DPs offering this service is available at <https://nsdl.co.in/speed-e.php>.
4. Registration is required to avail this facility.
5. Those having single holder demat account, can complete the registration online at www.eservices.nsdl.com. For joint demat accounts, client has to submit physical SPEED-e registration request along with certain documents.
6. There are two types of access – Password users and e-token users (more secured option).
7. In case of Password Users, facility can be used by one of the joint holders authorised by other holders through a Power of Attorney. e-token access enables multiple authorisation by joint holders.

Features of SPEED-e

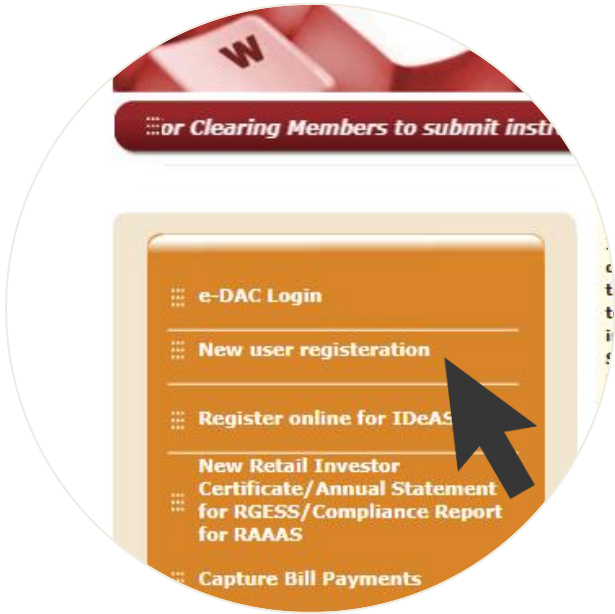
1. View and download Statement of Holding and Transactions.
2. View latest balances and transactions in demat account.
3. View and download past 12 Consolidated Account Statement (CAS).
4. Redemption / repurchase of mutual fund units.
5. Submit electronic delivery instruction (limited to pre-notified broker accounts).
6. Submit margin pledge instructions.
7. Monitor the status of execution of instructions.
8. Participate in e-Voting.

Additional features for e-token users -

7. Submit Pledge and Off market instructions.
8. You can Freeze you demat account (Account level, ISIN level or quantity level freeze).
9. Such freeze can be unfrozen only by you, not your DP.

How to Register for SPEED-e?

Visit www.eservices.nsd.com and click on 'New user registration' and later select SPEED-e.



Only single users can register to use this facility

SPEED-e Registration – Password Based

1. Enter DP ID, client ID and mobile number and click on submit button.
2. Fill-up all the required information.
3. Choose a User ID of your choice.
4. Add CM BP ID(s) of your Clearing Member (Stock broker) under Pre-notified Account. You may add up to 6 clearing members.
5. An One Time Password (OTP) will be sent on the mobile number and email ID registered in the demat account.
6. Validate your registration request using the OTP received.

SPEED-e Registration – Password Based

1. Upon successful validation of OTP, registration request will be sent to concerned DP and Clearing Member(s) for authorisation.
2. DP will authorize the registration request electronically and inform you through email.
3. Clearing Member(s) will authorise clients request for addition of pre-notified account through SPEED-e facility. In case, your CM has not subscribed to SPEED-e facility, then you need to submit a consent letter in a prescribed format from CM to your DP.
4. You will be able to access SPEED-e facility after authorisation by your DP and may submit delivery instructions after successful authorisation of addition of pre-notified account by CM.

SPEED-e Registration – Smart Card/e-Token Based



1. Fill up the 'SPEED-e Application form' available at www.eservices.nsd.com or can be obtained from your DP.
2. Submit the duly filled-in form to your DP.
3. Your DP will register you as a smart card user by assigning a User ID and help you procure a Smart Card Kit, Digital Signature Certificate (DSC) and Personal Identification Number (PIN).
4. Install the Smart Card Kit on your computer.
5. With the User ID, DSC and PIN, you can start using SPEED-e.
6. Detailed FAQ is available at www.eservices.nsd.com.

e-Voting for Shareholders

Shareholders have right to participate in decision making process of their company by voting on the resolutions.

NSDL facilitates all Shareholders holding shares in paper or Demat form, with NSDL or other depository

e-Voting facilitates this process by removing the need for physical presence.

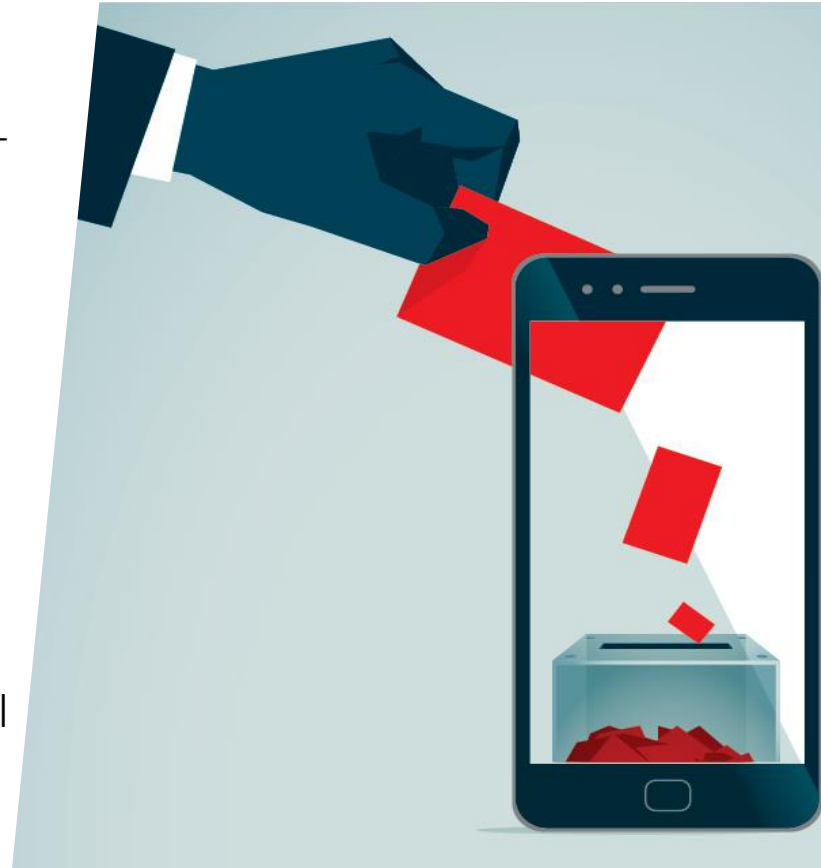
Facility to shareholders to print details of vote.

SMS alert to shareholders after they cast votes.



How to Use NSDL e-Voting Service?

1. Multiple options for Voting
 - i. NSDL e-Voting Portal - <https://evoting.nsdl.com/>
 - ii. NSDL Mobile App – Speede
 - iii. IDeAS and SPEED-e facility of NSDL
 - iv. Through integration with other websites
2. At venue - Voting using Tablets
3. NSDL helps companies to conduct virtual meetings and live streaming of proceedings



Various modes for submission of debit instruction –

1. Submission of Physical DIS by account holder to DP
2. Submission of Physical DIS by broker as holder of Power of Attorney to DP
3. Submission of electronic instruction by account holder through NSDL SPEED-e
4. Submission of electronic instruction by broker as holder of Power of Attorney through NSDL SPICE

New Mode

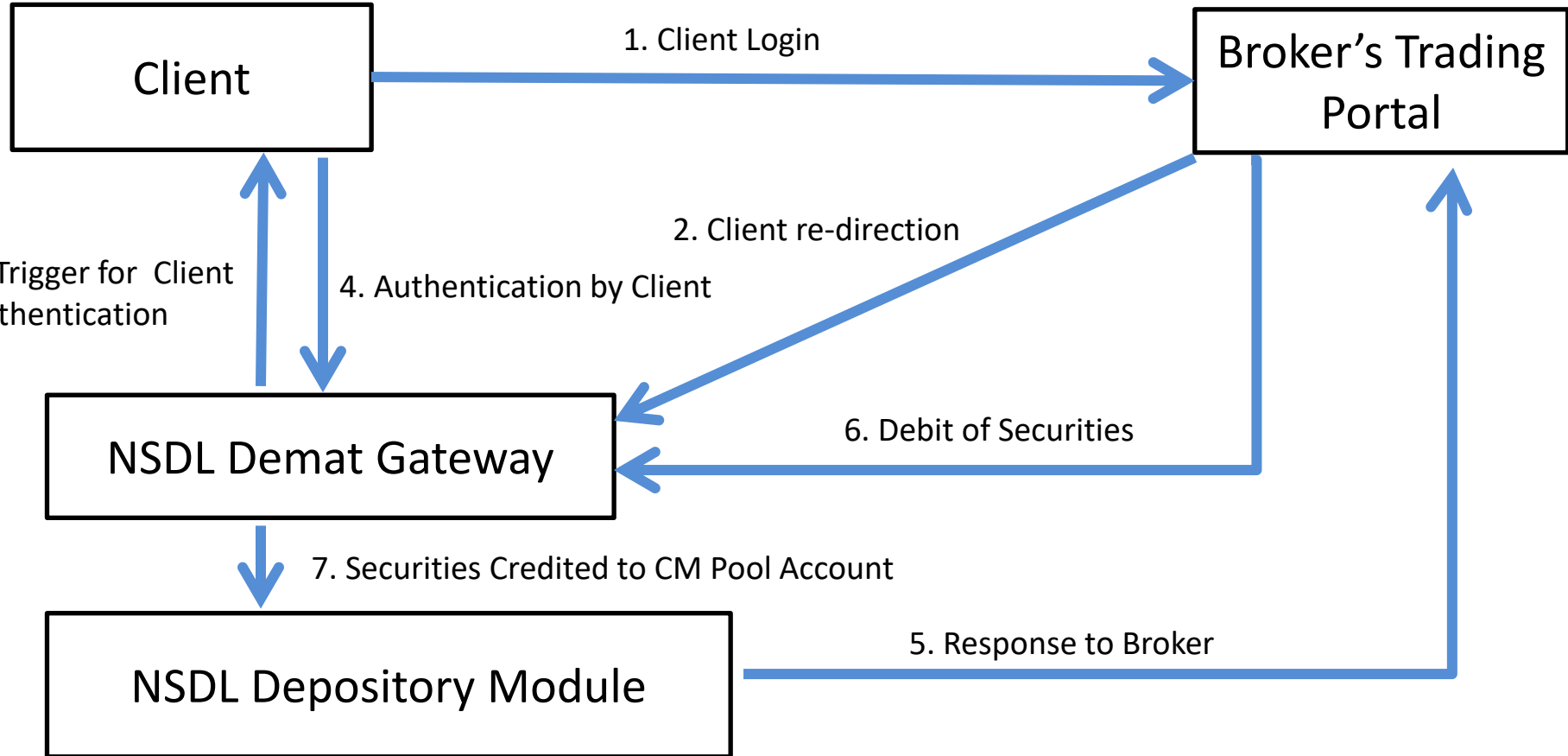
Submission of instruction by account holder to DP using e-DIS

NSDL e-DIS – Demat Gateway

Salient features of e-DIS -

1. e-DIS facility can be used by any NSDL demat account holder
2. No need to give POA to stock broker
3. Secured communication between broker's portal and NSDL system
4. Securities can be moved to broker's pool account only towards obligation for sell transaction
5. Off Market transactions are not permitted through e-DIS

e-DIS Process



Do it Yourself - Online



Open Demat Account



Update Email ID and Income
Range Online

Nominate Online

Mobile Number and Email ID
Verification

Advanced Search

For detail search click on the link below:

- DP Search
- [Company Search](#)
- Circular Search
- Mutual Fund Scheme Search

Investor Meets

Forthcoming Investor Awareness
Programmes

Admission is free for all Investors

News

logo is being used by unauthorized
entities. It is clarified that use of
NSDL's name and / or logo, without
specific permission from NSDL, is
strictly prohibited and liable for
legal action. NSDL does not

Archives

Be a Prudent Investor

Happy Investing

Demat Ki Paathshala - An initiative by NSDL for Investor Education

Follow us on



The background features a light blue and white color scheme with various data visualization elements. At the top, there are binary strings (0s and 1s) and a blue arrow pointing right. In the middle, a world map is visible, overlaid with a bar chart showing fluctuating data points. At the bottom, there are more binary strings and a bar chart with vertical bars of varying heights. The overall theme is technology and data.

THANK YOU

We welcome your feedback and suggestions about this program at www.nsdل.com.in /
info@nsdل.com