

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U74120MH2012PLC230380

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AADCN9802F

(ii) (a) Name of the company

NATIONAL SECURITIES DEPOSI

(b) Registered office address

Trade World, 'A' Wing, 4th Floor, Kamala Mills
Compound, Senapati Bapat Marg, Lower Parel (West)
Mumbai
Mumbai City
Maharashtra
400012

(c) *e-mail ID of the company

PrasadP@nsdl.co.in

(d) *Telephone number with STD code

02224994200

(e) Website

www.nsdl.co.in

(iii) Date of Incorporation

27/04/2012

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(b) CIN of the Registrar and Transfer Agent

Pre-fill

Name of the Registrar and Transfer Agent

Registered office address of the Registrar and Transfer Agents

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)(viii) *Whether Annual general meeting (AGM) held Yes No(a) If yes, date of AGM (b) Due date of AGM (c) Whether any extension for AGM granted Yes No**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY***Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	NSDL DATABASE MANAGEMEN	U72400MH2004PLC147094	Subsidiary	100
2	NSDL PAYMENTS BANK LIMITE	U65900MH2016PLC284869	Subsidiary	82.61

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	100,000,000	40,000,000	40,000,000	40,000,000
Total amount of equity shares (in Rupees)	1,000,000,000	400,000,000	400,000,000	400,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	100,000,000	40,000,000	40,000,000	40,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	1,000,000,000	400,000,000	400,000,000	400,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	40,000,000	400,000,000	400,000,000	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0

v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	40,000,000	400,000,000	400,000,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		19/09/2018	
Date of registration of transfer (Date Month Year)		21/08/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	442,103	Amount per Share/ Debenture/Unit (in Rs.)	900
Ledger Folio of Transferor	IN30081210495633		
Transferor's Name			IDBI BANK LIMITED
	Surname	middle name	first name
Ledger Folio of Transferee	IN30016710141600		
Transferee's Name			IIFL SPECIAL OPPORTUNITIES F
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		21/08/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	282,836	Amount per Share/ Debenture/Unit (in Rs.)	900
Ledger Folio of Transferor		IN30081210495633	
Transferor's Name			IDBI BANK LIMITED
	Surname	middle name	first name
Ledger Folio of Transferee		IN30016710143159	
Transferee's Name	SERIES II		IIFL SPECIAL OPPORTUNITIES F
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		21/08/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	138,369	Amount per Share/ Debenture/Unit (in Rs.)	900
Ledger Folio of Transferor		IN30081210495633	
Transferor's Name			IDBI BANK LIMITED
	Surname	middle name	first name
Ledger Folio of Transferee		IN30016710143853	
Transferee's Name	SERIES III		IIFL SPECIAL OPPORTUNITIES F
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		21/08/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	389,361	Amount per Share/ Debenture/Unit (in Rs.)	900
Ledger Folio of Transferor		IN30081210495633	
Transferor's Name			IDBI BANK LIMITED
	Surname	middle name	first name
Ledger Folio of Transferee		IN30016710144268	
Transferee's Name	SERIES IV		IIFL SPECIAL OPPORTUNITIES F
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		21/08/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	307,331	Amount per Share/ Debenture/Unit (in Rs.)	900
Ledger Folio of Transferor		IN30081210495633	
Transferor's Name			IDBI BANK LIMITED
	Surname	middle name	first name
Ledger Folio of Transferee		IN30016710144330	
Transferee's Name	SERIES V		IIFL SPECIAL OPPORTUNITIES F
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		15/10/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	400,000	Amount per Share/ Debenture/Unit (in Rs.)	860
Ledger Folio of Transferor		IN30038610000004	
Transferor's Name			DENA BANK
	Surname	middle name	first name
Ledger Folio of Transferee		IN30012611270542	
Transferee's Name	TRUST IAPE FUND II		INDIA ALTERNATIVES PRIVATE
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		28/12/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1,979,900	Amount per Share/ Debenture/Unit (in Rs.)	825
Ledger Folio of Transferor		IN30048410820757	
Transferor's Name			AXIS BANK LIMITED
	Surname	middle name	first name
Ledger Folio of Transferee		IN30012610001816	
Transferee's Name			HDFC BANK LIMITED
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

2,172,756,979.62

(ii) Net worth of the Company

6,624,572,037.98

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	10,440,000	26.1	0	
5.	Financial institutions	2,732,000	6.83	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	9,600,000	24	0	
10.	Others	0	0	0	
	Total	22,772,000	56.93	0	0

Total number of shareholders (promoters)

3

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,188,000	2.97	0	
4.	Banks	14,080,000	35.2	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others Alternate Investment Funds	1,960,000	4.9	0	
	Total	17,228,000	43.07	0	0

Total number of shareholders (other than promoters)

18

**Total number of shareholders (Promoters+Public/
Other than promoters)**

21

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	3
Members (other than promoters)	12	18
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	1	0	2	0	0
B. Non-Promoter	1	3	1	3	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	4	1	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
BANAVAR ANANTHAR	02101808	Director	0	
JAGANNATHAN RAVIC	00073736	Director	0	30/09/2019
SIVAKUMAR GOPALAN	07537575	Director	0	
RAJANI RAJIV GUPTA	03172965	Director	0	
AJAY SHARMA	06417150	Director	0	06/06/2019
GAJULAPALLI NAGESH	00799504	Managing Director	0	
CHANDRESH MAHENDR	AAGPS8445K	CFO	0	
PRASAD THABURA PC	AYKPP7128A	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

8

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SUDHASRINIVASAN S	00004272	Director	12/02/2019	CESSATION
RAJANI GUPTA	03172965	Director	23/05/2018	APPOINTMENT
AJAY SHARMA	06417150	Director	23/05/2018	APPOINTMENT
GAJULAPALLI NAGESH	00799504	Managing Director	01/07/2018	APPOINTMENT
SUDHA BALAKRISHNA	AASPS2601P	CFO	16/05/2018	CESSATION
VAISHALI VAIDYA	ABDPV6972E	CFO	17/05/2018	APPOINTMENT
VAISHALI VAIDYA	ABDPV6972E	CFO	14/02/2019	CESSATION
CHANDRESH SHAH	AAGPS8445K	CFO	14/02/2019	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	19/09/2018	20	11	82.04

B. BOARD MEETINGS

*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	03/04/2018	5	5	100
2	25/04/2018	5	5	100
3	08/05/2018	5	5	100
4	09/08/2018	7	7	100
5	19/09/2018	7	7	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
6	14/11/2018	7	6	85.71
7	28/12/2018	7	7	100
8	14/02/2019	6	5	83.33

C. COMMITTEE MEETINGS

Number of meetings held

18

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	08/05/2018	3	3	100
2	AUDIT COMM	09/08/2018	3	3	100
3	AUDIT COMM	14/11/2018	4	3	75
4	AUDIT COMM	14/02/2019	4	3	75
5	NOMINATION	03/04/2018	3	3	100
6	NOMINATION	08/05/2018	3	3	100
7	NOMINATION	09/08/2018	3	3	100
8	NOMINATION	14/11/2018	4	4	100
9	NOMINATION	14/02/2019	3	3	100
10	CSR COMMIT	08/05/2018	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/09/2019
								(Y/N/NA)
1	BANAVAR AN	8	8	100	18	18	100	Yes
2	JAGANNATHA	8	8	100	9	9	100	No
3	SIVAKUMAR	8	8	100	9	9	100	Yes

4	RAJANI RAJIV	5	5	100	3	3	100	No
5	AJAY SHARM	5	3	60	4	0	0	Not Applicable
6	GAJULAPALL	8	8	100	9	9	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VENKATA RAOGA	Managing Direc	31,754,289	0	0	0	31,754,289
	Total		31,754,289	0	0	0	31,754,289

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRASAD POOJAR	Company Secre	3,278,792	0	0	0	3,278,792
2	SUDHA BALAKRIS	CFO	1,030,226	0	0	0	1,030,226
3	VAISHALI VAIDYA	CFO	1,920,894	0	0	0	1,920,894
4	CHANDRESH SHA	CFO	860,024	0	0	0	860,024
	Total		7,089,936	0	0	0	7,089,936

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	B.A.PRABHAKAR	DIRECTOR	0	0	0	2,220,000	2,220,000
2	J.RAVICHANDRAN	DIRECTOR	0	0	0	1,460,000	1,460,000
3	G.SIVAKUMAR	DIRECTOR	0	0	0	1,560,000	1,560,000
4	RAJANI GUPTE	DIRECTOR	0	0	0	900,000	900,000
5	AJAY SHARMA	DIRECTOR	0	0	0	300,000	300,000
6	S.SRIDHAR	DIRECTOR	0	0	0	1,360,000	1,360,000
	Total		0	0	0	7,800,000	7,800,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

S. N. Ananthasubramanian

Whether associate or fellow

Associate Fellow

Certificate of practice number

1774

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director



DIN of the director

To be digitally signed by



- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

-
-
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-

- List of Shareholders.pdf
- MGT-8.pdf
- Additional Committee Meetings.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company