## **National Securities Depository Limited**



Date: October 05, 2024

## Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we wish to inform you that SEBI vide letter SEBI/HO/MRD/SEC-2/2024/31414/1 dated October 04, 2024, has issued warning/deficiency/advisory to the Company.

Relevant details in terms of Regulation 30 of the SEBI Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, is enclosed herewith as **Annexure**.

This is for your information.

For National Securities Depository Limited

Alen Ferns

**Company Secretary** 

## **National Securities Depository Limited**



**Annexure** 

Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023.

Sr.	Particulars	Details
No.		
1.	Name of the Authority	Securities and Exchange Board of India (SEBI)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	SEBI vide its letter SEBI/HO/MRD/SEC-2/2024/31414/1 dated October 4, 2024, has issued warning/deficiency/advisory based on the onsite inspection conducted during FY 2023-24 for the period from October 01, 2022 to July 31, 2023.  SEBI vide the said letter has inter-alia stated to be careful in future, strengthen internal control systems to avoid the recurrence of such instances, and take corrective actions, where required.
3.	Date of receipt of direction or	October 04, 2024
	order, including any ad interim or interim orders, or any other communication from the authority	
4.	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Pursuant to the completion of the onsite inspection, SEBI observed instances of non-compliances with certain SEBI Regulations and Circulars.
5.	Impact on financial, operational or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no impact on the financial, operational or other activities of the Company.