

Circular No.: NSDL/CIR/II/7/2021

Date: May 3, 2021

***Sub: Enhanced Due Diligence for Dematerialisation of Physical Securities – Revision in File format for Dematerialisation Request confirmation upload***

Further to NSDL Circulars No. NSDL/CIR/II/27/2020 dated July 29, 2020, NSDL/CIR/II/10/2020 dated February 15, 2020 and NSDL/CIR/II/5/2020 dated January 20, 2020 regarding the implementation of Enhanced Due Diligence for Dematerialisation Requests.

All Issuers / R & T Agents are hereby informed to take note of the following changes in the 'Reason for Acceptance' in the Dematerialisation Requests confirmation upload.

Added / Included		Removed / Discontinued	
Reason Code	Reason Description	Reason Code	Reason Description
8	Minor name mismatch	99	Others (Please specify)

The new/revised list of 'Reason for Acceptance' are listed in the table below

New/ Revised Reason for Acceptance	
Reason Code	Reason Description
1	Name change by Gazette Notification
2	Name change due to marriage
3	Aadhar Card
4	Passport
5	**ROM Changes after upload of static database – Transmission
6	**ROM Changes after upload of static database – Transposition
7	**ROM Changes after upload of static database – Transfer
8	Minor name mismatch

The revised file format in respect of the back-office interface for Dematerialisation Request confirmation upload for dematerialisation requests where mismatch indicator is generated and Issuer/RTA is enclosed at Annexure. All Issuers/ R&T Agents are advised to make necessary changes in their back-office system latest by May 14, 2021.

For and on behalf of  
**National Securities Depository Limited**

**Prathmesh Mungle**  
**Senior Manger**

Encl.:a/a.