

Circular No.: NSDL/CIR/II/5/2020

Date: January 20, 2020

Subject: Changes in File format for Download and Upload for Dematerialisation Requests - Enhanced Due Diligence for Dematerialization of Physical Securities

Attention of all Issuers/R&T Agents is invited to SEBI Circular no. SEBI/HO/MIRSD/RTAMB/CIR/P/2019/122 dated November 05, 2019 and NSDL Circulars no. NSDL/CIR/II/24/2019 dated November 18, 2019, NSDL/CIR/II/27/2019 dated December 27, 2019 and NSDL/CIR/II/04/2020 dated January 13, 2020 regarding Enhanced Due Diligence for Dematerialization of Physical Securities.

All Issuers / R & T Agents are hereby informed that the file formats for Download and Upload for Dematerialisation Requests have been enhanced for dematerialisation requests in respect of the back-office interface enclosed at Annexure 'I' and Annexure 'II' respectively.

All Issuers/ R&T Agents are advised to make necessary changes in their back-office system latest by February 12, 2020. Further, the aforesaid feature is scheduled to be released in the NSDL DPM-SHR system by February 14, 2020 and operating guidelines with respect to the feature will be notified separately.

For and on behalf of
National Securities Depository Limited

Amit Shinde
Senior Manager

Encl.: a/a