

Existing Demat / Remat / Repurchase Upload Confirmation Upload – RTA

Demat (801) /Remat (802) and Repurchase (803) Confirmation Upload

Record Type	Record Identifier	Record length	Remarks
Header	'01'	161	First record per file
Detail	'02'	251	Second record, multiple per file.
Detail	'03'	161	Optional - It will immediately follow record type "02" & applicable for accepted quantity. One or more DN Ranges can be specified for each Accepted Quantity mentioned in record type "02".

Header Record (Record Type 01)

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	"01" - Header
Branch Code	Character	6	16	O	Not used
SR BP ID	Character	8	24	M	
BP Role	Integer	2	26	M	04 always, as uploaded by RTA
Total DEMAT / Conversion of Units Accepted Positions	Decimal	18(15,3)	44	O	(15+3) 15 integer and 3 decimal places
Total DEMAT / Conversion of Units Rejected Positions	Decimal	18(15,3)	62	O	(15+3) 15 integer and 3 decimal places
Total REMAT/ Reconversion of Units into SOA Accepted Positions	Decimal	18(15,3)	80	O	(15+3) 15 integer and 3 decimal places
Total REMAT/ Reconversion of Units into SOA Rejected Positions	Decimal	18(15,3)	98	O	(15+3) 15 integer and 3 decimal places
Total Repurchase /Redemption Accepted Positions	Decimal	18(15,3)	116	O	(15+3) 15 integer and 3 decimal places
Total Repurchase/Redemption Rejected Positions	Decimal	18(15,3)	134	O	(15+3) 15 integer and 3 decimal places
Total No of Detail Records	Integer	7	141	M	Total number of Lines/records for record type "02" only.
Sender Date	Date	8	149	O	
User ID (Import)	Character	8	157	O	
Filler	Character	4	161	O	

Detail Record (Record Type 02)

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	02 - Detail Record
Line Number	Integer	7	17	M	
Transaction type	Integer	3	20	M	Demat (801)/ Remat (802)/Repur (803) Conversion of Units (801)/ Reconversion of Units into SOA (802)/Redemption (803)
Transaction Flag	Character	1	21	M	'A' for all
DP ID	Character	8	29	M	
Original Order Reference No	Integer	14	43	M	DRN / RRN Number CRN - Conversion of Units RRN - Redemption
RRF / DRF Received Date CRF Received Date	Date	8	51	M	Should not be a future date
DEMAT / Conversion of Units / REMAT / Reconversion of Units into SOA /Repurchase/Redemption Accepted Quantity	Decimal	18(15,3)	69	O	Quantity=Sum (DN to - DN from+1) (15+3) 15 integer and 3 decimal places
DEMAT / Conversion of Units / REMAT / Reconversion of Units into SOA /Repurchase/Redemption Rejected Quantity	Decimal	18(15,3)	87	O	(15+3) 15 integer and 3 decimal places
Lockin Reason Code	Integer	2	89	O	List given below
Lockin Release Date	Date	8	97	O	it should be future date
Internal Reference Number	Character	35	132	O	
Rejection Reason Code1	Integer	4	136	O	
Rejection Reason Code2	Integer	4	140	O	
Rejection Reason Code3	Integer	4	144	O	
Rejection Reason Code4	Integer	4	148	O	
Sender Reference Number 1	Character	50	198	O	
Sender Reference Number 2	Character	50	248	O	
Mutual Fund Indicator	Character	1	249	O	'M' or space. M for MF ISIN Space for other ISINs.
Final Confirmation Indicator	Character	1	250	O	'F' or Space Optional if "MF Indicator"='M' Blank if "MF Indicator"=

					space
Filler	Character	1	251	O	

Detail Record (Record Type 03)

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	03 - Detail Record (Only Applicable for Equity ISIN and Mutual Fund Indicator is " "). Multiple '03' allowed , to specify multiple ranges for each accepted quantity as mentioned in record type "02"
Line Number	Integer	7	17	M	
Transaction type	Integer	3	20	M	Demat (801)/ Remat (802)/Repurchase (803)
Transaction Flag	Character	1	21	M	'A' for all
DP ID	Character	8	29	M	
Original Order Reference No	Integer	14	43	M	DRN / RRN Number
DN From	Integer	18	61	M	There is no decimal places
DN To	Integer	18	79	M	There is no decimal places
DNR Quantity	Integer	18	97	M	DNR Quantity = DNR To - DNR From +1 and should be equal to quantity confirm in record type 02. There is no decimal places
Filler	Character	64	161	O	

New Demat / Remat / Repurchase Upload Confirmation Upload – RTA

- There is NO change in Header Record Format
- There is NO change in Detail record Type 02 Format
- There will be addition of Folio No, Certificate No and Reason For Accepting DRN fields in **Detail Record Type 03**. Details of it is highlighted in **YELLOW**

Demat (801) /Remat (802) and Repurchase (803) Confirmation Upload

Record Type	Record Identifier	Record length	Remarks
Header	'01'	161	First record per file

Detail	'02'	251	Second record, multiple per file.
Detail	'03'	200	Optional - It will immediately follow record type "02" & applicable for accepted quantity. One or more DN Ranges can be specified for each Accepted Quantity mentioned in record type "02".

Detail Record (Record Type 03)

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	03 - Detail Record (Only Applicable for Equity ISIN and Mutual Fund Indicator is " "). Multiple '03' allowed , to specify multiple ranges for each accepted quantity as mentioned in record type "02"
Line Number	Integer	7	17	M	
Transaction type	Integer	3	20	M	Demat (801)/ Remat (802)/Repurchase (803)
Transaction Flag	Character	1	21	M	'A' for all
DP ID	Character	8	29	M	
Original Order Reference No	Integer	14	43	M	DRN / RRN Number
DN From	Integer	18	61	M	There is no decimal places
DN To	Integer	18	79	M	There is no decimal places
DNR Quantity	Integer	18	97	M	DNR Quantity = DNR To - DNR From +1 and should be equal to quantity confirm in record type 02. There is no decimal places
Folio No	Character	20	117	M	
Certificate No	Character	18	135	M	
Reason for Acceptance	Character	2	137	O / M	Mandatory where Client Name Mismatch indicator is "Y" Reason Code is specified in Annexure 1 below
Remarks for Reason 'Others (Please specify)'	Character	50	187	O / M	Mandatory if Reason for Accepting is 'Others (Please specify)' DRN = 99 Else Optional
Filler	Character	13	200	O	

Reason For Accepting Name Mismatch DRN (Annexure – 1)

Reason Code	Reason Description
01	Name change by Gazette Notification
02	Name change due to marriage
03	Aadhar Card
04	Passport
05	ROM Changes after upload of static database – Transmission
06	ROM Changes after upload of static database – Transposition
07	ROM Changes after upload of static database – Transfer
99	Others (Please specify)

**** Note: ROM – Register of Members**

Out File Structure

File Format

Record Type	Record Identifier	Record length	Remarks
Header	'01'	161	One (First) record per file
Detail	'02' and '03'	173	Second record onwards, multiple per file.

Record Format

OUT file Format -Header

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	Header Record (01)
Branch Code	Character	6	16	O	Default = Spaces
SR BP ID	Character	8	24	M	
BP Role	Integer	2	26	M	04 Always
Batch Acceptance Status	Character	1	27	M	A-Successful ,R- Failure
Total Demat Accepted Position	Decimal	18	45	O	
Total Demat Rejected Positions	Decimal	18	63	O	
Total Remat Accepted Positions	Decimal	18	81	O	
Total Remat Rejected Positions	Decimal	18	99	O	
Total Repurchase Accepted Positions	Decimal	18	117	O	
Total Repurchase Rejected Positions	Decimal	18	135	O	
Total No of Detail Records	Integer	7	142	O	
Current Business Date	Date	8	150	M	YYYYMMDD
User ID (Upload)	Character	8	158	O	
Filler	Character	3	161	O	

OUT file Format –Detail

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	Record Type 02 Record Type 03
Line Number	Integer	7	17	M	
Transaction Type	Integer	3	20	M	Demat / Remat / Repurchase 801/802/803
Transaction Flag	Character	1	21	M	A' for all
Instruction ID	Integer	14	35	M	
Internal Reference Number	Character	35	70	O	

Sender Reference Number 1	Character	50	120	0	
Sender Reference Number 2	Character	50	170	0	
Filler	Character	3	173	0	For Future Use

Note:

- **Record Type 03 will appear only for Transaction Type 801**