

<b>Cover page</b>	
<b>Internal Audit Report for Depository Operations</b>	
<b>Name of the auditee</b>	
<b>SHR ID(s)</b>	INXXXXXX
	INXXXXXX
<b>SEBI registration number</b>	
<b>Expiry date of SEBI registration certificate</b>	
<b>Audit period</b>	<b>From DD-MMM-YYYY to DD-MMM-YYYY</b>
<b>Date(s) of Internal audit</b>	
<b>Name of the auditor</b>	
<b>Membership no. of the auditor</b>	
NISM-DOCE/CPE Certificate no.(of any person conducting the internal audit )	
Date till which certificate is valid	DD-MM-YYYY
<b>Name of the audit firm</b>	
<b>Full postal address of the audit firm</b>	
<b>Contact number along with STD code / mobile number of auditor</b>	
<b>email ID of auditor</b>	
I / We hereby declare that Circular no. NSDL/CIR/II/39/2020 dated October 19, 2020 was read and understood by me / us and this report is based on the guidelines given in this Circular. I / We hereby further declare that I / we have no conflict of interest with the RTA/Issuer connected to NSDL.	
<b>Signature of the auditor</b>	
<b>Stamp of the auditor / audit firm</b>	
<b>Date</b>	<b>DD-MMM-YYYY</b>

If auditor is submitting digitally signed audit report then the holder of the digital signature certificate should give following declaration:

“I hereby declare that digital signature certificate being used by me for signing this document is a valid digital signature certificate on this date in terms of provisions of Information Technology Act, 2000 and rules framed thereunder and that it has not been revoked by the issuing authority till this date.”