

Annexure A

(To be provided by Registrar and Transfer Agent's (RTA) / Issuers having direct electronic connectivity)

Date: _____

To

National Securities Depository Limited

Dear Sir/Madam,

Sub: Compliance Certificate for the period _____ to _____

1. I _____ hereby certify that the depository operations of _____ - INXXXX (Name and BP ID of RTA/Issuer having direct electronic connectivity with NSDL) are in compliance with all **applicable** laws and especially with respect to the following, **to the extent applicable to RTA/ Issuer:**
 - a. The Depositories Act, 1996;
 - b. The SEBI (Depositories and Participants) Regulations, 2018 including reconciliation of dematerialised securities with all the securities issued by the issuer, on a daily basis.
 - c. SEBI (Registrars to an Issue And Share Transfer Agents) Regulations, 1993;
 - d. The Bye Laws and Business Rules of NSDL.
 - e. Directives / Circulars / Clarifications / Guidelines issued by SEBI, the Government of India, Regulatory Bodies and NSDL, from time to time.
2. Activities in which the RTA/Issuer having direct electronic connectivity with NSDL has not been in Compliance, if any, are as under:

Sr. No.	Activities in which the RTA/Issuer having direct electronic connectivity with NSDL has not been in compliance	Steps taken to ensure compliance in future

Name and Designation of Compliance Officer:

Signature of Compliance Officer: