

Circular No.: NSDL/POLICY/2024/0119

August 27, 2024

Subject: Facility for submission of Incident Report and Root Cause Analysis in electronic form through e-PASS portal.

Attention of Participants is invited to Circular No: NSDL/POLICY/2024/0072 May 31, 2024, wherein participants had been informed about the facility for online submission of Incident report and Root Cause Analysis (RCA) through e-PASS portal.

Participants shall report about any such incidents to NSDL through the dedicated e-mail ID: dpincidents@nsdl.com and submit the details of the incidents on the e-PASS portal as per the reporting timelines:

| Sr. No. | Particulars                              | Reporting Timelines                         |
|---------|--|---|
| 1.      | Incident reporting with details on Cyber | Within 6 hours of noticing / detecting such |
|         | Incident                                 | incidents                                   |
| 2.      | Root cause analysis (RCA)                | Within 14 days of reporting the incident    |

For further information on the circular, Depository Participants are requested to contact at Tel: (022) 69448668. For technical queries or assistance for submission in the portal, Participants are requested to contact Tel: (022) 4886 7000/ helpdesk@nsdl.com.

For and on behalf of National Securities Depository Limited

Arockiaraj Manager

| FORTHCOMING COMPLIANCE                 |  |                   |  |  |
|--|--|-------------------|--|--|
| Particulars                            | Deadline                                   | Manner of sending | Reference  |  |
| Investor Grievance Report<br>(Monthly) | By 10 <sup>th</sup> of the following month | Through e-PASS    | Para 22 of 'Grievance Redressal' chapter and Para 28 of 'Internal<br>Controls/Reporting to NSDL/SEBI' chapter of NSDL Master<br>Circular for Participants. |  |

