

NATIONAL SECURITIES DEPOSITORY LIMITED

LOCAL DPM CMA UPLOADS

File Formats

This document specifies file format of Client Modification Upload for LOCAL DPM System.

Table of Contents

1	CLIENT MASTER MODIFICATION UPLOAD	6
1.1	OVERVIEW	6
1.2	SORTING	7
1.3	INSTRUCTIONS	7
1.4	PRIMARYDETAILS (.PRI FILE).....	7
1.4.1	Record Types	7
1.4.2	Header Record	8
1.4.3	Detail Record	8
1.4.4	Detail Record (06 & 07)	21
1.5	AUTHORIZED SIGNATORY DETAILS (.ATH FILE)	21
1.5.1	Record Types	21
1.5.2	Header Record	21
1.5.3	Detail Record	21
1.6	POWER OF ATTORNEY DETAILS (.POA FILE)	22
1.6.1	Record Types	22
1.6.2	Header Record	22
1.6.3	Detail Record	22
1.7	SIGNATURE DETAILS (.SGN FILE)	23
1.8	RESPONCE (.OUT FILE)	23
1.8.1	Record Types	23
1.8.2	Header Record	23
1.8.3	Detail Record	24
1.9	VERIFY RELEASE RESPONCE(. VR FILE).....	24
1.9.1	Record Types	24
1.9.2	Header Records	24
1.9.3	Detail Record	24
1.10	REFERENCE AND DESCRIPTION (FREE TEXT)(.FTXT FILE).....	25
1.10.1	Record Types	25
1.10.2	Header Record	25
1.10.3	Detail Record	25

Version History

Sr. No.	Version No.	Description	Upload Type	Place of Field
1.	3.1	Date of POA/DDPI Registration request received from client	.POAFile	37
2.	3.1	Date of POA/DDPI De-registration request received from client	.POA File	45
3.	3.0	Date of Intimation to BO	.PRI File	
4.	2.9	PMS Client Account Flag	.PRI File	Record Type (02) 1902
5.	2.9	PMS SEBI Registration No.	.PRI File	Record Type (02) 2857 - 2869
6.	2.8	Gender of First Holder	.PRI File	Record Type (02) 2217
7.	2.8	Gender of Second Holder	.PRI File	Record Type (02) 2233
8.	2.8	Gender of Third Holder	.PRI File	Record Type (02) 2249
9.	2.8	New value added as Transgender (i.e. 3) in Gender Of Karta	.PRI File	Record Type (02) 2709
10.	2.8	Added new value as Transgender (i.e. 3) in Gender of Coparcener/member	.CPR File	66
11.	2.8	New value added as 'D' for 'DDPI Holder' in POA / DDPI Type	.POA File	19
12.	2.7	Mode of Operation	.PRI File	Record Type (02) 2112
13.	2.7	Communications to be sent to	.PRI File	Record Type (02) 2113
14.	2.7	'Beneficial owner account of PMS' Flag	.PRI File	Record Type (02) 2116
15.	2.7	Changes the field description of 'No Nomination Opted' flag to 'Opt out of Nomination' Flag	.PRI File	Record Type (02) 1922
16.	2.6	ISD code for Mobile no of First Holder	.PRI File	Record Type (02) 2852-2857
17.	2.6	ISD code for Mobile no of Second Holder	.PRI File	Record Type (02) 1986-1991
18.	2.6	ISD code for Mobile no of Third Holder	.PRI File	Record Type (02) 2072-2077
19.	2.6	Gross Annual Income Range	.PRI File	Record Type (02) 2824 - 2825
20.	2.6	Net Worth	.PRI File	Record Type (02) 2286 - 2843
21.	2.6	Net Worth Date	.PRI File	Record Type (02) 2844 - 2851
22.	2.6	LEI No.	.PRI File	Record Type (02) 2803-2823
23.	2.5	Demat Gateway	.PRI File	Record Type (02) 1900

CMA File Formats**Version 3.1**

24.	2.4	Incorporation of Country Code in the client Local address field	.PRI File	Record Type (02) 2008-2010
25.	2.4	Incorporation of State Code in the client Local address field	.PRI File	Record Type (02) 2011-2012
26.	2.4	Country Code for foreign/correspondence address	.PRI File	Record Type (02) 2013-2015
27.	2.4	State Code for foreign/correspondence address	.PRI File	Record Type (02) 2016-2017
28.	2.3	Virtual ID (VID) Of Karta	.PRI File	Record Type (02) 2740-2755
29.	2.3	Virtual ID (VID) Of First Holder	.PRI File	Record Type (02) 2756-2771
30.	2.3	Virtual ID (VID) Of Second Holder	.PRI File	Record Type (02) 2772-2787
31.	2.3	Virtual ID (VID) Of Third Holder	.PRI File	Record Type (02) 2788-2803
32.	2.3	Virtual ID (VID) Of First Nominee	.PRI File	Record Type (03) 1415-1431
33.	2.3	Virtual ID (VID) Of Second Nominee	.PRI File	Record Type (03) 1432-1447
34.	2.3	Virtual ID (VID) Of Third Nominee	.PRI File	Record Type (03) 1448-1463
35.	2.3	One Time Declaration Flag for GSEC IDT	.PRI File	Record Type (02) 1899
36.	2.2	UID Verification Flag for First Holder	.PRI File	Record Type (02) 2214

CMA File Formats**Version 3.1**

37.	2.2	UID Verification Flag for Second Holder	.PRI File	Record Type (02) 2230
38.	2.2	UID Verification Flag for Third Holder	.PRI File	Record Type (02) 2246
39.	2.2	Reason for Non-Update of Aadhaar of First Holder	.PRI File	Record Type (02) 2215-2216
40.	2.2	Reason for Non-Update of Aadhaar of Second Holder	.PRI File	Record Type (02) 2231-2232
41.	2.2	Reason for Non-Update of Aadhaar of Third Holder	.PRI File	Record Type (02) 2247-2248
42.	2.2	Document Received Date	.PRI File	Record Type (02) 798-806
43.	2.2	UPI ID	.PRI File	Record Type (02) 2350-2605
44.	2.2	Number of Coparceners / Members	.PRI File	Record Type (02) 2606-2607
45.	2.2	Date Of Formation	.PRI File	Record Type (02) 2607 - 2615
46.	2.2	Ancestral Flag	.PRI File	Record Type (02) 2616
47.	2.2	Karta Name change reason	.PRI File	Record Type (02) 2617 - 2618
48.	2.2	Name Of Karta	.PRI File	Record Type (02) 2619 - 2663
49.	2.2	Fathers Name	.PRI File	Record Type (02) 2664 - 2708
50.	2.2	Gender	.PRI File	Record Type (02) 2709
51.	2.2	Karta DOB	.PRI File	Record Type (02) 2710 - 2717
52.	2.2	PAN Of Karta	.PRI File	Record Type (02) 2718 - 2727
53.	2.2	AADHAAR of Karta	.PRI File	Record Type (02) 2728 - 2739
54.	2.2	COPARCENER DETAILS	.CPR File	Record Type (02) 001 - 192
55.	2.1	For client Type 18 = 'QIB' and subtype 6 = "Insurance Company Registered with IRDA", "IRDA Ref. No." has been introduced.	.PRI File	Record Type (02) 876-925
56.	2.1	For client Type 18 = 'QIB' and subtype 6 = "Insurance Company Registered with IRDA", "IRDA Approval Date" has been introduced.	.PRI File	Record Type (02) 926-933
57.	2.0	Nominee Minor Indicator	.PRI File	646-647
58.	2.0	Alternate Email ID of First holder	.PRI File	822-872
59.	2.0	Custodian Flag	.PRI File	872-873
60.	2.0	Second Nominee Address same as First Nominee Address	.PRI File	873-874
61.	2.0	Third Nominee Address same as First Nominee Address	.PRI File	874-875

CMA File Formats**Version 3.1**

62.	2.0	Percentage of share First Nominee	.PRI File	1984-1987
63.	2.0	Percentage of share Second Nominee	.PRI File	1987-1990
64.	2.0	Date of Birth (In case of Second Minor Nominee)	.PRI File	2007-2015
65.	2.0	Flag for Share Percentage Equality	.PRI File	2015-2016
66.	2.0	Percentage of share Third Nominee	.PRI File	2016-2019
67.	2.0	Date of Birth (In case of Third Minor Nominee)	.PRI File	2093-2101
68.	2.0	Alternate Email ID of Second holder	.PRI File	2249-229
69.	2.0	Alternate Email ID of Third holder	.PRI File	2299-2349
70.	2.0	Second Nominee Name	.PRI File	2349-2394
71.	2.0	Third Nominee Name	.PRI File	2394-2439
72.	2.0	Second Minor Nominee's Guardian Name	.PRI File	2439-2484
73.	2.0	Third Minor Nominee's Guardian Name	.PRI File	2484-2529
74.	2.0	Aadhaar for First Holder Nominee	.PRI File	2529-2541
75.	2.0	First Holder Nominee PAN	.PRI File	2541-2551
76.	2.0	Aadhaar for Second Holder Nominee	.PRI File	2551-2563
77.	2.0	Second Holder Nominee PAN	.PRI File	2563-2573
78.	2.0	Aadhaar for Third Holder Nominee	.PRI File	2573-2585
79.	2.0	Third Holder Nominee PAN	.PRI File	2585-2595
80.	2.0	Second Nominee Address 1	.PRI File	2595-2631
81.	2.0	Second Nominee Address 2	.PRI File	2631-2667
82.	2.0	Second Nominee Address 3	.PRI File	2667-2703
83.	2.0	Second Nominee Address 4	.PRI File	2703-2739
84.	2.0	Second Nominee Pin code	.PRI File	2739-2746
85.	2.0	Third Nominee Address 1	.PRI File	2746-2782
86.	2.0	Third Nominee Address 2	.PRI File	2782-2818
87.	2.0	Third Nominee Address 3	.PRI File	2818-2854
88.	2.0	Third Nominee Address 4	.PRI File	2854-2890
89.	2.0	Third Nominee Pin code	.PRI File	2890-2897

1 CLIENT MASTER MODIFICATION UPLOAD

1.1 OVERVIEW

Client Modification Import will be used for modifying the following Client details:

- Primary details
- Address details
- Financial details
- Other details
- Signature details
- Mapping details of client and POA signatories
- Mapping details of client and Authorized signatories
- Reference & description details of client.

Client modification is used to modify the client information in bulk. Its primary details, signature details, mapping details can be modified. The data related to primary, other, financial and address details should be present in the .pri file. For the modification of any client only changed details should be entered for modification. No change in the fields will be represented by spaces and for deleting any fields in the database a special character '*' should be provided. The number of occurrences of '*' for the purpose of deletion should be equal to the length of the fields that is supposed to be deleted. E.g. for the deletion of mobile number 12 '*' should be provided. Also if only the poa mapping or auth mapping of client has to be changed and there is no change in the demographic details of the client, even then the entry of client should be present in the .pri file with rest of fields specified as spaces

Following procedure should be followed while creation of files for modification purposes.

Condition for address

IF address has to be deleted then all the four address lines and the pin code should be marked for deletion

For updation of addresses, address line1 and pin code should be provided mandatorily

For no change in the address, spaces for all the four address lines and pin code should be provided

Condition for Pan Number and pan flag

If pan number has to be updated then both pan number and pan flag should be provided mandatorily

Spaces should be provided for no change

There is no need to provide integer filler if the field is not supposed to be modified e.g. if pin code of cpoa is not to be modified then it has to be kept as spaces instead of 000000 similarly if certificate expiry date is to be kept unchanged then spaces should be provided instead of 00000000. This is unlike creation files where optional numeric fields are filled with integer fillers.

The data related to the mapping details of client to the POA should be present in the .poa file and the data related to the authorized signatories should be present in the .Ath file. Signature file should contain the signature in TIFF 4 format if it has to be modified.

1.2 SORTING

Field	Ascending
Line number	Yes

1.3 INSTRUCTIONS

While preparing flat file, DPs should follow the given below instructions:

1. There should not be repetition of line number.
2. For a particular Client there should be only one record for primary and address details, and but there can be multiple records for authorized signatory details and POA details.
3. In modification primary file is mandatory and rest all files are optional. But if they are present then zip file containing those files should be uploaded to the system. SI Modification should be part of the primary file only with "06" as record type.
Account Closure also can be put in primary file. For Account Closure instruction Status Change reason is mandatory and user remarks can be put. All other optional fields should be spaces.
4. Multiple occurrences of any file except signature file is not allowed.
5. Any file other than the mentioned files are not allowed.
6. The uploaded zip file should not contain any other folder.

1.4 PRIMARYDETAILS (.PRI FILE)

1.4.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	88	One (First) record per file
Detail	02	2877	Second record onwards, multiple per file.
Detail	03	1463	Second record onwards, multiple per file.
Detail	06	61	Second record onwards, multiple per file. Can be multiple for the same client also
Detail	07	61	Second record onwards, multiple per file. Can be multiple for the same client also

1.4.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	The unique batch to be Uploaded
Record Type	Integer	2	10	M	01 – Header
Branch Code of Base Branch	Character	6	16	M	
DP ID	Character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of Detail Records	Integer	7	33	M	
Sender's reference Number	Character	35	68	O	
Sender Date	Date	8	76	O	Format (YYYYMMDD)
User ID (Upload)	character	8	84	O	
Filler	character	4	88	O	

1.4.3 Detail Record

This is detailed record i.e. record type 02 for primary record.

Description	Data Type	Size	End	Status	Remarks
Line Number	Integer	7	7	M	Unique and serial for each Line
Record Type	Integer	2	9	M	Primary Detail Record (02)
Client ID	Integer	8	17	M	
Beneficiary Short name	Character	16	33	O	
Beneficiary Occupation Code	Integer	2	35	O	Valid values 1-8
First Holder Name	Character	250	285	O	Can be provided for corporate (type 03) else fillers
First Holder Father/ Husband Name	Character	45	330	O	
Second Holder name	Character	45	375	O	Can be provided for corporate (type 03) else fillers
Second Holder Father/ Husband Name	Character	45	420	O	
Third Holder name	Character	45	465	O	Can be provided for corporate (type 03) else fillers
Third Holder Father/ Husband Name	Character	45	510	O	
First Holder P.A.N.	Character	30	540	O	
Second Holder P.A.N.	Character	30	570	O	
Third Holder P.A.N.	Character	30	600	O	
Nominee/Guardian Indicator	Character	1	601	O	Can be 'N' or 'G' or blank
First Nominee Name/Guardian Name	Character	45	646	O	Mandatory if Indicator is N or G
Nominee Minor Indicator	Character	1	647	O	For Old cases Y-Yes if Nominee is minor and Nominee/Guardian

					Indicator is 'N'. Else space. For New Cases 1 If 1 st Holder is minor 2 If 2 nd Holder is minor 3 If 3 rd Holder is minor 4 if 1 st and 2 nd Holders are minor 5 if 1 st and 3 rd Holders are minor 6 if 2 nd and 3 rd Holders are minor 7 if All Minor Nominee Else N / Space
First Minor Nominee's Guardian Name	Character	45	692	0	If Nominee/Guardian Indicator is N and Nominee Minor Indicator is Y then Mandatory
Date of Birth (In case of Minor/First Holder)	Date	8	700	O/M	If Nominee/Guardian Indicator is 'G' then Date of Birth will be considered as Minor. (DATE FORMAT :YYYYMMDD) If Nominee/Guardian Indicator is 'N'/Space, then Date of Birth will not be considered as Minor. Mandatory effective September 1, 2015
Date of Birth (In case of First Minor Nominee)	Date	8	708	0	If Nominee/Guardian Indicator is N and Nominee Minor Indicator is Y then Mandatory (DATE FORMAT ::YYYYMMDD)
Beneficiary Bank Account Number.	Character	30	738	0	
Beneficiary Bank Account type	Integer	2	740	0	10,11 or 13
Beneficiary Bank Name	Character	35	775	0	
IFSC	Character	11	786	0	
BSDA Flag	Character	1	787	0	Y for BSDA Client N for Non-BSDA Client.
RGESS Flag	Character	1	788	0	Y for RGESS Client N for Non-RGESS Client.
Name Change reason for First Holder	Character	2	790	0	In case of First Holder Name modification, Reason codes is mandatory On account of marriage: 01 Other than marriage : 02 Participant error : 03

CMA File Formats

Version 3.1

Name Change reason for Second Holder	Character	2	792	0	In case of Second Holder Name modification, Reason codes is mandatory On account of marriage: 01 Other than marriage : 02 Participant error : 03
Name Change reason for Third Holder	Character	2	794	0	In case of Third Holder Name modification, Reason codes is mandatory On account of marriage: 01 Other than marriage: 02 Participant error : 03
Type to be modified	Character	2	796	0	To be provided only in case of Conversion from QFI/FII to FPI. Subtype field will become mandatory if type is given
Subtype to be modified	Character	2	798	0	
Document Received Date	Integer	8	806	O/M	(DATE FORMAT: YYYYMMDD). Applicable and Mandatory for Account Closure only.
Date of Birth of Second Holder	Integer	8	814	O/M	(DATE FORMAT: YYYYMMDD). Mandatory effective September 1, 2015
Date of Birth of Third Holder	Integer	8	822	O/M	(DATE FORMAT : YYYYMMDD) Mandatory effective September 1, 2015
Alternate Email ID of First holder	Character	50	872	0	
Custodian Flag	Character	1	873	0	Either Y or N
Second Nominee Address same as First Nominee Address	Integer	1	874	0	Either 0 or 1
Third Nominee Address same as First Nominee Address	Integer	1	875	0	Either 0 or 1
RBI Reference No. / IRDA Ref. No.	Character	50	925	0	“RBI Reference No” Mandatory for Client Type ‘FII’ , ‘Body Corporate’, with Sub Types ‘ OCB ’ , ‘ Foreign Bodies, ‘Foreign Government’, ‘Overseas Depository – Underlying DR’, Mandatory for Client Type viz. ‘QIB’ with sub types viz., ‘Multilateral

					Development Financial Institution', 'Bilateral Development Financial Institution', ' "IRDA Ref. No." Mandatory for Client Type 'QIB' with Sub Type viz., 'Insurance Company Registered with IRDA'
RBI Approval date / IRDA Approval Date	Date	8	933	0	"RBI Approval date" Mandatory for Client Type 'FII', 'Body Corporate', with Sub Types 'OCB', 'Foreign Bodies', 'Foreign Government', 'Overseas Depository – Underlying DR', Mandatory for Client Type viz. 'QIB' with sub types viz., 'Multilateral Development Financial Institution', 'Bilateral Development Financial Institution', and combination (DATE FORMAT :YYYYMMDD) "IRDA Approval Date" Mandatory for Client Type 'QIB' with Sub Types 'Insurance Company Registered with IRDA' combination (DATE FORMAT :YYYYMMDD)
SEBI/FPI Registration Number	Character	24	957	0	Mandatory for Client Type 'FII', 'FPI' 'AIF',. Length Validation for client type viz., AIF with 14 digit Registration Number
Beneficiary Tax Deduction Status	Character	20	977	0	
Branch Code	Character	6	983	0	
Address Indicator	Character	1	984	0	Y- Yes N- NO.(foreign or local address for correspondence)
MICR Code	Character	9	993	0	

CMA File Formats**Version 3.1**

Local Address 1	Character	36	1029	0	
Local Address 2	Character	36	1065	0	
Local Address 3	Character	36	1101	0	
Local Address 4	Character	36	1137	0	
Local Pin code	Integer	6	1143	M	
'Client Option to received e-State Codement' Flag	Character	1	1144	0	Y : Electronic State Codement and If Y, Email id of first holder must be present. N or Space: Physical State Codement
Local Phone No	Character	24	1168	0	
Local Fax No	Character	24	1192	0	
Bank Address 1	Character	36	1228	0	
Bank Address 2	Character	36	1264	0	
Bank Address 3	Character	36	1300	0	
Bank Address 4	Character	36	1336	0	
Bank Pin code	Integer	7	1343	0	
First Nominee Address 1	Character	36	1379	0	
First Nominee Address 2	Character	36	1415	0	
First Nominee Address 3	Character	36	1451	0	
First Nominee Address 4	Character	36	1487	0	
First Nominee Pin code	Integer	7	1494	0	
Foreign/Correspondence Address 1	Character	36	1530	0	Foreign Address mandatory for Client Type QFI,FPI, Client Type viz., Body Corporate with Sub Type viz., LLP Foreign, LLP Foreign (DR), Foreign Government & Overseas Depository – Underlying DR, Client Type viz., QIB with Sub type viz., Multilateral Development Financial Institution & Bilateral Development Financial Institution. Mandatory for 04 (correspondence) Optional for 04 (Foreign)
Foreign/Correspondence Address 2	Character	36	1566	0	
Foreign/Correspondence Address 3	Character	36	1602	0	
Foreign/Correspondence Address 4	Character	36	1638	0	
Foreign/Correspondence Pin code	Integer	10	1648	M/O	
Foreign/Correspondence Phone No	Character	24	1672	0	
Foreign/Correspondence Fax No	Character	24	1696	0	
Minor Nominee Guardian Address 1	Character	36	1732	0	
Minor Nominee Guardian Address 2	Character	36	1768	0	
Minor Nominee Guardian	Character	36	1804	0	

CMA File Formats

Version 3.1

Address 3					
Minor Nominee Guardian Address 4	Character	36	1840	0	
Minor Nominee Guardian Pin code	Integer	7	1847	0	
Email ID of First Holder	Character	50	1897	0	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for First Holder	Character	1	1898	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of first holder should be present.
One Time Declaration Flag for GSEC IDT	Character	1	1899	0	Y- Yes, N- No
Demat Gateway	Character	1	1900	0	Y- Yes, N- No In case Demat Gateway is 'Y' then SMS Flag as 'Y' and First Holder Mobile no. are Mandatory.
Filler	Character	1	1901	0	For Future Purpose
PMS Client Account Flag	Character	1	1902	0	Can be Y or 'N/space'
Filler	Character	1	1903	0	Must be Spaces
Client Modification/Closure reason	Character	2	1905	M	Refer Static data codes
Mobile no of First Holder	Numeric	13	1918	0	If ISD code is present, then Mobile no of first Holder is Mandatory. If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for first holder	Character	1	1919	0	Y, N (Valid values)
Auth flag for first holder	Character	1	1920	0	Y, N (Valid values)
PAN flag for First holder	Character	1	1921	M	Refer Static Code sheet for details
Opt out of Nomination Flag	Character	1	1922	0	'O' - ' Opt out of Nomination ' Or N or spaces
Family Flag for Email ID of First Holder	Character	1	1923	M/O	Can be Y or 'N/space'. If 'Y' Email ID should be present for first holder
Family Flag for Mobile Number of First Holder	Character	1	1924	0	Can be Y or 'N/space'. If 'Y' Mobile Number should be present for first holder
Family Flag for Email ID of Second Holder	Character	1	1925	0	Can be Y or 'N/space'. If 'Y' Email ID should be present for second holder

CMA File Formats**Version 3.1**

Family Flag for Mobile Number of Second Holder	Character	1	1926	0	Can be Y or 'N/space'. If 'Y' Mobile number should be present for second holder
Family Flag for Email ID of	Character	1	1927	0	Can be Y or 'N/space'. If

CMA File Formats
Version 3.1

Third Holder					'Y' Email ID should be present for third holder
Family Flag for Mobile Number of Third Holder	Character	1	1928	0	Can be Y or N/Space. If 'Y' Mobile number should be present for third holder.
SARAL	Character	1	1929	0	Can be Y or 'N/space' if Flag is Y, can Modify it to N. Applicable only for Resident – Ordinary. If N, it can't be modified to Y.
Proof of Residence / Correspondence Address not Submitted	Character	1	1930	0	
Positive Confirmation#	Character	1	1931	0	
Fillers	Character	2	1933	0	
Email ID of second holder	Character	50	1983	0	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for second Holder	Character	1	1984	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of second holder should be present.
Filler	Character	1	1985	0	Must be spaces
ISD code for Mobile no of Second Holder	Numeric	6	1991	0	Refer Static Code sheet for details
Mobile no of Second Holder	Numeric	13	2004	0	If ISD code is present, then Mobile no of second Holder is Mandatory. If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for second holder	Character	1	2005	0	Y , N (Valid values)
Auth flag for second holder	Character	1	2006	0	Y , N (Valid values)
PAN flag for Second holder	Character	1	2007	M	Refer Static Code sheet for details
Country Code for local Address	Integer	3	2010	M	
State Code for local Address	Integer	2	2012	M/O	Mandatory: If Country Code is selected as "India (356)"
Country Code for foreign/correspondence Address	Integer	3	2015	M	
State Code for foreign/correspondence address	Integer	2	2017	M/O	Mandatory: If Country Code is selected as "India (356)"
Fillers	Character	2	2019	0	Must be spaces
Email ID of Third Holder	Character	50	2069	0	
Receive Annual Reports, AGM notices and other	Character	1	2070	0	If email flag is 'N' or space, email -id is to be provided

CMA File Formats

Version 3.1

communications from Issuers & RTAs in physical form for third Holder					to SHRs. If email flag is 'Y', email – id not to be provided to SHRs. Also if email id Flag is 'Y' email id of third holder should be present.
Filler	Character	1	2071	0	Must be spaces
ISD code for Mobile no of Third Holder	Numeric	6	2077	0	Refer Static Code sheet for details
Mobile no of third holder	Numeric	13	2090	0	If ISD code is present, then Mobile no of third Holder is Mandatory. If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for third holder	Character	1	2091	0	Y , N (Valid values)
Auth flag for third holder	Character	1	2092	0	Y , N (Valid values)
PAN flag for Third holder	Character	1	2093	M	Refer Static Code sheet for details
Fillers	Character	12	2105	0	Must be Spaces
Commodity Flag	Character	1	2106	0	Y , N (Valid values)
Signature Size	Integer	5	2111	0	
Mode of Operation	Number	1	2112	0	For value refer Static Data code sheet. Applicable only in case of Individual Joint holders.
Communications to be sent to	Number	1	2113	0	For value refer Static Data code sheet. Applicable only in case of Individual Joint holders.
Filler	Character	2	2115	0	Must be space.
'Beneficial owner account of PMS' Flag	Character	1	2116	0	PMS Flag Yes: Y PMS Flag No: N / Space
User Remarks	Character	35	2151	0	
Status Change Reason	Character	50	2201	M/O	Applicable only for Client Closure and mandatory for Client Closure (All other optional character fields except User Remarks should be spaces.) User Remark for Client Closure can be provided in User Remarks field. User Remark can be spaces if not present.
Aadhaar for First Holder	Integer	12	2213	0	In case of HUF & HUF Promoter , It's a Karta's Aadhaar
Aadhaar Authenticated with UIDAI for First Holder	Character	1	2214	0	Applicable if First Holder Aadhaar is Present.

					'Y' if verified with UIDAI. Or 'N' if not verified with UIDAI or spaces
Reason for Non-Update of Aadhaar of First Holder	Integer	2	2216	0	Applicable only for Client Type: 'Resident' & 'HUF' Applicable, if Aadhaar is not updated. For value refer Static Data code sheet
Gender of First Holder	Integer	1	2217	0	1 - Male 2 - Female 3 - Transgender
Aadhaar for Second Holder	Integer	12	2229	0	
Aadhaar Authenticated with UIDAI for Second Holder	Character	1	2230	0	Applicable if second Holder Aadhaar is Present. 'Y' if verified with UIDAI. Or 'N' if not verified with UIDAI or spaces
Reason for Non-Update of Aadhaar of Second Holder	Integer	2	2232	0	Applicable only for Client Type: 'Resident' . Applicable, if Aadhaar is not updated. For value refer Static Data code sheet
Gender of Second Holder	Integer	1	2233	0	1 - Male 2 - Female 3 - Transgender
Aadhaar for Third Holder	Integer	12	2245	0	
Aadhaar Authenticated with UIDAI for Third Holder	Character	1	2246	0	Applicable if third Holder Aadhaar is Present. 'Y' if verified with UIDAI. Or 'N' if not verified with UIDAI or spaces
Reason for Non-Update of Aadhaar of Third Holder	Integer	2	2248	0	Applicable only for Client Type: 'Resident' . Applicable, if Aadhaar is not updated. For value refer Static Data code sheet
Gender of Third Holder	Integer	1	2249	0	1 - Male 2 - Female 3 - Transgender
Alternate Email ID of Second holder	Character	50	2299	0	
Alternate Email ID of Third holder	Character	50	2349	0	

CMA File Formats**Version 3.1**

UPI ID	Character	256	2605	0	Alpha Numeric. "First Holder Mobile no." and "SMS Flag" as 'Y' is mandatory.
Number of Coparceners / Members	Integer	2	2607	O/M	Mandatory for Client Type 'HUF'

CMA File Formats

Version 3.1

Date Of Formation	Date	8	2615	O/M	Should be in YYYYMMDD Format. Mandatory for Client type HUF else Ancestral Flag should be Y (i.e. 1).
Ancestral Flag	Integer	1	2616	O/M	0 – NO and 1 – YES Applicable for Client type HUF if Date of Formation is not known.
'Name Change of Karta'	Integer	2	2618	O	Reason codes 01- Death of Karta 02- Partial Partition of HUF
Name Of Karta	Character	45	2663	O/M	Mandatory for Client Type 'HUF'
Father's Name of Karta	Character	45	2708	O	
Gender of Karta	Integer	1	2709	O/M	1 – Male / 2 – Female / 3. Transgender Mandatory for Client Type 'HUF'
Karta DOB	Date	8	2717	O/M	Should be YYYYMMDD format Mandatory for Client Type 'HUF'
PAN Of Karta	Character	10	2727	O/M	Income Tax PAN. Must be Alphanumeric. Mandatory for Client Type 'HUF'
AADHAAR of Karta	Integer	12	2739	O	
Virtual ID (VID) Of First Holder	Character	16	2755	O	As generated against Aadhaar
Virtual ID (VID) Of Second Holder	Character	16	2771	O	As generated against Aadhaar
Virtual ID (VID) Of Third Holder	Character	16	2787	O	As generated against Aadhaar
Virtual ID (VID)Of Karta	Character	16	2803	O	As generated against Aadhaar of Karta
LEI No.	Character	20	2823	O	Alpha Numeric.
Gross Annual Income Range	Character	2	2825	O	For value refer Static Data code sheet.
Net Worth	Number	18	2843	O	16+2 digits without decimal e.g., for Rs. 1000 it should be entered as 0000000000100000 for Rs. 1000.23 it should be entered as 00000000000100023

CMA File Formats**Version 3.1**

Net Worth Date	Date	8	2851	O/M	It Should be in YYYYMMDD format. It will be Mandatory, if Net Worth is provided.
ISD code for Mobile no of First Holder	Numeric	6	2857	O	Refer Static Code sheet for details
PMS SEBI Registration No.	Character	12	2869	O/M	Mandatory, only in case if "PMS Client Account" Flag is as 'Y'.
Date of intimation to BO	Numeric	8	2877	O/M	Will be applicable only in case of account closure

This is additional detailed record i.e. record type 03 for **multiple nominee details**.

Description	Data Type	Size	End	Status	Remarks
Line Number	Integer	7	7	M	Unique and serial for each Line
Record Type	Integer	2	9	M	Additional Detail Record (03)
Client ID	Integer	8	17	M	
Flag for Share Percentage Equality	Integer	1	18	O	
Percentage of share First Nominee	Integer	3	21	O	
Percentage of share Second Nominee	Integer	3	24	O	
Percentage of share Third Nominee	Integer	3	27	O	
Second Nominee Name	Character	45	72	O	
Date of Birth 2nd Minor Nominee	Character	8	80		
Third Nominee Name	Character	45	125	O	
Date of Birth of 3rd Minor Nominee	Character	8	133		
Second Minor Nominee's Guardian Name	Character	45	178	O	Mandatory If Nominee Minor Indicator field has value 2,4,6,

CMA File Formats**Version 3.1**

Third Minor Nominee's Guardian Name	Character	45	223	0	Mandatory If Nominee Minor Indicator field has value 3,5,6,7
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CMA File Formats**Version 3.1**

Aadhaar for First Nominee	Integer	12	235	0	
First Nominee PAN	Character	10	245	0	
Aadhaar for Second Nominee	Integer	12	257	0	
Second Nominee PAN	Character	10	267	0	
Aadhaar for Third Holder Nominee	Integer	12	279	0	
Third Nominee PAN	Character	10	289	0	
Second Nominee Address 1	Character	36	325	0	
Second Nominee Address 2	Character	36	361	0	
Second Nominee Address 3	Character	36	397	0	
Second Nominee Address 4	Character	36	433	0	
Second Nominee Pin code	Integer	7	440	0	
Third Nominee Address 1	Character	36	476	0	
Third Nominee Address 2	Character	36	512	0	
Third Nominee Address 3	Character	36	548	0	
Third Nominee Address 4	Character	36	584	0	
Third Nominee Pin code	Integer	7	591	0	
First Nominee Mobile No.	Numeric	13	604	0	
First Nominee Telephone No.	Character	24	628	0	
First Nominee Email ID.	Character	50	678	0	
Second Nominee Mobile No.	Numeric	13	691	0	
Second Nominee Telephone No.	Character	24	715	0	
Second Nominee Email ID.	Character	50	765	0	
Third Nominee Mobile No.	Numeric	13	778	0	
Third Nominee Telephone No.	Character	24	802	0	
Third Nominee Email ID.	Character	50	852	0	
Second Nominee Guardian Address 1	Character	36	888	0	
Second Nominee Guardian Address 2	Character	36	924	0	
Second Nominee Guardian Address 3	Character	36	960	0	

Second Nominee Guardian Address 4	Character	36	996	0	
Second Nominee Guardian Pin code	Integer	7	1003	0	
Third Nominee Guardian Address 1	Character	36	1039	0	
Third Nominee Guardian Address 2	Character	36	1075	0	
Third Nominee Guardian Address 3	Character	36	1111	0	
Third Nominee Guardian Address 4	Character	36	1147	0	
Third Nominee Guardian Pin code	Integer	7	1154	0	
First Nominee Guardian Mobile No.	Numeric	13	1167	0	
First Nominee Guardian Telephone No.	Character	24	1191	0	
First Nominee Guardian Email ID.	Character	50	1241	0	
Second Nominee Guardian Mobile No.	Numeric	13	1254	0	
Second Nominee Guardian Telephone No.	Character	24	1278	0	
Second Nominee Guardian Email ID.	Character	50	1328	0	
Third Nominee Guardian Mobile No.	Numeric	13	1341	0	
Third Nominee Guardian Telephone No.	Character	24	1365	0	
Third Nominee Guardian Email ID.	Character	50	1415	0	
Virtual ID (VID) Of First Nominee	Character	16	1431	0	
Virtual ID (VID) Of Second Nominee	Character	16	1447	0	
Virtual ID (VID) Of Third Nominee	Character	16	1463	0	

- Positive confirmation would mean:

1. Client's acknowledgment of receipt of the communication sent through registered post or courier after account opening such as welcome kit/ Client master report/ any other similar communication which is sent to residence/ correspondence address. The proof of delivery and client's acknowledgement of receipt of the communication sent must be tracked and stored. It must contain details such as name of the service provider through whom it is delivered, reference number given by the service provider, status of delivery which indicates delivered and the date of delivery.
2. Participants may also, if they find it feasible, visit the client's residence address to verify the correspondence/residence address provided by the client on the SARAL form. The details of the visit

such as name of the person, his designation, organization, date and remarks (if any) must be recorded on the SARAL form or a separate document kept along with the SARAL form.

1.4.4 Detail Record (06 & 07)

This record type is used for standing instruction modification; record type for these records will be 06 and Auto pledge indicator modification for which record type will be 07

Description	Data Type	Size	End	Status	Remarks
Line Number	Integer	7	7	M	Unique and serial for each Line
Record Type	Integer	2	9	M	SI Modification Record (06) Auto Pledge Indicator Modification Record (07)
Client ID	Integer	8	17	M	
Standing Instruction Indicator	Character	1	18	M	
Execution Date	Character	8	26	M	Execution Date for SI Modification((Filler for Record Type-07))
User Remarks	Character	35	61	O	

1.5 AUTHORIZED SIGNATORY DETAILS (.ATH FILE)

1.5.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	03	88	One (First) record per file
Detail	04	29	Second record onwards, multiple per file.

1.5.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	03 – Header of auth sig file
Branch Code	Character	6	16	M	
DP ID	character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of authorized signatory Records	Integer	7	33	M	
Sender Reference Number	Character	35	68	O	
Sender Date	Date	8	76	O	
User ID (Upload)	character	8	84	O	
Filler	character	4	88	O	

1.5.3 Detail Record

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Authorized signatory Detail Record (04)
Line Number	Integer	7	9	M	
Addition and Deletion Indicator	Character	1	10	M	'A' and 'D'
Client id	Integer	8	18	M	
Holder indicator	Integer	2	20	M	11 for first holder's, 12 for second and 13 for third holders authorized signatory.
Signatory ID	Integer	9	29	M	

1.6 POWER OF ATTORNEY DETAILS (.POA FILE)

1.6.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	05	88	One (First) record per file
Detail	06	69	Second record onwards, multiple per file.

1.6.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	05 – Header of poa map file
Branch Code	Character	6	16	M	
DP ID	character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of POA Mapping Records	Integer	7	33	M	
Sender Reference Number	Character	35	68		
Sender Date	Date	8	76	O	
User ID (Upload)	character	8	84	O	
Filler	character	4	88	O	

1.6.3 Detail Record

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	POA Mapping Detail Record (06)
Line Number	Integer	7	9	M	Unique and serial for each Line
Addition and Deletion Indicator	Character	1	10	M	'A' and 'D'
Client id	Integer	8	18	M	
POA / DDPI Type	Character	1	19	M	C for Corporate POA H for Individual POA Holder D for DDPI Holder

CMA File Formats**Version 3.1**

Holder Indicator	Integer	2	21	M	11 for First holder 12 for Second holder 13 for Third holder
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Description	Data Type	Size	End	Status	Remarks
					holders.
POA / DDPI ID	Integer	8	29	M	
Date of POA/DDPI Registration request received from client	DATE	8	37	M	YYYYMMDD Mandatory when POA/ DDPI Registered (for cases where POA/DDPI registered at time other than client creation date)
Date of POA/DDPI De-registration request received from client	DATE	8	45	O/M	YYYYMMDD Mandatory when POA/DDPI Deregistered/removed
Filler	Integer	4	49	O	
User Remark	Character	20	69	O	

1.7 SIGNATURE DETAILS (.SGN FILE)

Signature files will have signatures of clients for whom signatures are changed. Properties of the signature file will be as follow:

The format will be TIFF 4 format.

Not exceeding 64K size.

For client holder's signature file name will be of format [DpID]_[Batchnumber]_[clientID].sgn.

DPID: The unique id of every dp

Batch number: The batch number present in the .pri file.

If the batch no less than 8 digit, then batch number needs to be preceded by leading zeros. E.g.

If the batch no is 1001 then the signature file for the first record in the batch should be represented as IN301436_00001001_90012348.sgn.

All the signature files to be uploaded must adhere to this naming convention or else batch would be rejected.

1.8 RESPONSE (.OUT FILE)

1.8.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	98	One (First) record per file
Detail	02	41	Second record onwards, multiple per file.

1.8.2 Header Record

Description	Data type	Size	End	Type	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	Header Record (01)
Branch Code of Base Branch	Character	6	16	M	
DP ID	Character	8	24	M	

CMA File Formats**Version 3.1**

DP Role	Integer	2	26	M	Always 01
Batch Acceptance Status	Character	1	27	M	A-Accepted, R- Rejected
Total number of Client Records	Integer	7	34	M	
Total number of accepted records	Integer	7	41	M	
Business Date	Date	8	49	M	Format (YYYYMMDD)
Create Date time	Date Time	14	63	M	Format (YYYYMMDDhhmmss)
Sender reference Number	Character	35	98	O	

1.8.3 Detail Record

Description	Data type	Size	End	Type	Remarks
Line Number of Input File	Integer	7	7	M	Line Number Corresponding to Input File.
Record Type of Input File	Integer	2	9	M	PrimaryAddress Standing Instruction 02 Auth 04 POA 06
Client ID	Integer	8	17	M	
Transaction flag	Character	1	18	M	A-Accepted, R- Rejected
Line Number of Response File	Integer	7	25	M	Starts from 1 unique and serial for each Line
Record Type of Response File	Integer	2	27	M	Detail Record (02)
Instruction Number	Integer	14	41	M	

1.9 VERIFY RELEASE RESPONSE (.VR FILE)

1.9.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	66	One (First) record per file
Detail	02	134	Second record onwards, multiple per file.

1.9.2 Header Records:

Description	Data type	Size	End	Type	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	Header Record (07)
Branch Code	Character	6	16	M	
DP Id	Character	8	24	M	
DP Role	Integer	2	26	M	Always 01
Batch Acceptance Status	Character	1	27	M	A-Accepted, R- Rejected
Total number of detail Records	Integer	7	34	M	
Total no of accepted detail records	Integer	7	41	M	
File creation date	Date	8	49	M	YYYYMMDD
Filler	Character	8	57	O	Default spaces
Filler	Character	9	66	O	Default spaces

1.9.3 Detail Record:

Description	Data type	Size	End	Type	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	Detail Record (08)

Description	Data type	Size	End	Type	Remarks
Line Number	Integer	7	17	M	
Filler	Character	2	19	O	Spaces
Filler	Character	2	21	O	Spaces
Transaction Flag	Character	1	22	M	It will be 'A' or 'R'
Client Id	Integer	10	32	M	
Instruction id	Integer	14	46	M	
Error Code	Integer	7	53	M	
Sender Reference number 1	Character	35	88	O	
Sender Reference number 2	Character	35	123	O	
Instruction status	Integer	2	125	M	30 in case of 'A' 00 in case of 'R'
Filler	Character	9	134	O	Spaces

1.10 REFERENCE AND DESCRIPTION (FREE TEXT)(.FTXT FILE)

The extension of the file will be '.ftxt'. It will be mandatory to upload '.pri' file also at the time of uploading 'Reference and Description' file.

1.10.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	88	One (First) record per file
Detail	02	196	Second record onwards, multiple per file.

1.10.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	07 – Header of map file
Branch Code	Character	6	16	M	
DP ID	character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of Records	Integer	7	33	M	
Sender Reference Number	Character	35	68		
Sender Date	Date	8	76	O	
User ID (Upload)	character	8	84	O	
Filler	character	4	88	O	

1.10.3 Detail Record

Record Type	Integer	2	2	M	Detail Record (08)
Line Number	Integer	7	9	M	Unique and serial for each Line
Addition and Deletion Indicator	Character	1	10	M	'A' and 'D'
Client ID	Integer	8	18	M	
Holder Indicator	Integer	2	20	M	
Signatory ID	Integer	11	31	M	
Reference Number	Character	10	41	O	
Signatory Name	Character	135	176	O	

User Remark	Character	20	196	0	
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1.4 COPARCENER DETAILS (.CPR FILE)

The extension of the file will be '.CPR'. It will be mandatory to upload '.PRI' file also at the time of uploading 'COPARCENER' file.

1.11.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	88	One (First) record per file
Detail	02	190	Second record onwards, multiple per file.

1.11.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	
Branch Code	Character	6	16	M	
DP ID	character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of Records	Integer	7	33	M	
Sender Reference Number	Character	35	68	O	
Sender Date	Date	8	76	O	
User ID (Upload)	character	8	84	O	
Filler	character	4	88	O	

1.11.3 Detail Records

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Detail Record (02)
Line Number	Integer	7	9	M	Unique and serial for each Line
Client ID	Integer	8	17	M	
Addition and Deletion Indicator	Character	1	18	O/M	D - Deletion A - Addition. Blank - Modification
Coparcener / Member ID	Integer	2	20	M	Should refer Coparcener/Member download for ID.
Name Of Coparcener/member	Character	45	65	O/M	
Gender of Coparcener/member	Integer	1	66	O/M	1 – Male / 2 – Female/ 3- Transgender
DOB of Coparcener/member	Date	8	74	O/M	Should be YYYYMMDD format

CMA File Formats**Version 3.1**

Relation with Karta	Character	45	119	O/M	
Whether Coparcener/member	Integer	1	120	O/M	1 for Coparcener 2 for Member
Appoint as Karta	Integer	1	121	O/M	1-Appointment as Karta else, space
Father/Husband Name of Karta	Character	45	166	O	Applicable if , “Appoint as Karta” is as 1, else space
PAN Of Karta	Character	10	176	M	Must be Alphanumeric. Applicable if , “Appoint as Karta” is as 1, else, space
AADHAAR of Karta	Integer	12	188	O	Applicable if , “Appoint as Karta” is as 1 Must be 12 digit numeric. else, space
Change of Karta Reason	Integer	2	190	O/M	01- Death of Karta 02- Partial Partition of HUF Applicable if , “Appoint as Karta” is as 1, else, space