

Circular No.: NSDL/POLICY/2024/0072

May 31, 2024

Subject: Facility for submission of Incident Report and Root Cause Analysis in electronic form through e-PASS.

All Participants are hereby informed that NSDL has developed a facility for online submission of **'Incident report and Root Cause Analysis (RCA)'** through **NSDL e-PASS portal**. Accordingly, Participants shall be required to submit Incident report and Root cause analysis online through e-PASS platform.

The procedure for submission of **'Incident Report'** and **'Root Cause Analysis (RCA) Report'** through e-PASS portal is enclosed in **Annexure A** and **Annexure B** respectively.

Please note the timelines for submission of the Incident details in the e-PASS portal:

Sr. No.	Particulars	Reporting Timelines
1.	Incident reporting with details on Cyber Incident	Within 6 hours of noticing / detecting such incidents
2.	Root cause analysis (RCA)	Within 14 days of reporting the incident

Participants are requested to take note of the above and ensure compliance.

For further information on the circular, Depository Participants are requested to contact at Tel: (022) 42165317. For technical queries or assistance for submission in the portal, Participants are requested to contact Tel: (022) 4886 7000 / helpdesk@nsdl.com

**For and on behalf of
National Securities Depository Limited**

**Arockiaraj
Manager**

Enclosures: Two



FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month	Through e-PASS	1. Para 20 of NSDL Master Circular for Participants on 'Grievance Redressal' chapter. 2. Circular No.: NSDL/POLICY/2023/0161 dated November 13, 2023
Artificial Intelligence /Machine Learning Reporting Form (Annually)	Within three months of the end of the financial year	Through e-PASS	Circular No.: NSDL/POLICY/2024/0003 dated January 05, 2024




Annexure A


Guidelines to submit the Incident Reporting form.

1. Fill the report by maker ID

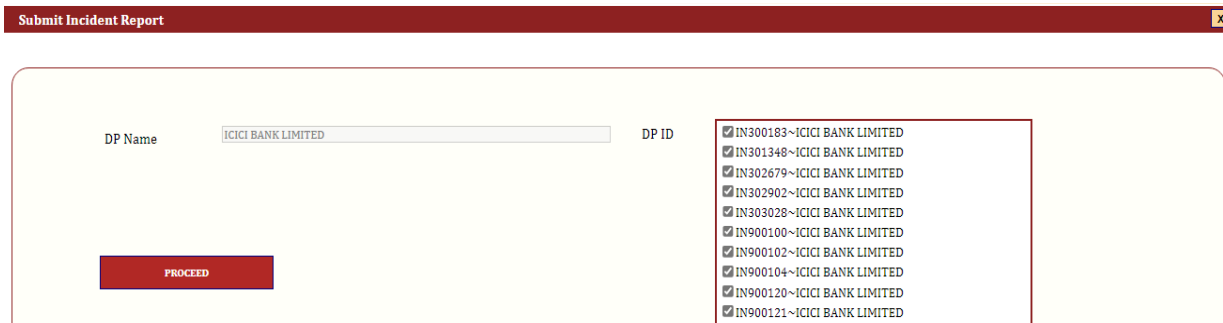
- i. Click on e-PASS URL: <https://www.epass.nsdl.com> to submit the report.
- ii. Login in e-PASS by using DP Maker.



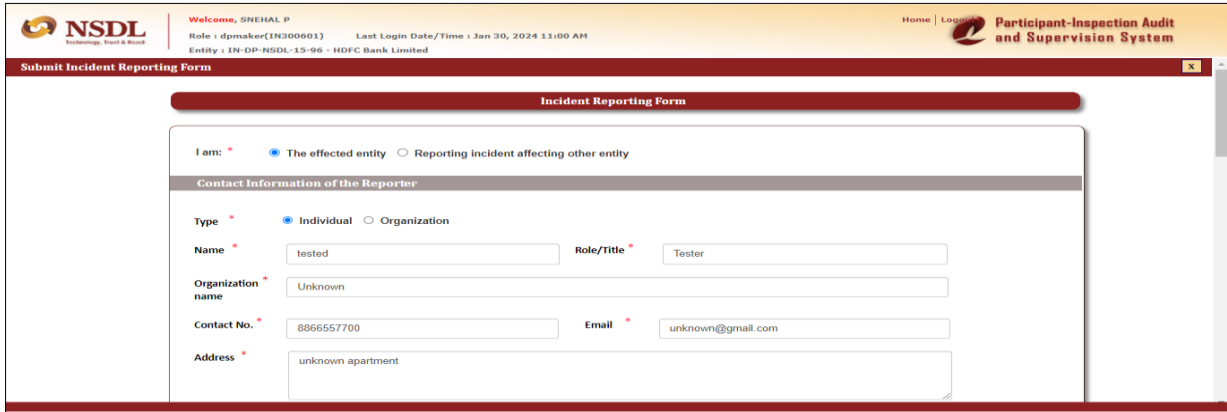
- iii. Go to DP Periodical Reports → Incident reporting form → Submit Incident reporting form.



- iv. Select the DP name, DP IDs → Click on Proceed.




v. Fill in all details of the Cyber Incidents.



Incident Reporting Form

I am: The effected entity Reporting incident affecting other entity

Contact Information of the Reporter

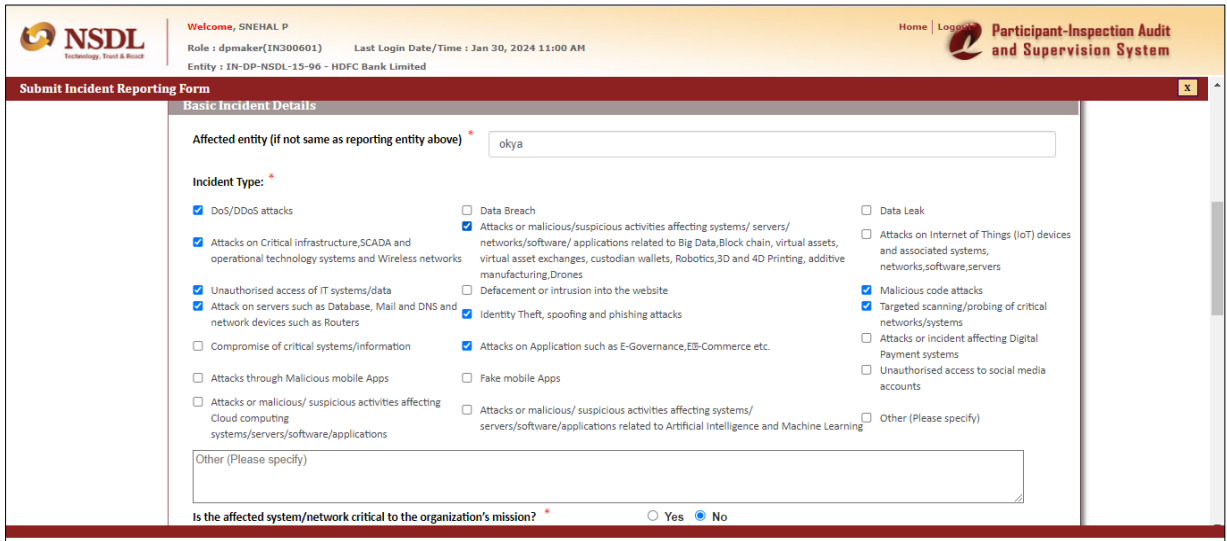
Type: Individual Organization

Name: Role/Title:

Organization name:

Contact No.: Email:

Address:



Basic Incident Details

Affected entity (if not same as reporting entity above):

Incident Type:

DoS/DDoS attacks Data Breach Data Leak

Attacks on Critical infrastructure, SCADA and operational technology systems and Wireless networks Attacks or malicious/suspicious activities affecting systems/ servers/ networks/software/ applications related to Big Data, Block chain, virtual assets, virtual asset exchanges, custodian wallets, Robotics, 3D and 4D Printing, additive manufacturing, Drones Attacks on Internet of Things (IoT) devices and associated systems, networks, software, servers

Unauthorised access of IT systems/data Defacement or intrusion into the website Malicious code attacks

Attack on servers such as Database, Mail and DNS and network devices such as Routers Identity Theft, spoofing and phishing attacks Targeted scanning/probing of critical networks/systems

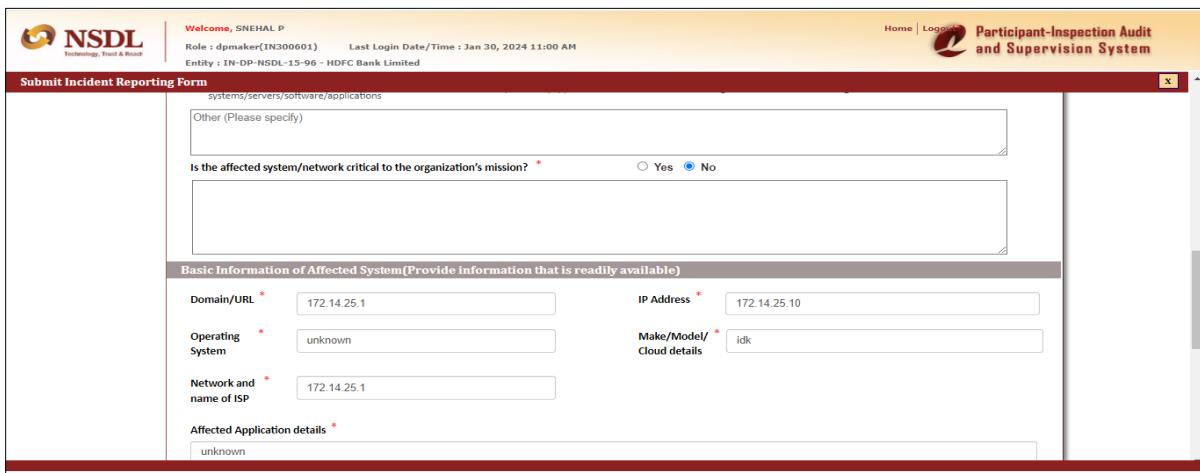
Compromise of critical systems/information Attacks on Application such as E-Governance, E-Commerce etc. Attacks or incident affecting Digital Payment systems

Attacks through Malicious mobile Apps Fake mobile Apps Unauthorised access to social media accounts

Attacks or malicious/ suspicious activities affecting Cloud computing systems/servers/software/applications Attacks or malicious/ suspicious activities affecting systems/ servers/software/applications related to Artificial Intelligence and Machine Learning Other (Please specify)

Other (Please specify):

Is the affected system/network critical to the organization's mission? Yes No



Basic Information of Affected System (Provide information that is readily available)

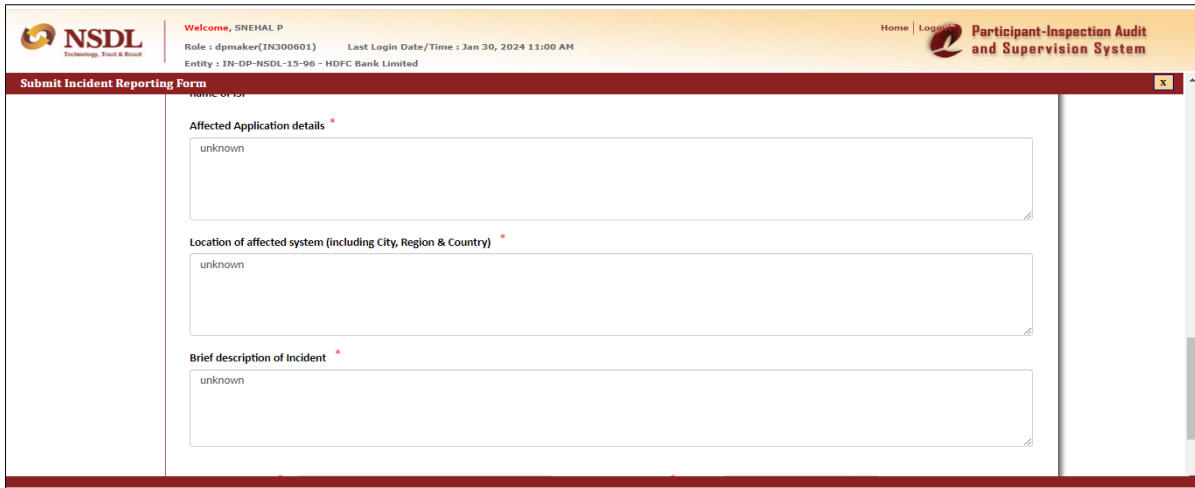
Domain/URL: IP Address:

Operating System: Make/Model/Cloud details:

Network and name of ISP:

Affected Application details:

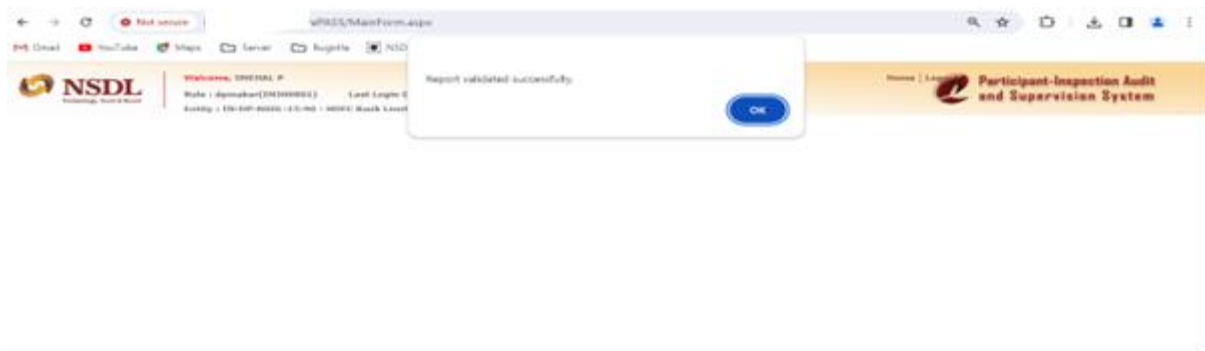




vi. Click on 'Save' button to save the report.

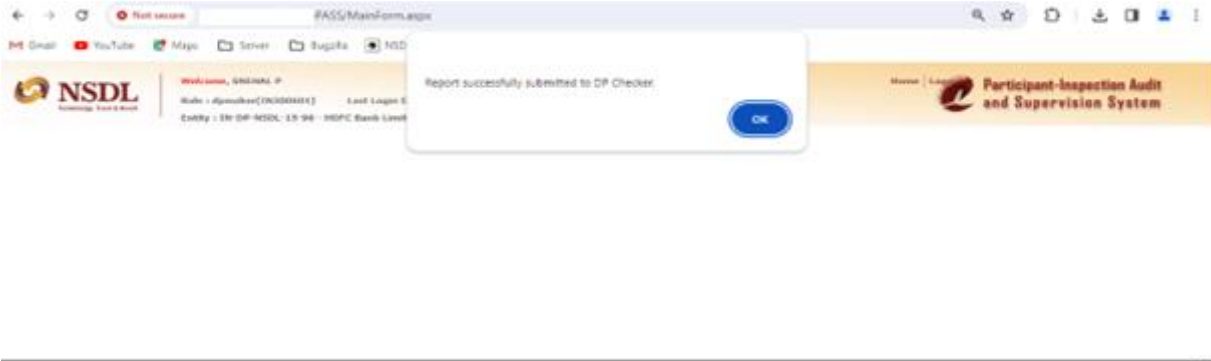


vii. Click on 'Validate' button to validate report.



Submit report to DP Checker

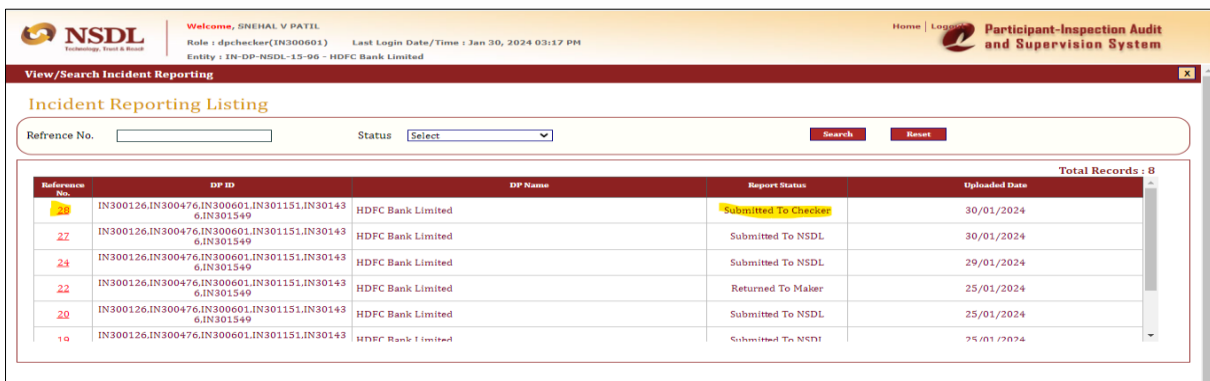
viii. Click on 'Send to Checker' button to Submit report to DP Checker.


2. Returned to Maker

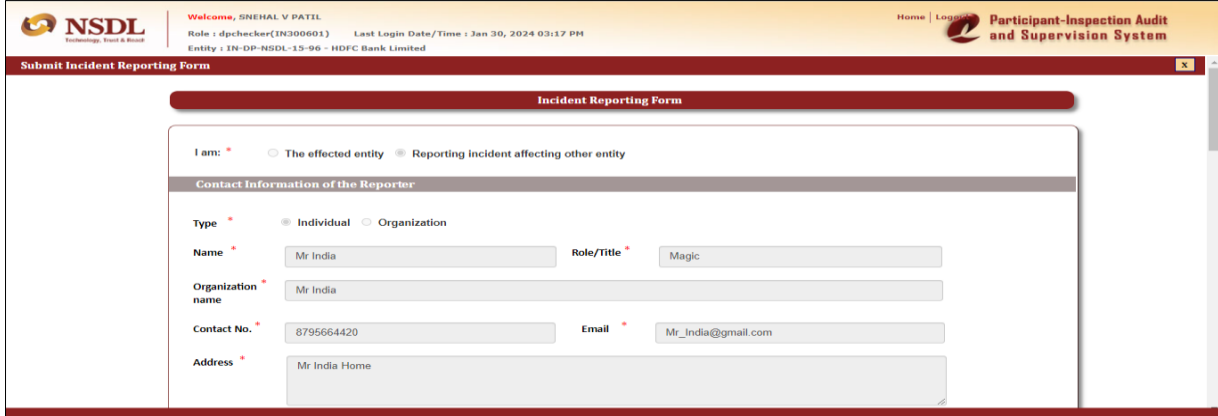
- i. DP checker can send the report back to DP maker.
- ii. Login using DP Checker.
- iii. Go to DP Periodical Reports → Incident reporting form → View/Search Incident reporting form.



iv. Filter Report with Status 'Submitted to checker'.



v. Click on the reference number to open the report.



Submit Incident Reporting Form

Welcome, SNEHAL V PATIL
Role : dpchecker(IN300601) Last Login Date/Time : Jan 30, 2024 03:17 PM
Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Incident Reporting Form

I am: The effected entity Reporting incident affecting other entity

Contact Information of the Reporter

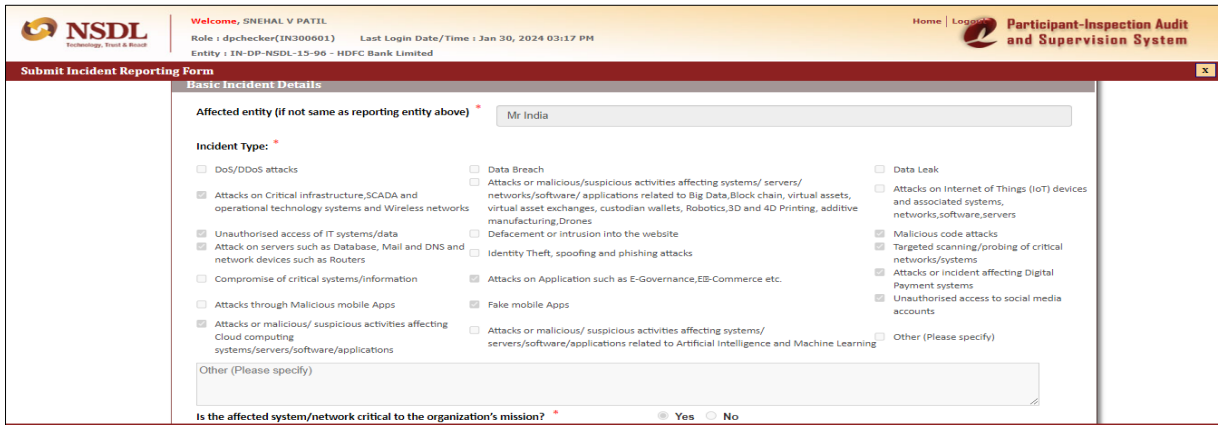
Type: Individual Organization

Name: Mr India Role/Title: Magic

Organization name: Mr India

Contact No.: 8795664420 Email: Mr_India@gmail.com

Address: Mr India Home



Submit Incident Reporting Form

Welcome, SNEHAL V PATIL
Role : dpchecker(IN300601) Last Login Date/Time : Jan 30, 2024 03:17 PM
Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Basic Incident Details

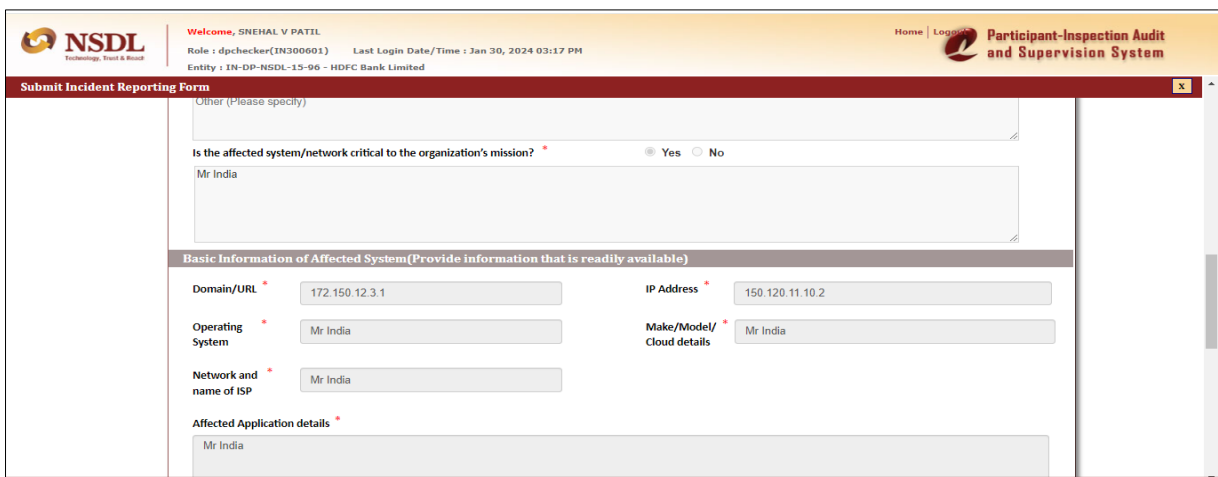
Affected entity (if not same as reporting entity above): Mr India

Incident Type:

- DoS/DDoS attacks
- Attacks on Critical Infrastructure, SCADA and operational technology systems and Wireless networks
- Unauthorised access of IT systems/data
- Attack on servers such as Database, Mail and DNS and network devices such as Routers
- Compromise of critical systems/information
- Attacks through Malicious mobile Apps
- Attacks or malicious/ suspicious activities affecting Cloud computing systems/servers/software/applications
- Data Breach
- Attacks or malicious/suspicious activities affecting systems/ servers/ networks/software/ applications related to Big Data, Block chain, virtual assets, virtual asset exchanges, custodian wallets, Robotics, 3D and 4D Printing, additive manufacturing, Drones.
- Defacement or intrusion into the website
- Identity Theft, spoofing and phishing attacks
- Attacks on Application such as E-Governance, EB-Commerce etc.
- Fake mobile Apps
- Attacks or malicious/ suspicious activities affecting systems/ servers/software/applications related to Artificial Intelligence and Machine Learning
- Data Leak
- Attacks on Internet of Things (IoT) devices and associated systems, networks, software, servers
- Malicious code attacks
- Targeted scanning/probing of critical networks/systems
- Attacks or incident affecting Digital Payment systems
- Unauthorised access to social media accounts
- Other (Please specify)

Other (Please specify)

Is the affected system/network critical to the organization's mission? Yes No



Submit Incident Reporting Form

Welcome, SNEHAL V PATIL
Role : dpchecker(IN300601) Last Login Date/Time : Jan 30, 2024 03:17 PM
Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Other (Please specify)

Is the affected system/network critical to the organization's mission? Yes No

Mr India

Basic Information of Affected System (Provide information that is readily available)

Domain/URL: 172.150.12.3.1 IP Address: 150.120.11.10.2

Operating System: Mr India Make/Model/Cloud details: Mr India

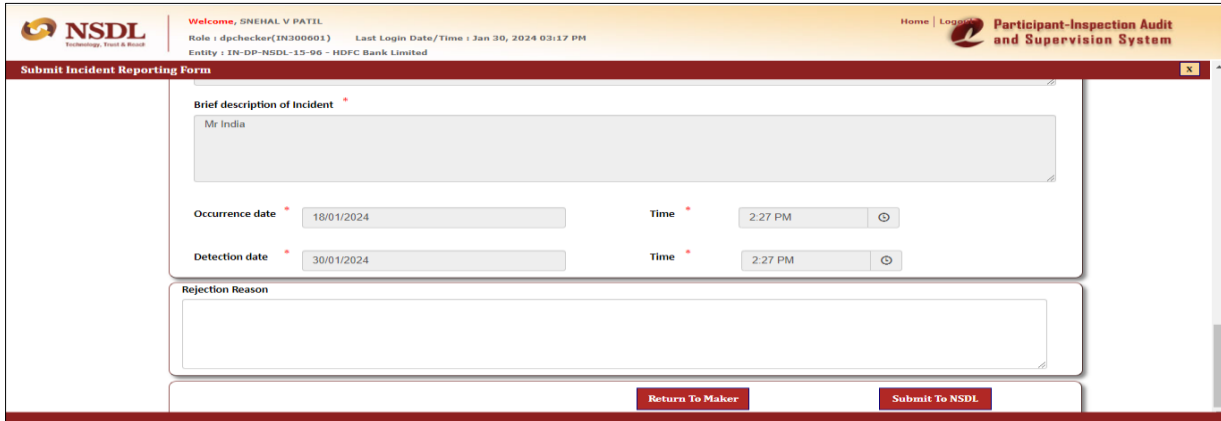
Network and name of ISP: Mr India

Affected Application details

Mr India



vi. Enter rejection reason in brief.



NSDL
Technology, Trust & Reach

Welcome, SNEHAL V PATIL
Role : dpchecker(IN300601) Last Login Date/Time : Jan 30, 2024 03:17 PM
Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Home | Logout Participant-Inspection Audit and Supervision System

Submit Incident Reporting Form

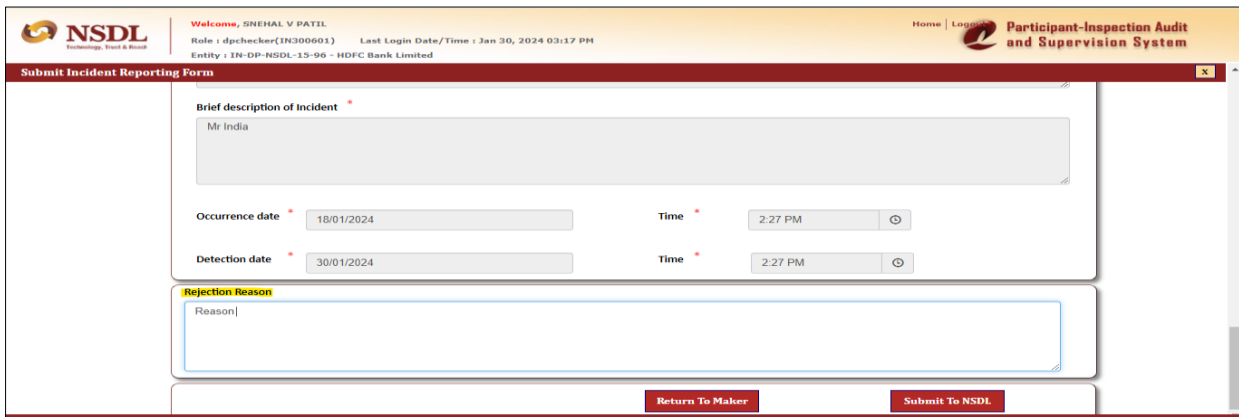
Brief description of Incident *
Mr India

Occurrence date * 18/01/2024 Time * 2:27 PM

Detection date * 30/01/2024 Time * 2:27 PM

Rejection Reason

Return To Maker Submit To NSDL



NSDL
Technology, Trust & Reach

Welcome, SNEHAL V PATIL
Role : dpchecker(IN300601) Last Login Date/Time : Jan 30, 2024 03:17 PM
Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Home | Logout Participant-Inspection Audit and Supervision System

Submit Incident Reporting Form

Brief description of Incident *
Mr India

Occurrence date * 18/01/2024 Time * 2:27 PM

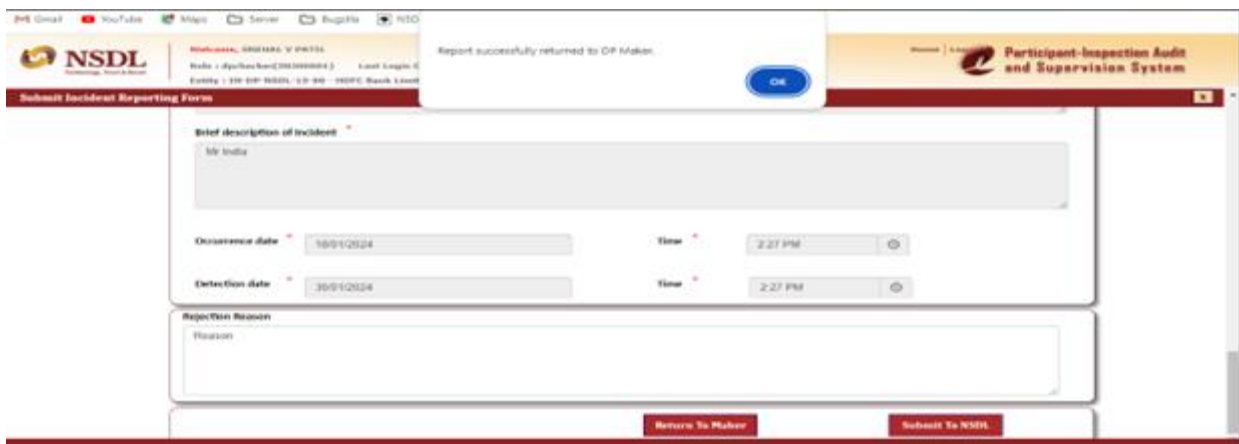
Detection date * 30/01/2024 Time * 2:27 PM

Rejection Reason
Reason|

Return To Maker Submit To NSDL

vii. Click on 'Return to Maker' button to send the Report to DP Maker

Following screen will be displayed.



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Welcome, SNEHAL V PATIL
Role : dpchecker(IN300601) Last Login Date/Time : Jan 30, 2024 03:17 PM
Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Home | Logout Participant-Inspection Audit and Supervision System

Report successfully returned to DP Maker.

OK

Submit Incident Reporting Form

Brief description of Incident *
Mr India

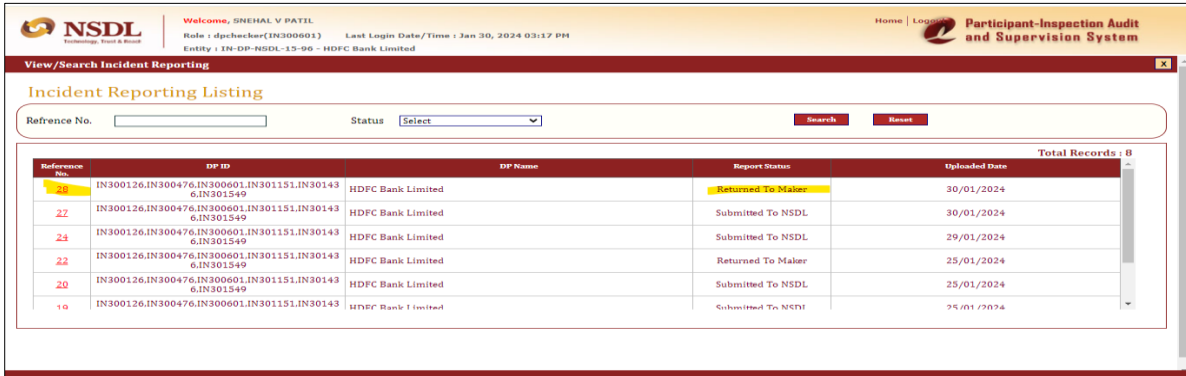
Occurrence date * 18/01/2024 Time * 2:27 PM

Detection date * 30/01/2024 Time * 2:27 PM

Rejection Reason
Reason

Return To Maker Submit To NSDL

Report Status will be changed to 'Returned to DP Maker'.



View/Search Incident Reporting

Welcome, SNEHAL V PATIL
 Role : dpchecker(IN300601) Last Login Date/Time : Jan 30, 2024 03:17 PM
 Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Participant-Inspection Audit and Supervision System

Incident Reporting Listing

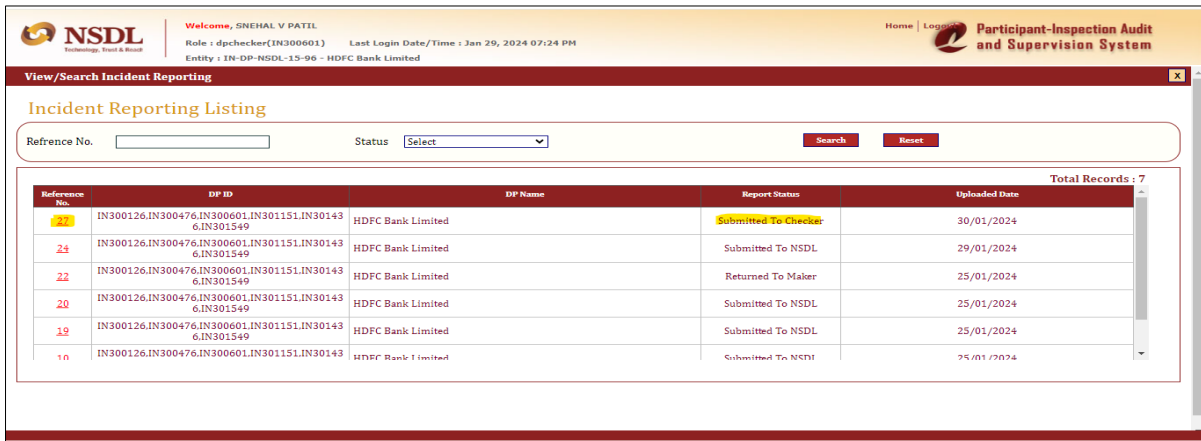
Reference No. Status Search Reset

Reference No.	DP ID	DP Name	Report Status	Uploaded Date
23	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Returned To Maker	30/01/2024
22	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	30/01/2024
24	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	29/01/2024
22	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Returned To Maker	25/01/2024
20	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	25/01/2024
19	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	25/01/2024
18	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	25/01/2024

Total Records : 8

3. Submit the incident report to NSDL

- i. Login with Checker id
- ii. Go to DP Periodical Reports → Incident reporting form → View/Search Incident reporting form.



View/Search Incident Reporting

Welcome, SNEHAL V PATIL
 Role : dpchecker(IN300601) Last Login Date/Time : Jan 29, 2024 07:24 PM
 Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Participant-Inspection Audit and Supervision System

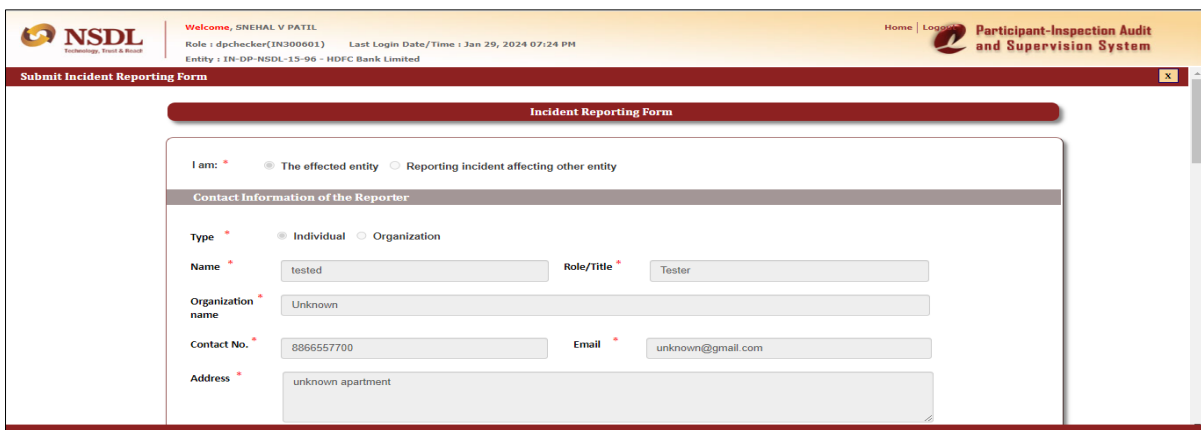
Incident Reporting Listing

Reference No. Status Search Reset

Reference No.	DP ID	DP Name	Report Status	Uploaded Date
23	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To Checker	30/01/2024
24	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	29/01/2024
22	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Returned To Maker	25/01/2024
20	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	25/01/2024
19	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	25/01/2024
18	IN300126.IN300476.IN300601.IN301151.IN301436.IN301549	HDFC Bank Limited	Submitted To NSDL	25/01/2024

Total Records : 7

- iii. Filter Report/s with status 'Submitted to Checker'. Click on the reference number to open the report.



Submit Incident Reporting Form

Welcome, SNEHAL V PATIL
 Role : dpchecker(IN300601) Last Login Date/Time : Jan 29, 2024 07:24 PM
 Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Participant-Inspection Audit and Supervision System

Incident Reporting Form

I am: The effected entity Reporting incident affecting other entity

Contact Information of the Reporter

Type Individual Organization

Name Role/Title

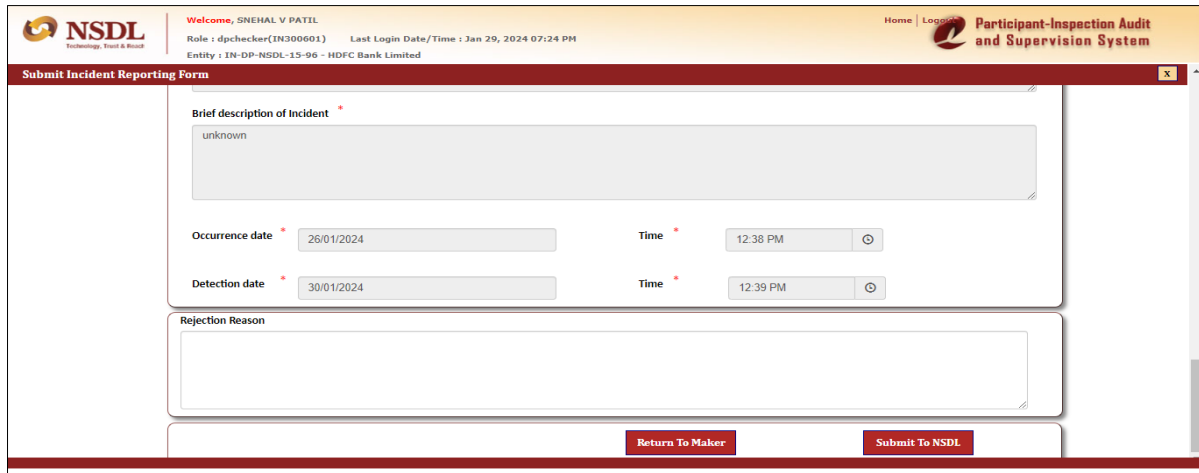
Organization name

Contact No. Email

Address



- iv. Click on 'Submit to NSDL' button to send the report to NSDL.



Submit Incident Reporting Form

Welcome, SNEHAL V PATIL
Role : dpchecker(IN300601) Last Login Date/Time : Jan 29, 2024 07:24 PM
Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited

Participant-Inspection Audit and Supervision System

Brief description of incident *

unknown

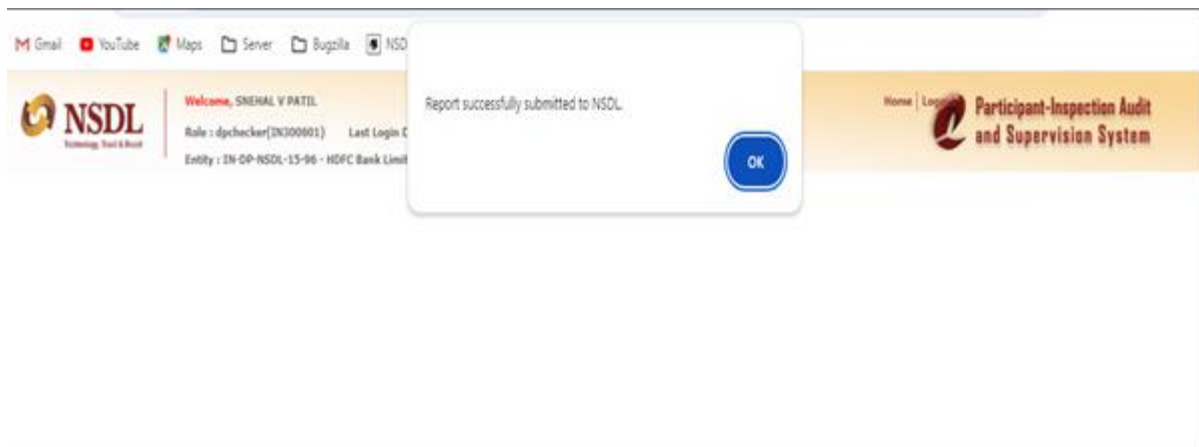
Occurrence date * 26/01/2024 Time * 12:38 PM

Detection date * 30/01/2024 Time * 12:39 PM

Rejection Reason

Returns To Maker Submit To NSDL

- v. Following screen will be displayed post successful submission.



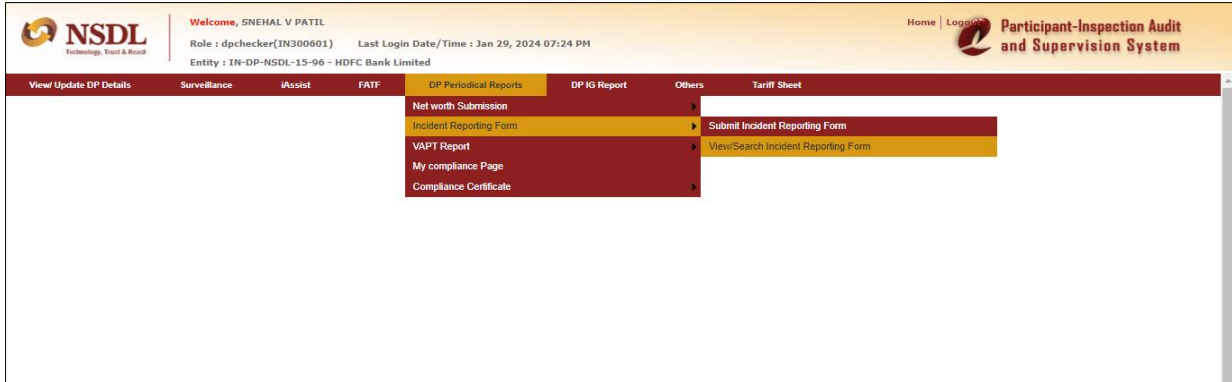
4. VIEW/SEARCH Incident reporting report

DP checker can check the submitted incident report from 'View / Search incident Reporting' form menu.

- i. Login to e-PASS by using DP Checker

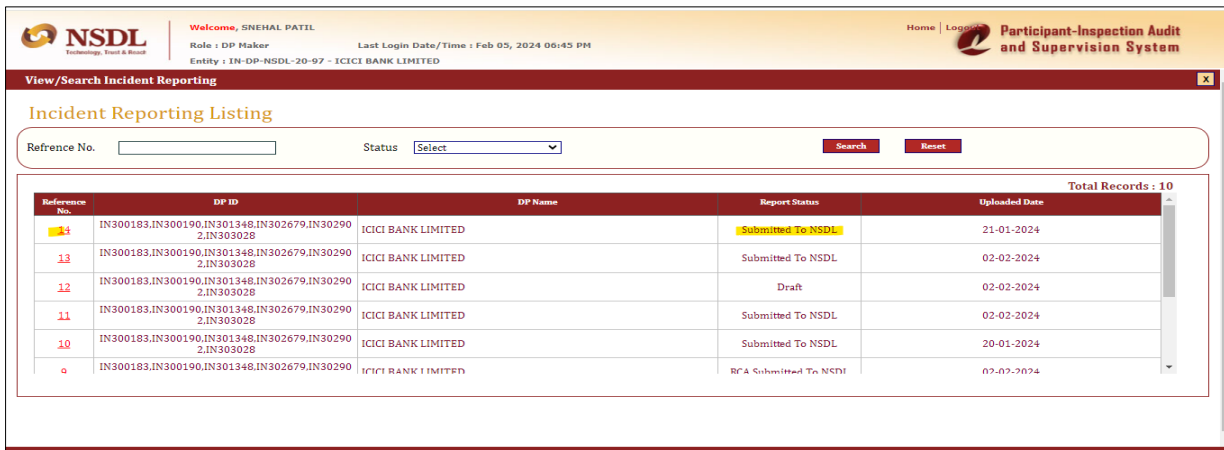


- ii. Go to DP Periodical Reports → Cyber Incident reporting → View/Search Cyber Incident report.



The screenshot shows the user interface of the NSDL Participant-Inspection Audit and Supervision System. The user is logged in as SNEHAL V PATIL, Role: dpchecker(IN300601), Entity: IN-DP-NSDL-15-96 - HDFC Bank Limited. The navigation menu is open, showing options like Net worth Submission, Incident Reporting Form, VAPT Report, My compliance Page, and Compliance Certificate. The Incident Reporting Form option is selected, and a sub-menu is visible with options: Submit Incident Reporting Form and View/Search Incident Reporting Form.

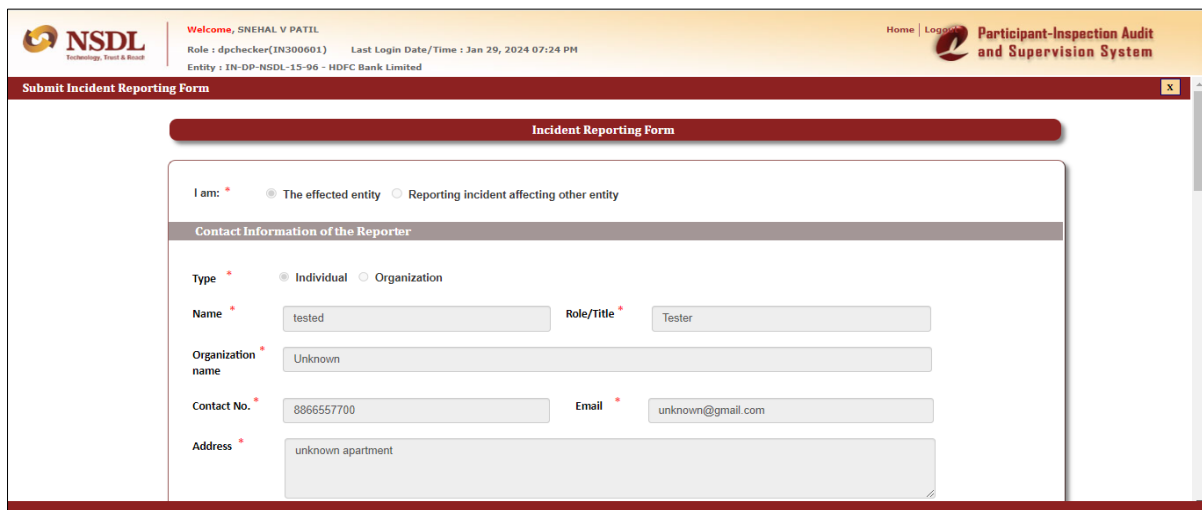
- iii. Filter Report/s with status 'Submitted to NSDL'



The screenshot shows the 'View/Search Incident Reporting' page. The 'Incident Reporting Listing' table is displayed with the following data:

Reference No.	DP ID	DP Name	Report Status	Uploaded Date
14	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Submitted To NSDL	21-01-2024
13	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
12	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Draft	02-02-2024
11	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
10	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Submitted To NSDL	20-01-2024
9	IN300183.IN300190.IN301348.IN302679.IN30290	ICICI BANK LIMITED	RA Submitted To NSDL	02-02-2024

- iv. Click on the reference number to open the report.



The screenshot shows the 'Submit Incident Reporting Form' page. The form is titled 'Incident Reporting Form' and includes the following fields:

- I am: The effected entity Reporting incident affecting other entity
- Contact Information of the Reporter:
 - Type: Individual Organization
 - Name: Role/Title:
 - Organization name:
 - Contact No.: Email:
 - Address:



NSDL | Welcome, SNEHAL V PATIL | Role : dpchecker(IN300601) | Last Login Date/Time : Jan 29, 2024 07:24 PM | Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited | Home | Logout | Participant-Inspection Audit and Supervision System

Submit Incident Reporting Form

Basic Incident Details

Affected entity (if not same as reporting entity above) * okya

Incident Type: *

- DoS/DDoS attacks
- Attacks on Critical Infrastructure, SCADA and operational technology systems and Wireless networks
- Unauthorised access of IT systems/data
- Attack on servers such as Database, Mail and DNS and network devices such as Routers
- Compromise of critical systems/information
- Attacks through Malicious mobile Apps
- Attacks or malicious/ suspicious activities affecting Cloud computing systems/servers/software/applications
- Data Breach
- Attacks or malicious/suspicious activities affecting systems/ servers/ networks/software/ applications related to Big Data, Block chain, virtual assets, virtual asset exchanges, custodian wallets, Robotics, 3D and 4D Printing, additive manufacturing, Drones
- Defacement or intrusion into the website
- Identity Theft, spoofing and phishing attacks
- Attacks on Application such as E-Governance, E-Commerce etc.
- Fake mobile Apps
- Attacks or malicious/ suspicious activities affecting systems/ servers/software/applications related to Artificial Intelligence and Machine Learning
- Data Leak
- Attacks on Internet of Things (IoT) devices and associated systems, networks, software, servers
- Malicious code attacks
- Targeted scanning/probing of critical networks/systems
- Attacks or incident affecting Digital Payment systems
- Unauthorised access to social media accounts
- Other (Please specify)

Other (Please specify)

Is the affected system/network critical to the organization's mission? * Yes No

NSDL | Welcome, SNEHAL V PATIL | Role : dpchecker(IN300601) | Last Login Date/Time : Jan 29, 2024 07:24 PM | Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited | Home | Logout | Participant-Inspection Audit and Supervision System

Submit Incident Reporting Form

Is the affected system/network critical to the organization's mission? * Yes No

tywvrt

Basic Information of Affected System (Provide information that is readily available)

Domain/URL * 172.14.25.1

IP Address * 172.14.25.10

Operating System * unknown

Make/Model/ Cloud details * idk

Network and name of ISP * 172.14.25.1

Affected Application details *

unknown

NSDL | Welcome, SNEHAL V PATIL | Role : dpchecker(IN300601) | Last Login Date/Time : Jan 29, 2024 07:24 PM | Entity : IN-DP-NSDL-15-96 - HDFC Bank Limited | Home | Logout | Participant-Inspection Audit and Supervision System

Submit Incident Reporting Form

Location of affected system (including City, Region & Country) *

unknown

Brief description of Incident *

unknown

Occurrence date * 26/01/2024

Time * 12:38 PM

Detection date * 30/01/2024

Time * 12:39 PM

Rejection Reason



Annexure B

Guidelines to submit the Root Cause Analysis (RCA)

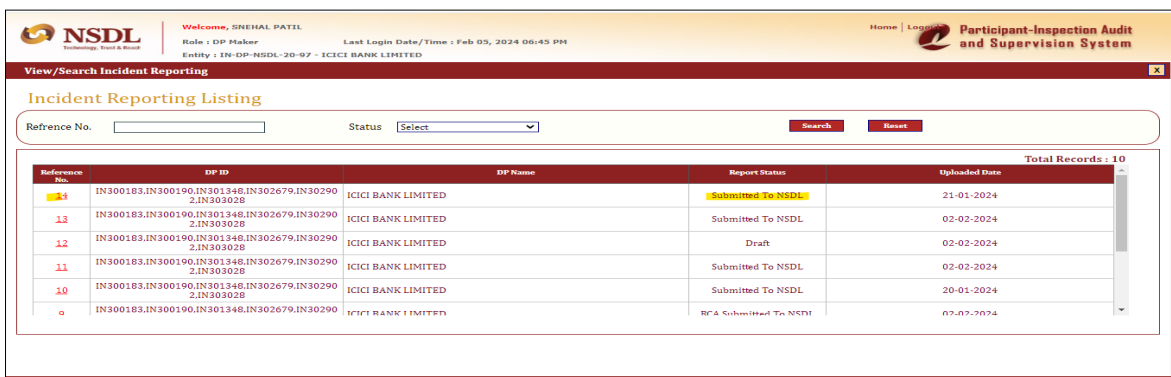
1. Fill the report by maker ID

- i. Click on e-PASS URL: <https://www.epass.nsdl.com> to submit the report.
- ii. Login in e-PASS by using DP Maker id.
- iii. Go to DP Periodical Reports → Incident reporting form → View/Search Incident reporting form.



iv. Filter report with status 'submitted to NSDL'.

- i. Click on the reference number to open the report.



Reference No.	DP ID	DP Name	Report Status	Uploaded Date
14	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	21-01-2024
13	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
12	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Draft	02-02-2024
11	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
10	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	20-01-2024
9	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	RCA Submitted To NSDL	02-02-2024



NSDL Technology, Trust & Reach | Welcome, SNEHAL PATIL | Role : DP Maker | Last Login Date/Time : Feb 05, 2024 06:45 PM | Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED | Home | Logout | Participant-Inspection Audit and Supervision System

Submit Incident Reporting Form

Incident Reporting Form

I am: The effected entity Reporting incident affecting other entity

Contact Information of the Reporter

Type: Individual Organization

Name: snehal patil | Role/Title: ester

Organization name: test pvt ltd

Contact No.: 9244444444 | Email: snehalp@gmail.com

Address: 10_150_2_99
test ertort

NSDL Technology, Trust & Reach | Welcome, SNEHAL PATIL | Role : DP Maker | Last Login Date/Time : Feb 05, 2024 06:45 PM | Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED | Home | Logout | Participant-Inspection Audit and Supervision System

Submit Incident Reporting Form

Basic Incident Details

Affected entity (if not same as reporting entity above): test entity

Incident Type:

- DoS/DDoS attacks
- Attacks on Critical infrastructure, SCADA and operational technology systems and Wireless networks
- Unauthorised access of IT systems/data
- Attack on servers such as Database, Mail and DNS and network devices such as Routers
- Compromise of critical systems/information
- Attacks through Malicious mobile Apps
- Attacks or malicious/ suspicious activities affecting Cloud computing systems/servers/software/applications
- Data Breach
- Attacks or malicious/suspicious activities affecting systems/ servers/ networks/software/ applications related to Big Data, Block chain, virtual assets, virtual asset exchanges, custodian wallets, Robotics, 3D and 4D Printing, additive manufacturing, Drones
- Defacement or intrusion into the website
- Identity Theft, spoofing and phishing attacks
- Attacks on Application such as E-Governance, EB-Commerce etc.
- Fake mobile Apps
- Attacks or malicious/ suspicious activities affecting systems/ servers/software/applications related to Artificial Intelligence and Machine Learning
- Data Leak
- Attacks on Internet of Things (IoT) devices and associated systems, networks, software, servers
- Malicious code attacks
- Targeted scanning/probing of critical networks/systems
- Attacks or incident affecting Digital Payment systems
- Unauthorised access to social media accounts
- Other (Please specify)

Other (Please specify):

Is the affected system/network critical to the organization's mission? Yes No

NSDL Technology, Trust & Reach | Welcome, SNEHAL PATIL | Role : DP Maker | Last Login Date/Time : Feb 05, 2024 06:45 PM | Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED | Home | Logout | Participant-Inspection Audit and Supervision System

Submit Incident Reporting Form

Brief description of incident

tert ert ort

Occurrence date: 10/01/2024 | Time: 6:47 PM

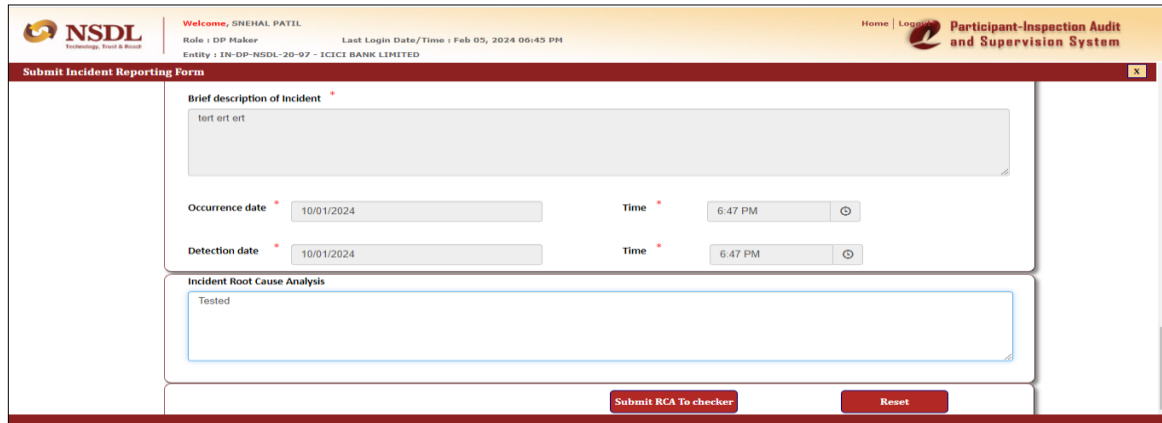
Detection date: 10/01/2024 | Time: 6:47 PM

Incident Root Cause Analysis

Submit RCA To checker | Reset



vi. Enter RCA details and click on 'submit RCA to checker' button.



Submit Incident Reporting Form

Welcome, SNEHAL PATIL
Role : DP Maker
Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED

Home | Logout | Participant-Inspection Audit and Supervision System

Brief description of Incident *

Occurrence date * 10/01/2024 Time * 6:47 PM

Detection date * 10/01/2024 Time * 6:47 PM

Incident Root Cause Analysis

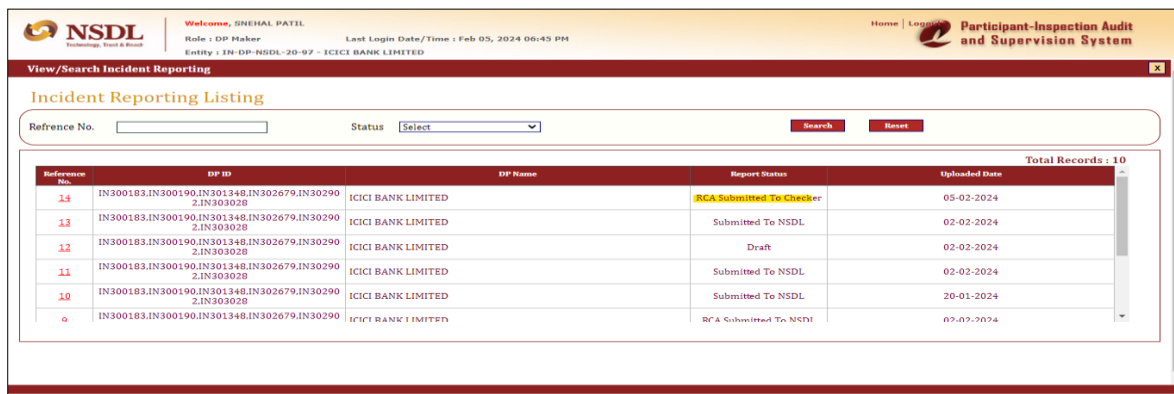
Tested

Submit RCA to checker Reset

vii. Following screen will be displayed.



viii. Status of report will be changed to "RCA Submitted to Checker"



View/Search Incident Reporting

Incident Reporting Listing

Reference No. Status Search Reset

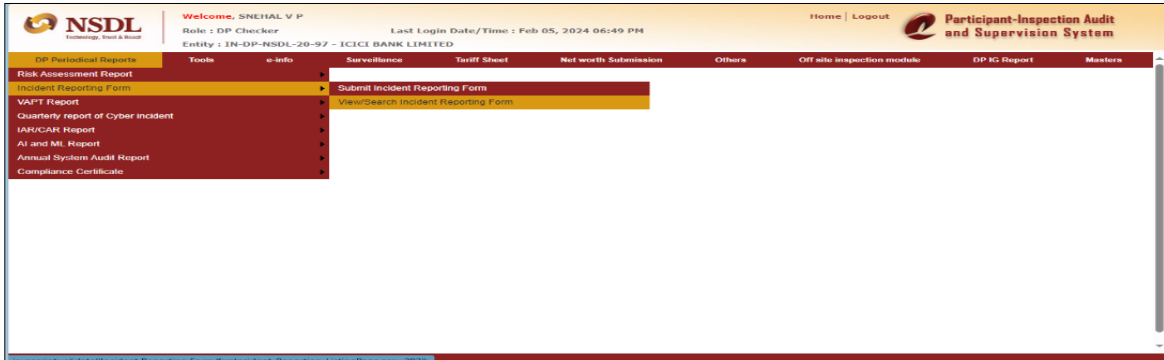
Total Records : 10

Reference No.	DP ID	DP Name	Report Status	Uploaded Date
14	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	RCA Submitted To Checker	05-02-2024
13	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
12	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Draft	02-02-2024
11	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
10	IN300183.IN300190.IN301348.IN302679.IN30290.2.IN303028	ICICI BANK LIMITED	Submitted To NSDL	20-01-2024
9	IN300183.IN300190.IN301348.IN302679.IN30290	ICICI BANK LIMITED	RCA Submitted To NSDL	02-02-2024

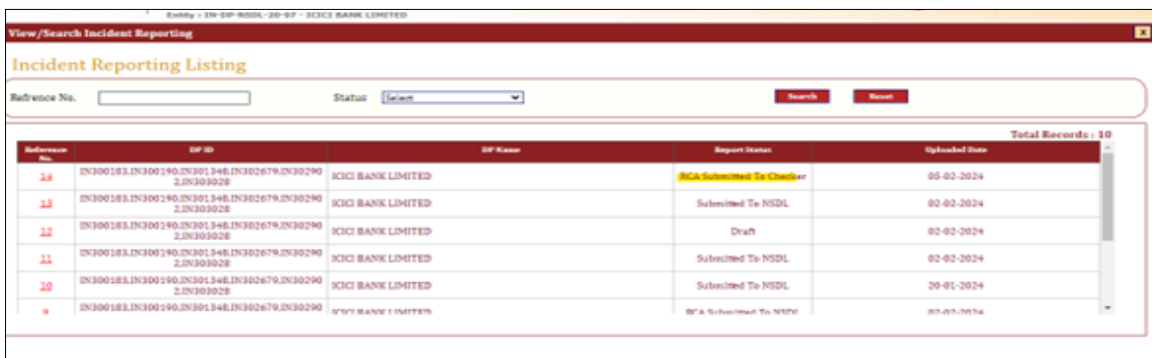


2. RCA Returned to DP Maker:

- i. Login by using DP Checker
- ii. Go to DP Periodical Reports → Incident reporting form → View/Search Incident reporting form

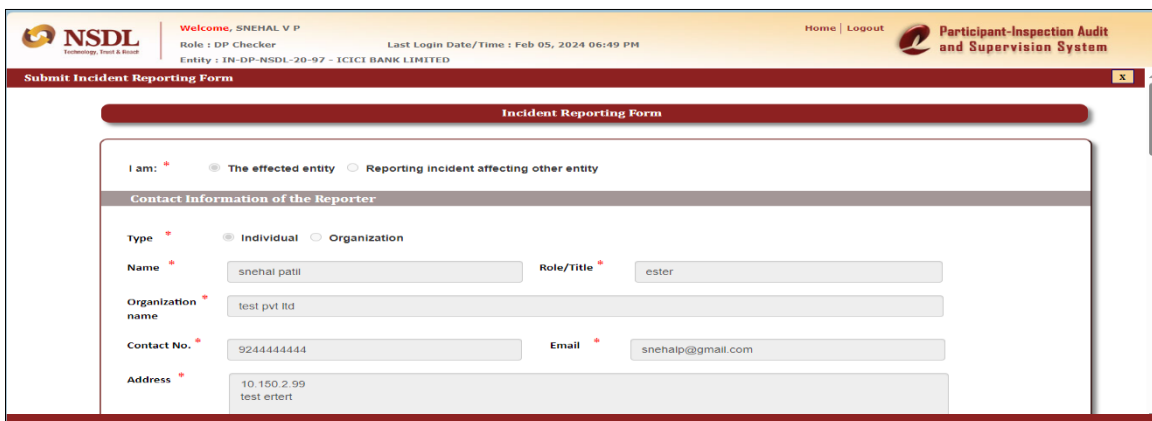


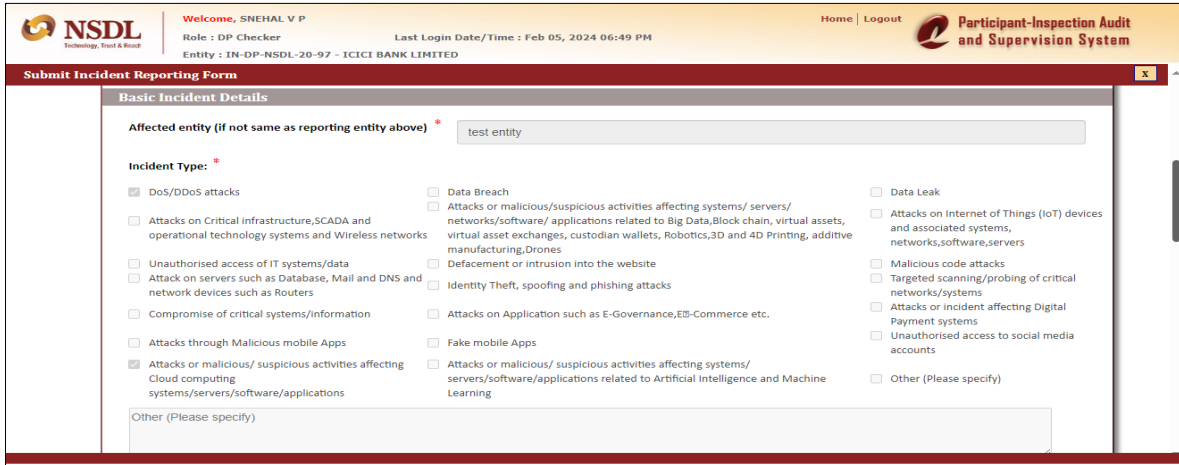
- iii. Filter report with status 'RCA Submitted to DP Checker'



Reference No.	DP ID	DP Name	Report Status	Uploaded Date
14	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	RCA Submitted To Checker	05-02-2024
13	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
12	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Draft	02-02-2024
11	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
10	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	20-01-2024
9	IN300183.IN300190.IN301348.IN302679.IN302902	ICICI BANK LIMITED	Submitted To NSDL	07-07-2024

- iv. Click on the reference number to open the report.





Submit Incident Reporting Form

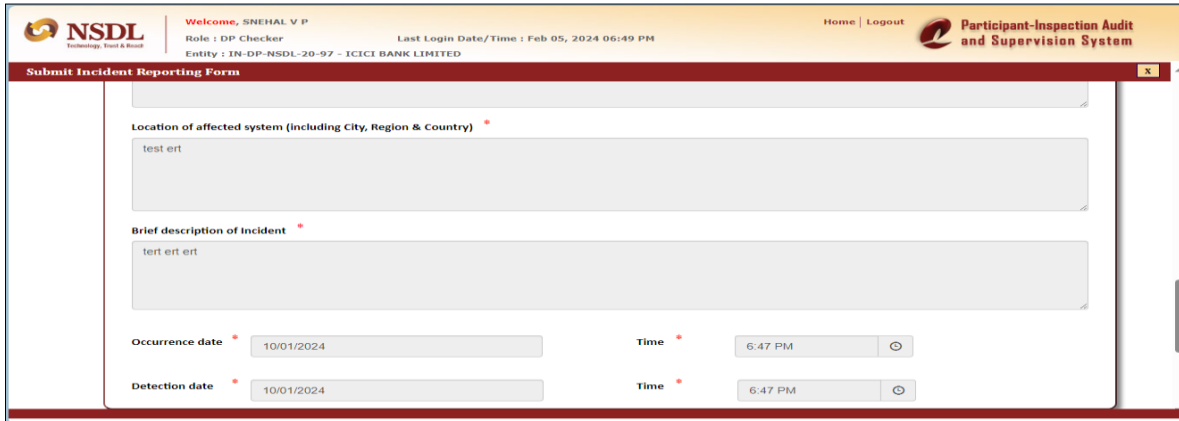
Basic Incident Details

Affected entity (if not same as reporting entity above) * test entity

Incident Type: *

- DoS/DDoS attacks
- Attacks on Critical infrastructure, SCADA and operational technology systems and Wireless networks
- Unauthorised access of IT systems/data
- Attack on servers such as Database, Mail and DNS and network devices such as Routers
- Compromise of critical systems/information
- Attacks through Malicious mobile Apps
- Attacks or malicious/ suspicious activities affecting Cloud computing systems/servers/software/applications
- Data Breach
- Attacks or malicious/suspicious activities affecting systems/ servers/ networks/software/ applications related to Big Data, Block chain, virtual assets, virtual asset exchanges, custodian wallets, Robotics, 3D and 4D Printing, additive manufacturing, Drones
- Defacement or intrusion into the website
- Identity Theft, spoofing and phishing attacks
- Attacks on Application such as E-Governance, E3-Commerce etc.
- Fake mobile Apps
- Attacks or malicious/ suspicious activities affecting systems/ servers/software/applications related to Artificial Intelligence and Machine Learning
- Data Leak
- Attacks on Internet of Things (IoT) devices and associated systems, networks, software, servers
- Malicious code attacks
- Targeted scanning/probing of critical networks/systems
- Attacks or incident affecting Digital Payment systems
- Unauthorised access to social media accounts
- Other (Please specify)

Other (Please specify)



Submit Incident Reporting Form

Location of affected system (including City, Region & Country) *

test ert

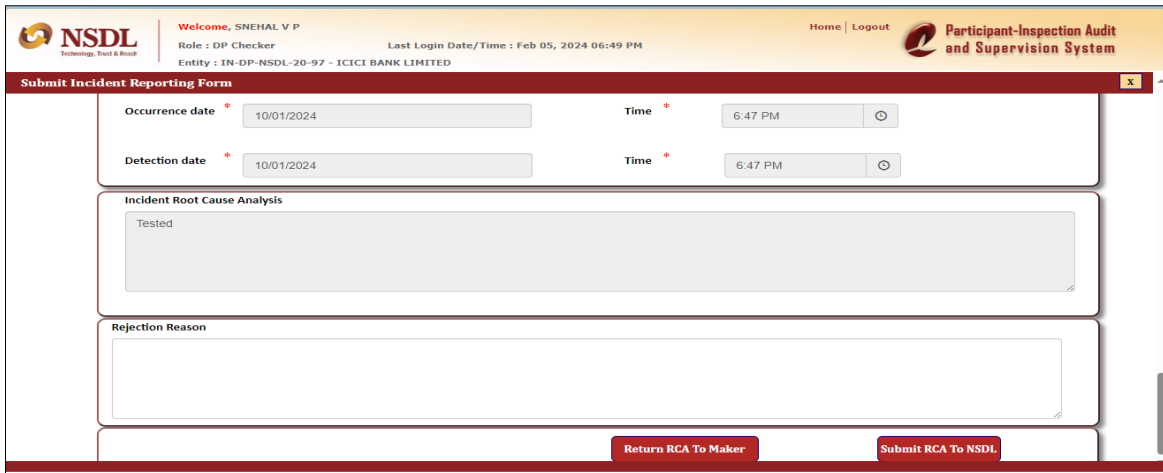
Brief description of incident *

tert ert ert

Occurrence date * 10/01/2024 **Time *** 6:47 PM

Detection date * 10/01/2024 **Time *** 6:47 PM

v. Enter rejection reason → Click on Returned to Maker



Submit Incident Reporting Form

Occurrence date * 10/01/2024 **Time *** 6:47 PM

Detection date * 10/01/2024 **Time *** 6:47 PM

Incident Root Cause Analysis

Tested

Rejection Reason

Return RCA To Maker **Submit RCA To NSDL**



vi. RCA successfully returned to DP maker.

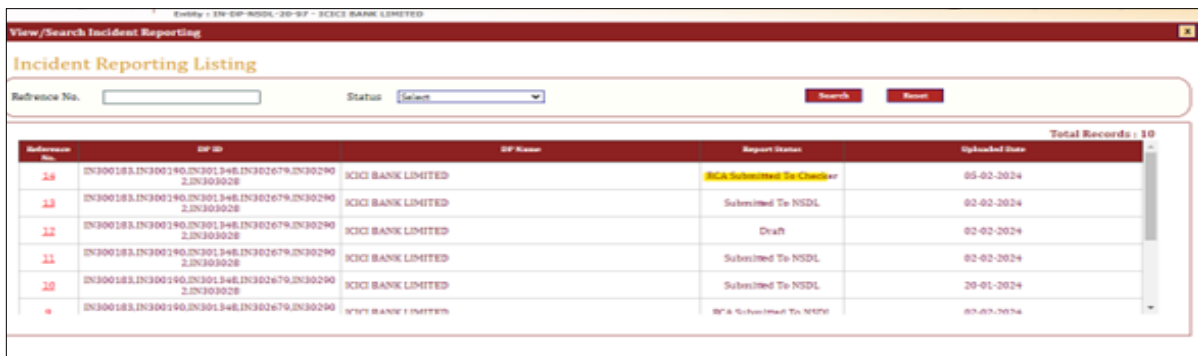


3. Submit the Root Cause Analysis report to NSDL

- i. Login by DP Checker.
- ii. Go to DP Periodical Reports → Incident reporting form → View/Search Incident reporting form

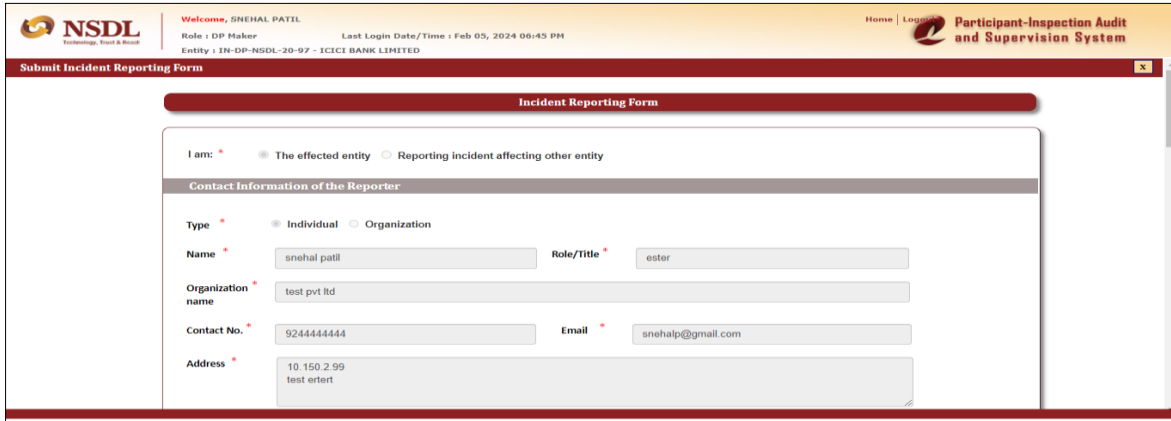


iii. Filter report with status 'RCA Submitted to DP Checker'



Reference No.	DP ID	DP Name	Report Status	Uploaded Date
18	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	RCA Submitted To Checker	05-02-2024
13	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
12	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Draft	02-02-2024
11	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024
10	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	20-01-2024
9	IN300183.IN300190.IN301348.IN302679.IN302902	ICICI BANK LIMITED	DP's Submitted To NSDL	03-02-2024





Submit Incident Reporting Form

Welcome, SNEHAL PATIL
Role : DP Maker
Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED
Last Login Date/Time : Feb 05, 2024 06:45 PM

Incident Reporting Form

I am: The effected entity Reporting incident affecting other entity

Contact Information of the Reporter

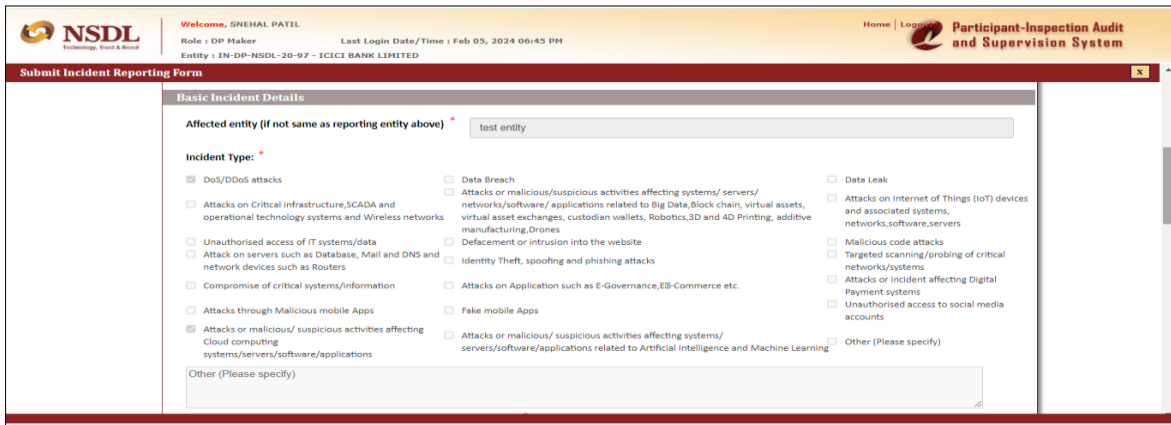
Type: Individual Organization

Name: snehal patil Role/Title: ester

Organization name: test pvt ltd

Contact No.: 9244444444 Email: snehalp@gmail.com

Address: 10, 150, 2 99
test ertart



Submit Incident Reporting Form

Welcome, SNEHAL PATIL
Role : DP Maker
Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED
Last Login Date/Time : Feb 05, 2024 06:45 PM

Basic Incident Details

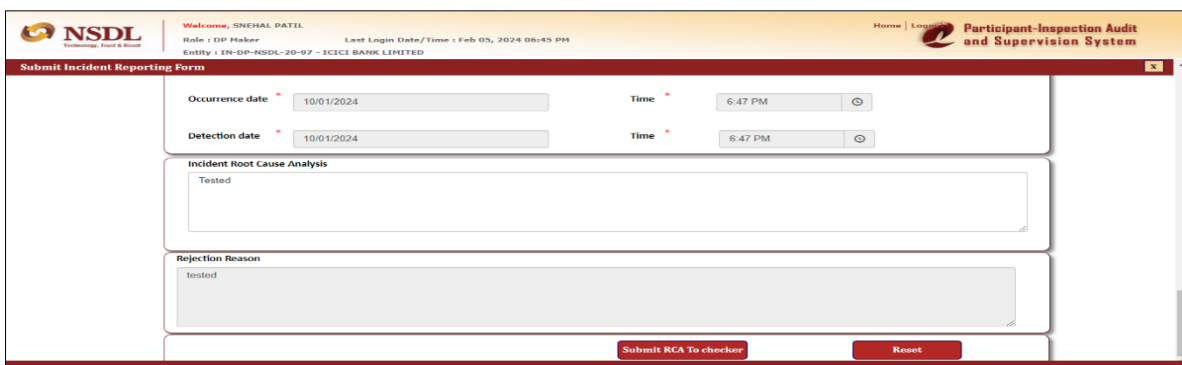
Affected entity (if not same as reporting entity above): test entity

Incident Type:

<input checked="" type="checkbox"/> DoS/DDoS attacks	<input type="checkbox"/> Data Breach	<input type="checkbox"/> Data Leak
<input type="checkbox"/> Attacks on Critical Infrastructure, SCADA and operational technology systems and Wireless networks	<input type="checkbox"/> Attacks or malicious/suspicious activities affecting systems/ servers/ networks/software/ applications related to Big Data, Block chain, virtual assets, virtual asset exchanges, custodian wallets, Robotics, 3D and 4D Printing, additive manufacturing, Drones	<input type="checkbox"/> Attacks on Internet of Things (IoT) devices and associated systems, networks, software, servers
<input type="checkbox"/> Unauthorised access of IT systems/data	<input type="checkbox"/> Defacement or intrusion into the website	<input type="checkbox"/> Malicious code attacks
<input type="checkbox"/> Attack on servers such as Database, Mail and DNS and network devices such as Routers	<input type="checkbox"/> Identity Theft, spoofing and phishing attacks	<input type="checkbox"/> Targeted scanning/probing of critical networks/systems
<input type="checkbox"/> Compromise of critical systems/information	<input type="checkbox"/> Attacks on Application such as E-Governance, ES-Commerce etc.	<input type="checkbox"/> Attacks or incident affecting Digital Payment systems.
<input type="checkbox"/> Attacks through Malicious mobile Apps	<input type="checkbox"/> Fake mobile Apps	<input type="checkbox"/> Unauthorised access to social media accounts
<input checked="" type="checkbox"/> Attacks or malicious/ suspicious activities affecting Cloud computing systems/servers/software/applications	<input type="checkbox"/> Attacks or malicious/ suspicious activities affecting systems/ servers/software/applications related to Artificial Intelligence and Machine Learning	<input type="checkbox"/> Other (Please specify)

Other (Please specify)

iii. Click on Submit RCA to NSDL.



Submit Incident Reporting Form

Welcome, SNEHAL PATIL
Role : DP Maker
Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED
Last Login Date/Time : Feb 05, 2024 06:45 PM

Occurrence date: 10/01/2024 Time: 6:47 PM

Detection date: 10/01/2024 Time: 6:47 PM

Incident Root Cause Analysis

Tested

Rejection Reason

Tested

Submit RCA to checker **Reset**



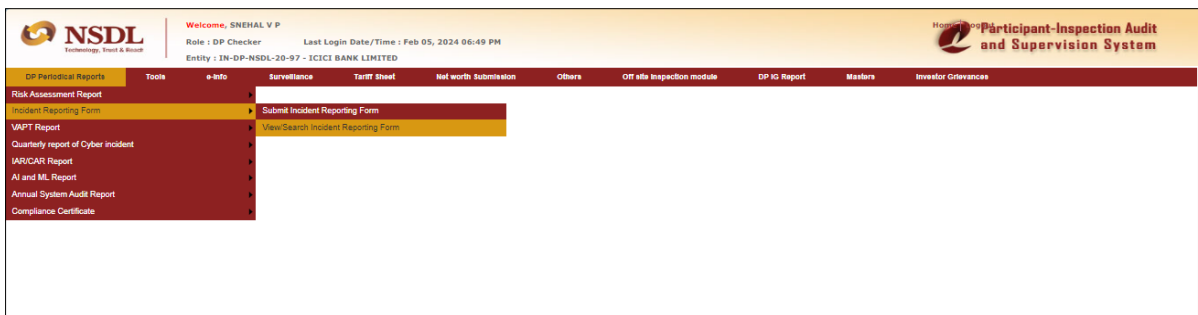


4. VIEW/SEARCH Root Cause Analysis report

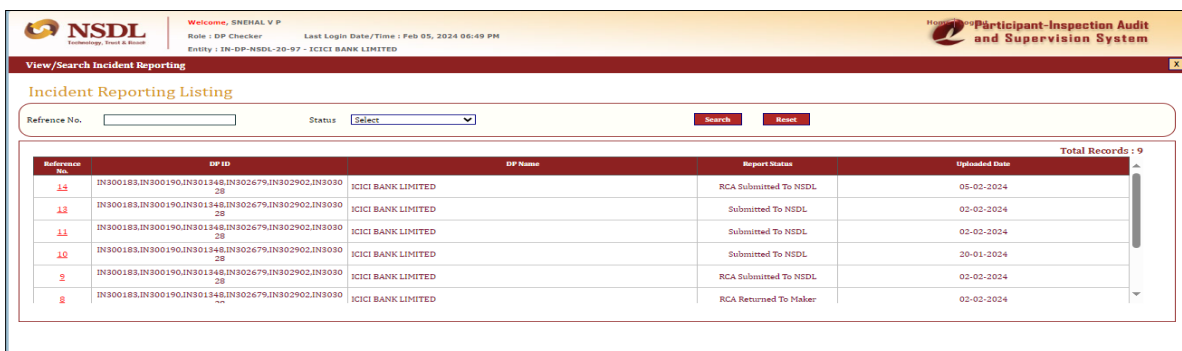
i. After submission of RCA report user can view the report by using view search incident report tab

DP checker can check the submitted root cause analysis report from 'View / Search incident Reporting form' menu.

- i. Login to e-PASS by using DP Checker
- ii. Go to DP Periodical Reports → Incident reporting form → View/Search Incident reporting form.



iii. Filter Report with Status 'RCA Submitted to NSDL'.



View/Search Incident Reporting

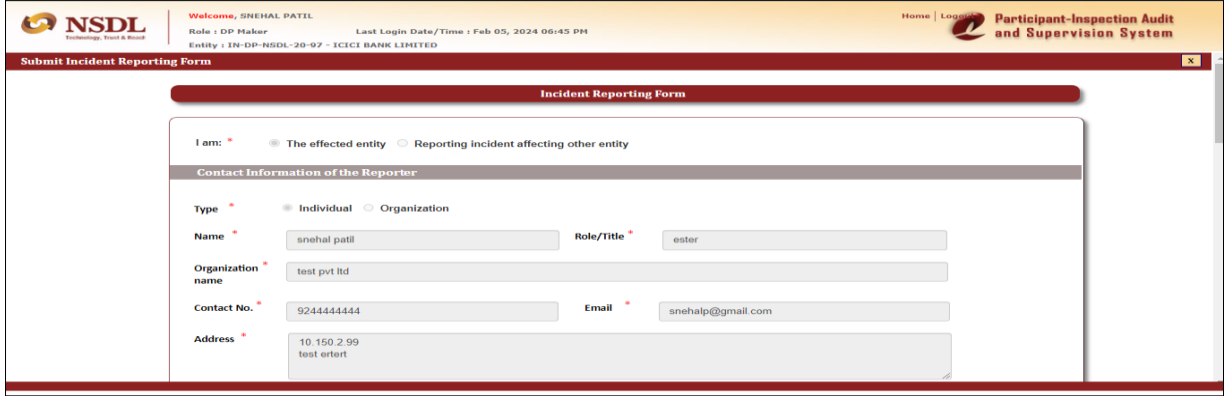
Incident Reporting Listing

Reference No. Status

Reference No.	DP ID	DP Name	Report Status	Uploaded Date	Total Records : 9
14	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	RCA Submitted To NSDL	05-02-2024	<input type="text" value="9"/>
13	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024	
11	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	02-02-2024	
10	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	Submitted To NSDL	20-01-2024	
9	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	RCA Submitted To NSDL	02-02-2024	
8	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	RCA Returned To Maker	02-02-2024	



iii. Click on the reference number to open the report



Submit Incident Reporting Form

Welcome, SNEHAL PATIL
Role : DP Maker
Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED
Last Login Date/Time : Feb 05, 2024 06:45 PM

Incident Reporting Form

I am: The effected entity Reporting incident affecting other entity

Contact Information of the Reporter

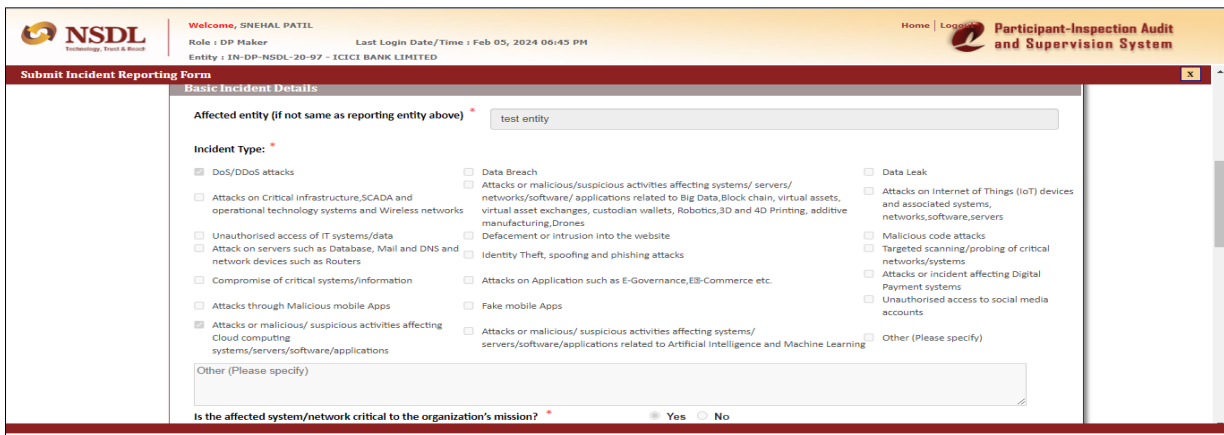
Type: Individual Organization

Name: snehal patil Role/Title: ester

Organization name: test pvt ltd

Contact No.: 9244444444 Email: snehalp@gmail.com

Address: 10, 150 2 99
test ertert



Submit Incident Reporting Form

Welcome, SNEHAL PATIL
Role : DP Maker
Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED
Last Login Date/Time : Feb 05, 2024 06:45 PM

Basic Incident Details

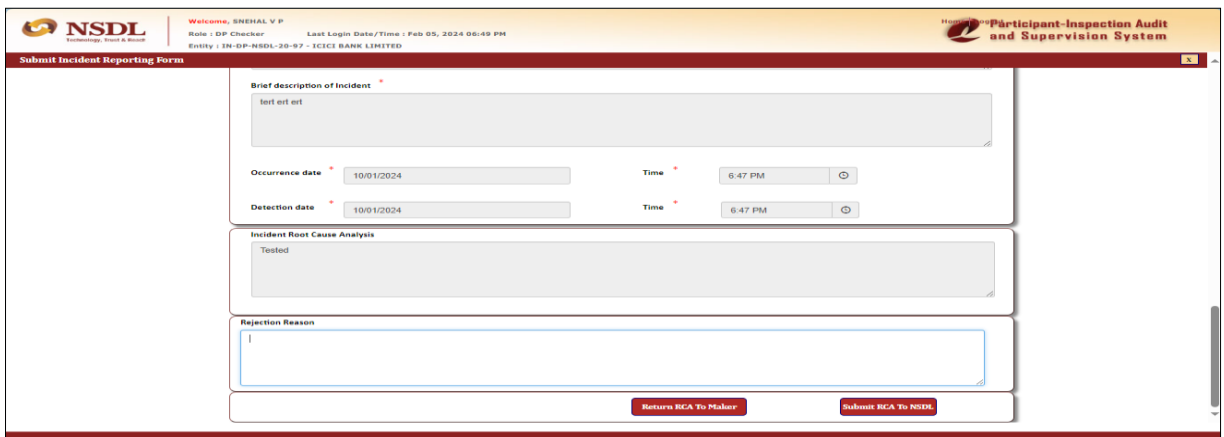
Affected entity (if not same as reporting entity above): test entity

Incident Type:

- DoS/DDoS attacks
- Attacks on Critical Infrastructure, SCADA and operational technology systems and Wireless networks
- Unauthorised access of IT systems/data
- Attack on servers such as Database, Mail and DNS and network devices such as Routers
- Compromise of critical systems/information
- Attacks through Malicious mobile Apps
- Attacks or malicious/ suspicious activities affecting Cloud computing systems/servers/software/applications
- Data Breach
- Attacks or malicious/suspicious activities affecting systems/ servers/ networks/software/ applications related to Big Data, Block chain, virtual assets, virtual asset exchanges, custodian wallets, Robotics, 3D and 4D Printing, additive manufacturing, Drones
- Defacement or intrusion into the website
- Identity Theft, spoofing and phishing attacks
- Attacks on Application such as E-Governance, E-Commerce etc.
- Fake mobile Apps
- Attacks or malicious/ suspicious activities affecting systems/ servers/software/applications related to Artificial Intelligence and Machine Learning
- Data Leak
- Attacks on Internet of Things (IoT) devices and associated systems, networks, software, servers
- Malicious code attacks
- Targeted scanning/probing of critical networks/systems
- Attacks or incident affecting Digital Payment systems
- Unauthorised access to social media accounts
- Other (Please specify)

Other (Please specify):

Is the affected system/network critical to the organization's mission? Yes No



Submit Incident Reporting Form

Welcome, SNEHAL V P
Role : DP Checker
Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED
Last Login Date/Time : Feb 05, 2024 06:49 PM

Brief description of Incident: test ertert

Occurrence date: 10/01/2024 **Time:** 6:47 PM

Detection date: 10/01/2024 **Time:** 6:47 PM

Incident Root Cause Analysis: Tested

Rejection Reason:

[Returns RCA To Maker](#) [Submit RCA To NSDL](#)

END OF THE DOCUMENT

