

Circular No.: NSDL/TRAINING/2024/001

January 09, 2024

Subject: Four-Day Online Training Program on 'NSDL Depository Operations' for Participants.

All Participants are hereby informed that a 4 Day Online training program on '*NSDL Depository Operations*' is scheduled for Participants from January 16 – 19, 2024.

The details of the aforesaid online training program are enclosed at **Annexure**. For more information/clarifications, Participants are requested to contact the below officials:

Name of the official	Telephone Number	Email address	
Ms. Radhika. Shanbhag	8097679644	Radhika.Shanbhag@nsdl.com	
Ms. Candelina Rodriges	9820623251	CandelinaR@nsdl.com	

For and on behalf of National Securities Depository Limited

Arockiaraj Manager

Enclosure: One

FORTHCOMING COMPLIANCE								
Particulars	Deadline	Manner of sending	Reference					
Investor Grievance Report (Monthly)	By 10 th of the following month	Through e-PASS	 Para 20 of NSDL Master Circular for Participants on 'Grievance Redressal' chapter. Circular No.: NSDL/POLICY/2023/0161 dated November 13, 2023 					
Compliance Certificate (half yearly)	January 31 st	Through e-PASS	Para 17 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.					
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15 th of the following month.	Through e-PASS	Para 10 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.					
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	work of Depository By 15th of the following		Para 2.59 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.					
Reporting of status of the alerts generated by Participants (Quarterly)	Within 15 days from end of the quarter	Through e-PASS	Para 11.7 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.					



National Securities Depository Limited

4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013, Maharashtra, India Tel.: 91-22-2499 4200 | Fax: 91-22-2497 6351 | Email: <u>info@nsdl.com</u> | Web: www.nsdl.co.in Corporate Identity Number: U74120MH2012PLC230380



Annexure

Details of the Online Training Program on NSDL Depository Operation

I) Features of the Online Training Program:

The online training program will focus in-depth on day-to-day operations with respect to the following aspects:

- KYC requirements for opening various depository accounts including recent developments.
- Various operations modules of NSDL depository system viz., demat/remat, settlement, pledge, mutual funds, transmission etc, and processing of instructions in respect of these modules.
- Various system modules of NSDL depository system viz., eDPM and Local DPM System such as features, reports, back-office interface, GISMO etc.
- Compliance and inspection requirements of NSDL.
- Various e-Services facilities of NSDL viz., IDeAS, SPEED-e etc.
- NSDL APIs and Technology integrations

The aforesaid topics will be presented by experienced faculty members of NSDL.

II) Target audience who can attend the training program:

Following officials of the Participants who are interested in enhancing/update their knowledge on operational and systems aspects of NSDL Depository Operations:

- 1. Compliance Officer / Alternate Compliance Officer etc
- 2. Existing officials
- 3. New officials
- 4. Officials from service centers

III) Other details of the online training program:

The online training program will be for four days and the duration will be from 10.00 am to 5.30 pm.





- 2. A digitally signed 'Participation Certificate' will be issued to officials of Participants attending the training program.
- 3. The training program will be held online.
- 4. The Training fee will be Rs. 4000 (plus GST as per applicable rates) per person. The fee amount will be included in the monthly bill for January 2024 and need not be paid separately.
- 5. Participants can register the names of their representatives who will be attending the training program by sending an email at <u>trainingdept@nsdl.co.in</u> in the format given below:

Sr. No	Name of Training Program	Name of the Participant	DP ID	Name of the official	Email ID of the Official	Moblie Number (Only 10 digital Number to be Provided) of the Official
	NSDL					
	Depository					
	Operations					

Please confirm your participation by **12th January 2024 by 12 noon**.

6. Details of the training program will be communicated vide a meeting link at the aforesaid official's email address.

