

Circular No.: NSDL/POLICY/2024/0006

January 16, 2024

Subject: Facility for submission of VAPT revalidation compliance Report in electronic form through e-PASS.

Attention of Participants is invited to NSDL Circular No.: NSDL/POLICY/2023/0137 dated September 28, 2023, wherein the guidelines for conducting VAPT and submission timelines for the VAPT report were provided. It has been noted that the Participants have submitted the initial VAPT report through the e-PASS portal.

In this regard, Participants are hereby requested to submit the VAPT revalidation report through e-PASS platform also. Additionally, Participants must send a copy of the VAPT revalidation reports to NSDL at vapt-nsdl@nsdl.com.

The procedure for submission of VAPT revalidation report through e-PASS portal is enclosed in **Annexure A**.

Please adhere to SEBI guidelines for submission of VAPT revalidation reports to NSDL. The revalidation VAPT report shall be submitted to NSDL after approval from Technology Committee of Participants. The compliance of closure of findings identified during VAPT shall be submitted to NSDL within 3 months post the submission of initial VAPT report.

Participants shall submit the revalidation VAPT report to NSDL latest by **March 31, 2024**.

The due date for submissions of VAPT report are given below:

VAPT Period	Initial VAPT report	VAPT revalidation report (All points closed & revalidated)
Sep-Nov, 2023	December 31, 2023	March 31, 2024

For further information on the circular, Participants are requested to contact at Tel: (022) 42165317 or send an email on vapt-nsdl@nsdl.com. For technical queries or assistance for submission in the portal, Participants are requested to contact Tel: (022) 4216 5573 / epass@nsdl.com.



Please note that non -submission of VAPT revalidation report within specified timelines may result in regulatory non-compliance.

Participants are requested to take note of the above and ensure compliance.

**For and on behalf of
National Securities Depository Limited**

**Arockiaraj
Manager**

Enclosure: One

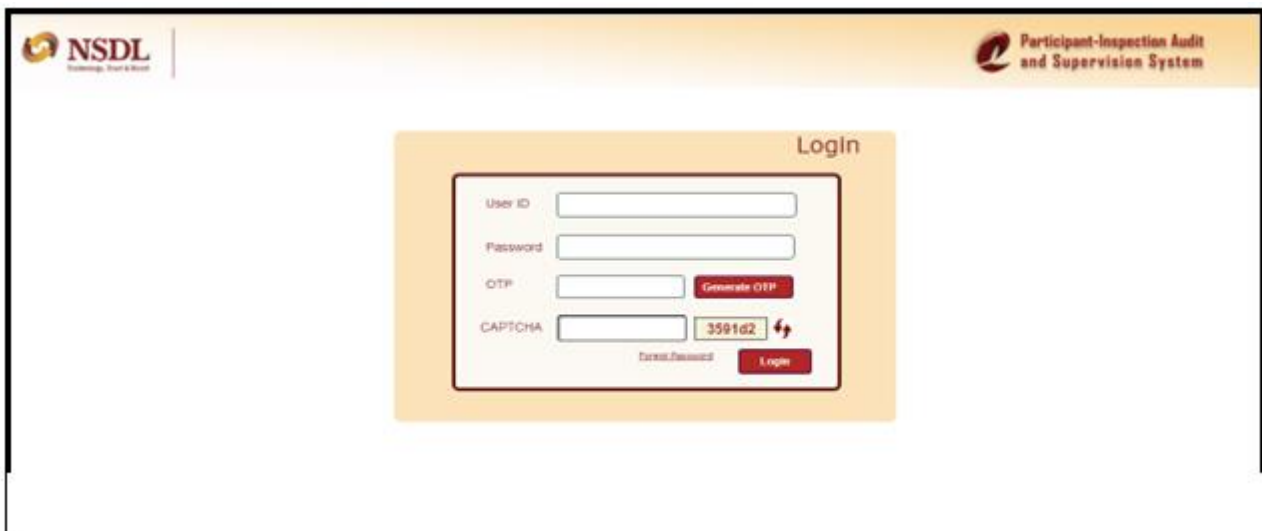
FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month	Through e-PASS	1. Para 20 of NSDL Master Circular for Participants on 'Grievance Redressal' chapter. 2. Circular No.: NSDL/POLICY/2023/0161 dated November 13, 2023
Compliance Certificate (half yearly)	January 31 st	Through e-PASS	Para 17 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.



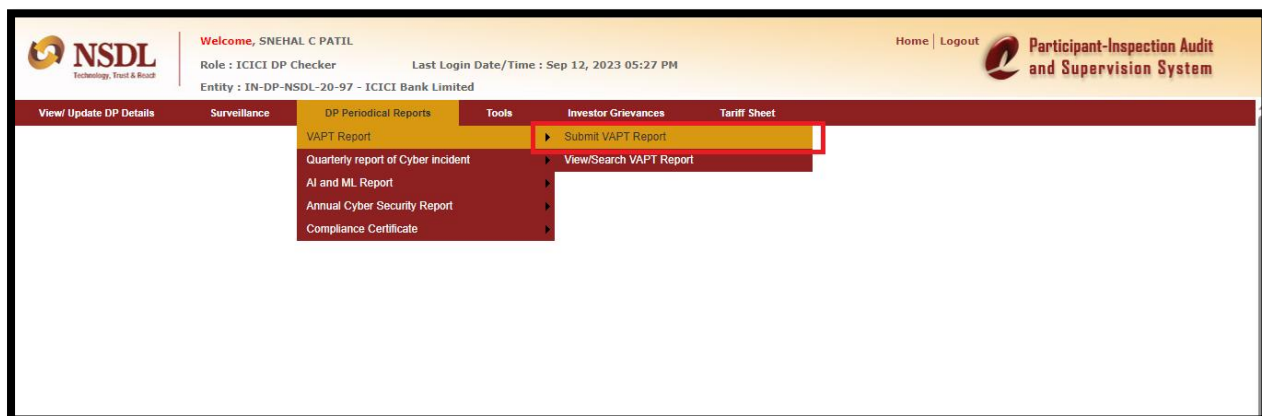
Annexure A

Guidelines to submit the VAPT Revalidation Report:

Click on e-PASS URL: <https://www.epass.nsdl.com> to submit the VAPT revalidation report.

1. Login in e-PASS by using DP Checker.**2.**

Go to DP Periodical report TAB.

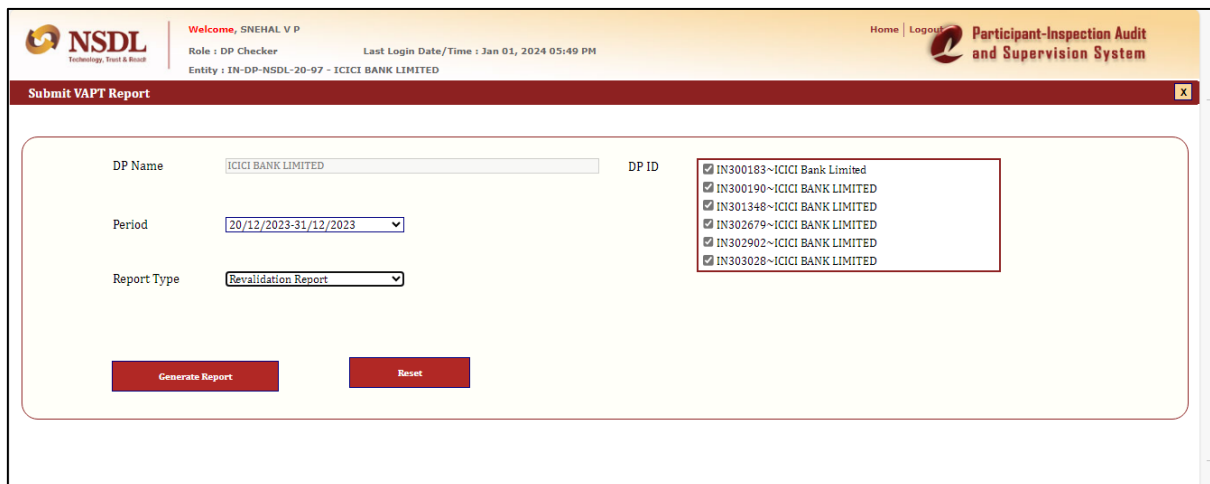


2. Click on VAPT Report. -> Click on Submit VAPT report



The screenshot shows the NSDL Participant-Inspection Audit and Supervision System dashboard. The user is logged in as SNEHAL V P, Role: DP Checker, Entity: IN-DP-NSDL-20-97 - ICICI BANK LIMITED. The dashboard has a top navigation bar with links like Home, Logout, and a sidebar menu. The sidebar menu is expanded, showing options under 'DP Periodical Reports'. The 'VAPT Report' option is highlighted, and a sub-menu is visible with 'Submit VAPT Report' and 'View/Search VAPT Report'.

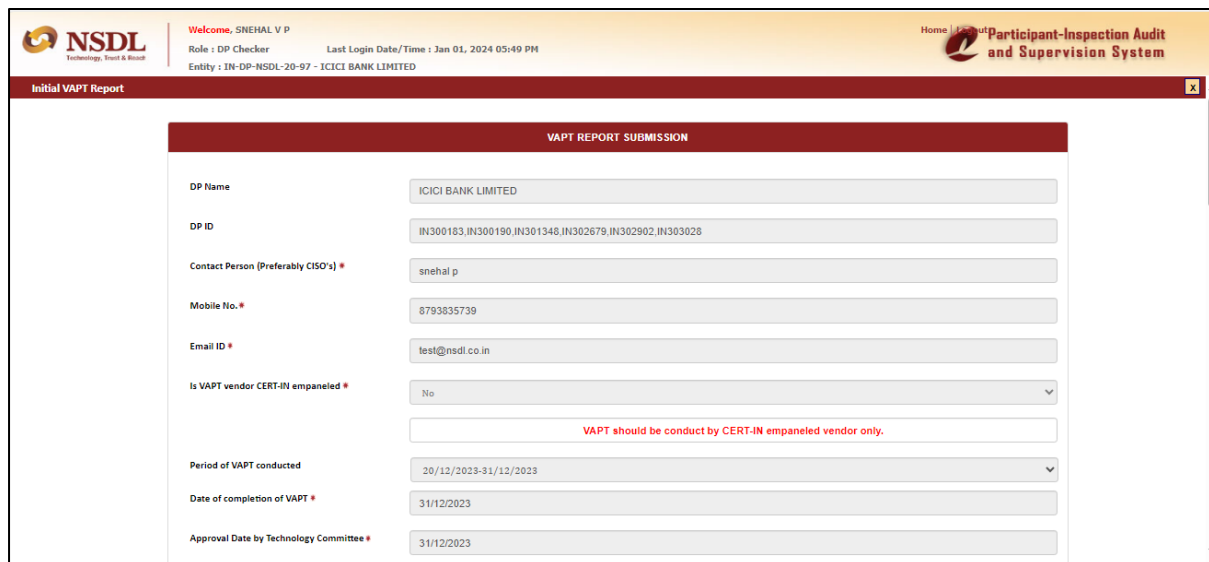
3. Select Audit period, Report type and click on generate report.



The screenshot shows the 'Submit VAPT Report' form. The form has the following fields:

- DP Name: ICICI BANK LIMITED
- Period: 20/12/2023-31/12/2023
- Report Type: Revalidation Report
- DP ID: A list of DP IDs with checkboxes:
 - ☒ IN300183~ICICI Bank Limited
 - ☒ IN300190~ICICI BANK LIMITED
 - ☒ IN301348~ICICI BANK LIMITED
 - ☒ IN302679~ICICI BANK LIMITED
 - ☒ IN302902~ICICI BANK LIMITED
 - ☒ IN303028~ICICI BANK LIMITED


At the bottom of the form, there are two buttons: 'Generate Report' and 'Reset'.



The screenshot shows the 'Initial VAPT Report' form. The form has the following fields:

- DP Name: ICICI BANK LIMITED
- DP ID: IN300183,IN300190,IN301348,IN302679,IN302902,IN303028
- Contact Person (Preferably CISO's): snehal p
- Mobile No.: 8793835739
- Email ID: test@nsdl.co.in
- Is VAPT vendor CERT-IN empaneled: No
- Period of VAPT conducted: 20/12/2023-31/12/2023
- Date of completion of VAPT: 31/12/2023
- Approval Date by Technology Committee: 31/12/2023

At the bottom of the form, there is a red text message: 'VAPT should be conduct by CERT-IN empaneled vendor only.'



Welcome, SNEHAL V P
 Role : DP Checker Last Login Date/Time : Jan 01, 2024 05:49 PM
 Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED

Home | Logout | Participant-Inspection Audit and Supervision System


Initial VAPT Report

Period of VAPT conducted: 20/12/2023-31/12/2023
 Date of completion of VAPT #: 31/12/2023
 Approval Date by Technology Committee #: 31/12/2023
 Date of Initial VAPT Report Submission#: 04/01/2024
 VAPT Initial Report (Upto 8MB) #: [27088.pdf](#)
 VAPT Final Report (Upto 8MB) #: [Choose File](#) No file chosen

Initial Identified Vulnerabilities

Scope	Particulars	Identified vulnerabilities				Target Date for Closure of finding	Remarks By DP(if any)	Activity
		Critical	Medium	High	Low			
Applications	test applicatio	2	2	2	2	31/12/2023	test erter	×
Cloud Deployments	tes tert	1	1	1	1	04/01/2024	testive	+

4. Enter Closed, Open and New vulnerabilities in the respective fields.



Welcome, SNEHAL V P
 Role : DP Checker Last Login Date/Time : Jan 01, 2024 05:49 PM
 Entity : IN-DP-NSDL-20-97 - ICICI BANK LIMITED

Home | Logout | Participant-Inspection Audit and Supervision System

Initial VAPT Report

Closed vulnerabilities in revalidation

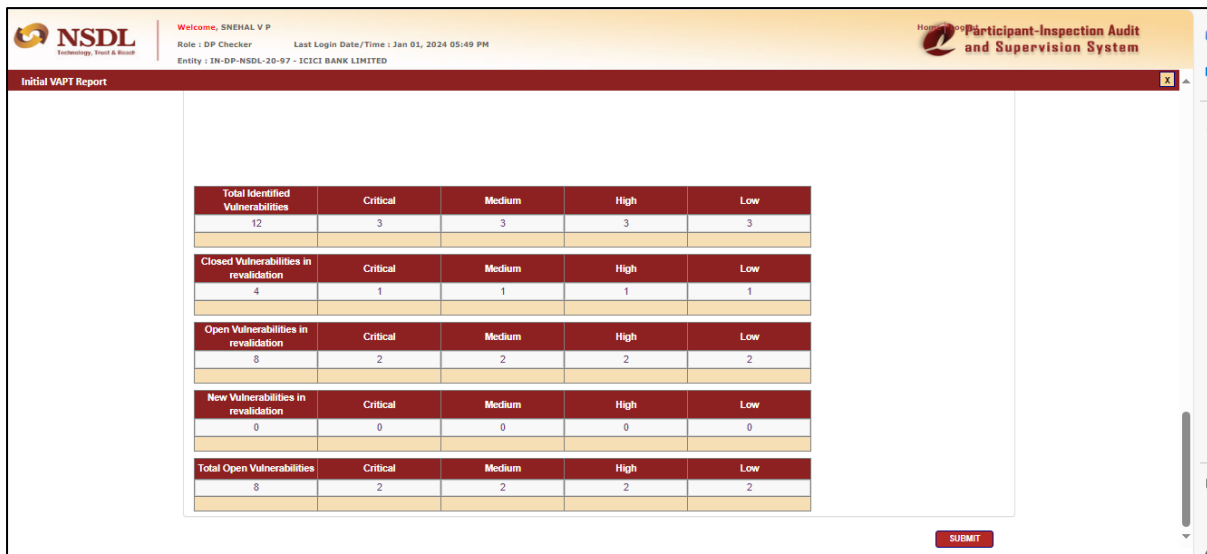
Scope	Particulars	Closed vulnerabilities in revalidation				Activity
		Critical	Medium	High	Low	
Applications	application closed	1	1	1	1	×
(See Below)						+

Open vulnerabilities in revalidation

Scope	Particulars	Open vulnerabilities in revalidation				Timelines to close the open vulnerabilities(if any)	(Reason for Delay)/If closure of open vulnerabilities is beyond due date	Activity
		Critical	Medium	High	Low			
Applications	open	1	1	1	1	01/03/2024	due to some issues	×
Cloud Deployments	open vurnabilities	1	1	1	1	14/02/2024	test nbm	×
(See Below)								+

New vulnerabilities in revalidation

Scope	Particulars	New vulnerabilities in revalidation				Target Date for Closure of finding	Remarks By DP(if any)	Activity
		Critical	Medium	High	Low			
Mobile Applications	test NA	0	0	0	0	04/01/2024	test erter	×
(See Below)								+



Initial VAPT Report

Total Identified Vulnerabilities	Critical	Medium	High	Low
12	3	3	3	3

Closed Vulnerabilities in revalidation	Critical	Medium	High	Low
4	1	1	1	1

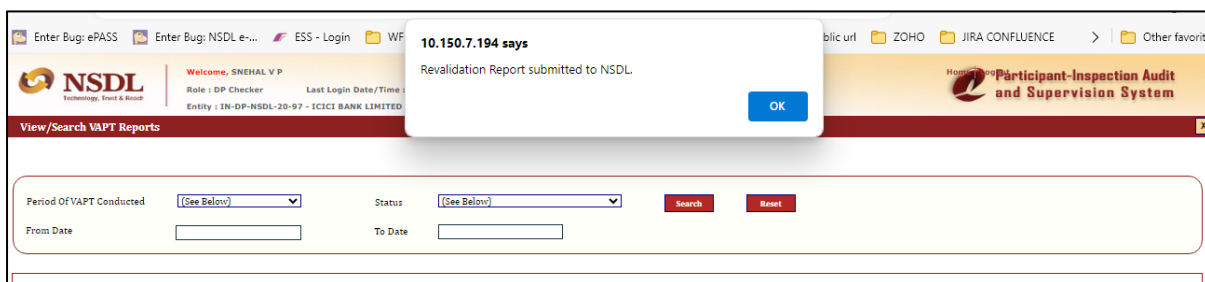
Open Vulnerabilities in revalidation	Critical	Medium	High	Low
8	2	2	2	2

New Vulnerabilities in revalidation	Critical	Medium	High	Low
0	0	0	0	0

Total Open Vulnerabilities	Critical	Medium	High	Low
8	2	2	2	2

SUBMIT

5. Click on Submit -> Revalidation report submitted to NSDL.



10.150.7.194 says
Revalidation Report submitted to NSDL.

OK

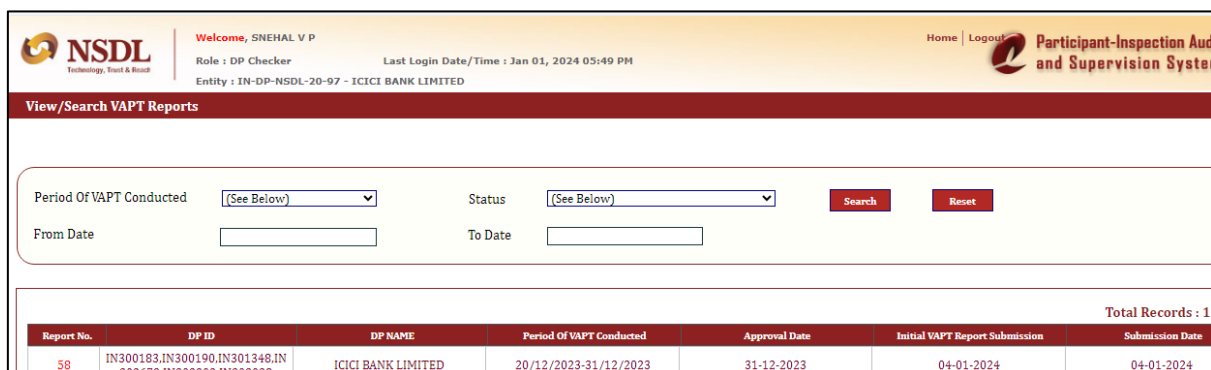
View/Search VAPT Reports

Period Of VAPT Conducted: (See Below) Status: (See Below) Search Reset

From Date: To Date:

6. VIEW/SEARCH -Revalidation VAPT REPORT:

1. If the DP checker wants to check the submitted revalidation report, then the same can be checked from View / Search VAPT Report. Click on Report Number and open/view report



View/Search VAPT Reports

Period Of VAPT Conducted: (See Below) Status: (See Below) Search Reset

From Date: To Date:

Report No.	DP ID	DP NAME	Period Of VAPT Conducted	Approval Date	Initial VAPT Report Submission	Submission Date
58	IN300183.IN300190.IN301348.IN302679.IN302902.IN303028	ICICI BANK LIMITED	20/12/2023-31/12/2023	31-12-2023	04-01-2024	04-01-2024

Total Records : 1
