

Circular No.: NSDL/POLICY/2023/0137

September 28, 2023

**Subject: Facility for submission of VAPT compliance Report in electronic form through e-PASS.**

Attention of Participants is invited to NSDL Circular Nos. NSDL/POLICY/2022/166 dated November 28, 2022 & NSDL/POLICY/2023/0064 dated June 12, 2023, wherein the guidelines for conducting VAPT and submission timelines for the VAPT report were provided.

In this regard, Participants are hereby informed that NSDL has developed a facility for online submission of VAPT report through e-PASS portal. Accordingly, Participants shall be required to submit VAPT report for financial year **2023-24** online through e-PASS platform. Additionally, Participants shall also email a copy of their VAPT reports at [vapt-nsdl@nsdl.com](mailto:vapt-nsdl@nsdl.com)

The procedure for submission of VAPT Report through e-PASS portal is enclosed in **Annexure A**. This facility will be made available to Participants with effect from **October 16, 2023**.

Depository Participants are required to engage only CERT-In empaneled organizations for conducting VAPT. The initial VAPT report shall be submitted to NSDL after approval from Technology Committee of Depository Participants, within 1 month of completion of VAPT activity.

Participants shall submit the initial VAPT report to NSDL latest by **December 25, 2023**.

Further, Participants are requested to fix all the vulnerabilities reported in the initial VAPT report and conduct revalidation assessment. The compliance of closure of findings identified during VAPT shall be submitted to NSDL within 3 months post the submission of initial VAPT report. The Participants shall submit the final VAPT report to NSDL latest by **March 25, 2024**.

**The due date for submissions of VAPT report are given below:**

VAPT Period	Initial VAPT report	Final VAPT report (All points closed & revalidated)
Sep-Nov, 2023	December 25, 2023	March 25, 2024



For further information on the circular, Participants are requested to contact at Tel: (022) 42165317 or send an email on [vapt-nsdl@nsdl.com](mailto:vapt-nsdl@nsdl.com). For technical queries or assistance for submission in the portal, Participants are requested to contact Tel: (022) 2499 4119 / [harshadap@nsdl.com](mailto:harshadap@nsdl.com)/[Madhurig@nsdl.com](mailto:Madhurig@nsdl.com).

**Please note that non -submission of VAPT report within specified timelines may result in regulatory non-compliance.**

Participants are requested to take note of the above and ensure compliance.

**For and on behalf of  
National Securities Depository Limited**

**Arockiaraj  
Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month	Through e-PASS	Para 20 of NSDL Master Circular for Participants on Grievance Redressal chapter
Networth Certificate and Audited Financial Statements (yearly)	October 31 <sup>st</sup>	Through e-PASS	Para 27 of NSDL Master Circular for Participants on Internal Controls/Reporting to NSDL / SEBI chapter and Circular Nos. NSDL/POLICY/2023/0069 dated June 16, 2023, NSDL/POLICY/2023/0122 dated September 11, 2023 and NSDL/POLICY/2023/0131 dated September 25, 2023.



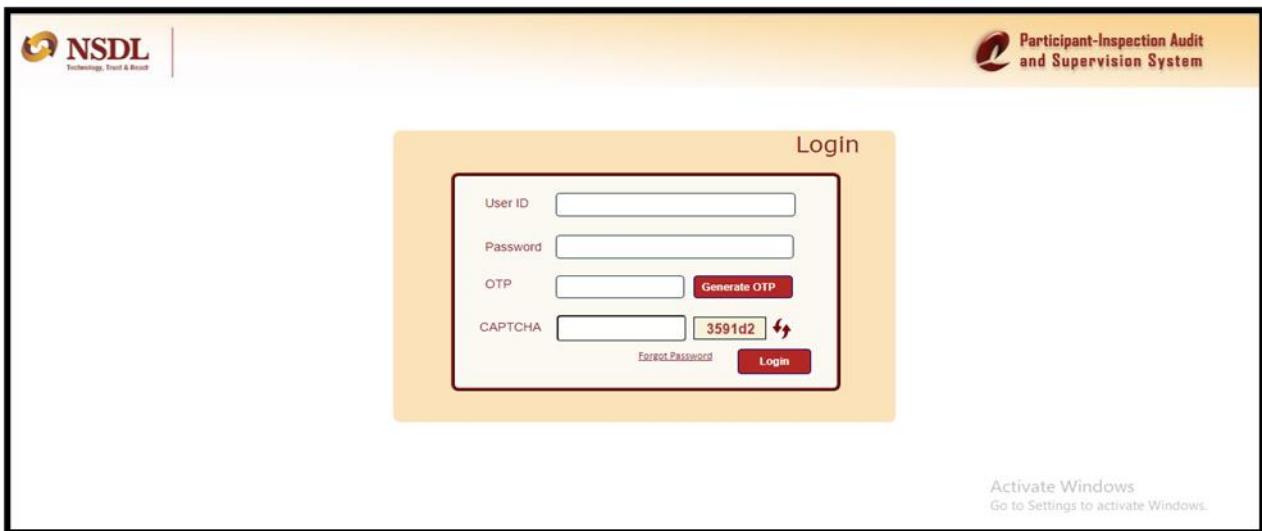
**Annexure A**

**Guidelines to submit the VAPT Report**


Click on ePass URL : <https://www.epass.nsd.com> to submit the report.

**SUBMIT VAPT REPORT:**

1. Login in ePass by using DP Checker.

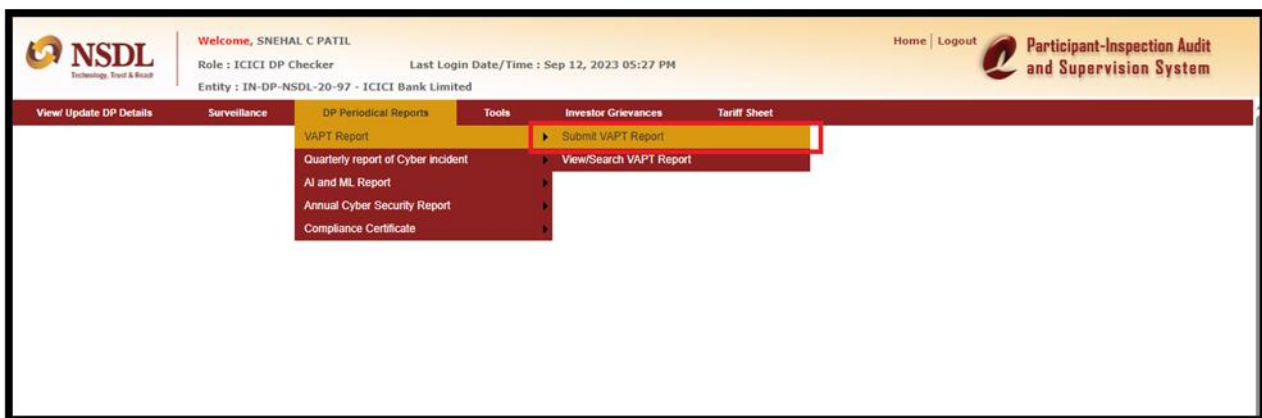


The screenshot shows the ePass login interface. At the top left is the NSDL logo. At the top right is the text "Participant-Inspection Audit and Supervision System". The main content area is titled "Login" and contains a form with the following fields and buttons:

- User ID:
- Password:
- OTP:  **Generate OTP**
- CAPTCHA:  3591d2 
- [Forgot Password](#)
- Login**

At the bottom right, there is a watermark: "Activate Windows Go to Settings to activate Windows."

2. Go to DP Periodical report TAB.

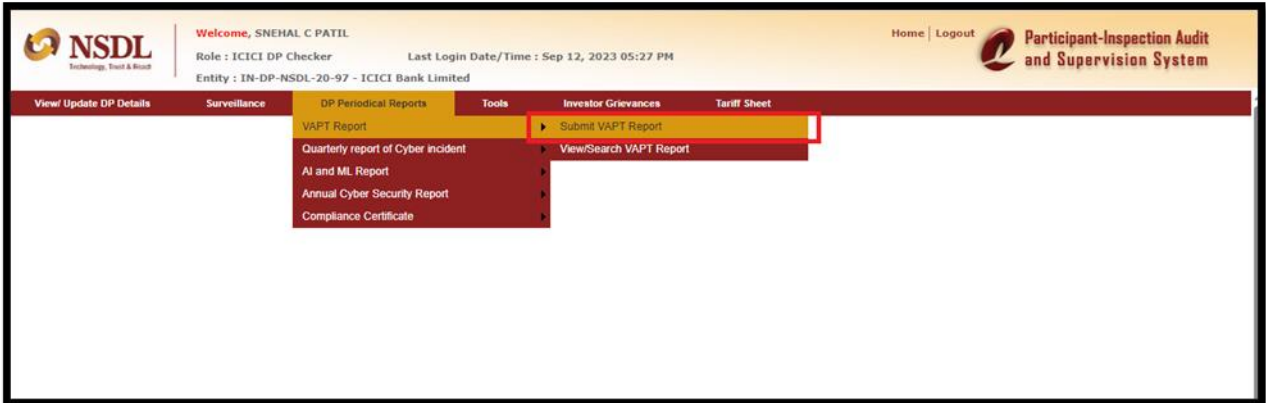


The screenshot shows the ePass dashboard. At the top left is the NSDL logo. The top navigation bar includes "Welcome, SNEHAL C PATIL", "Role : ICICI DP Checker", "Last Login Date/Time : Sep 12, 2023 05:27 PM", "Entity : IN-DP-NSDL-20-97 - ICICI Bank Limited", "Home | Logout", and "Participant-Inspection Audit and Supervision System". The main content area has a navigation menu with the following items:

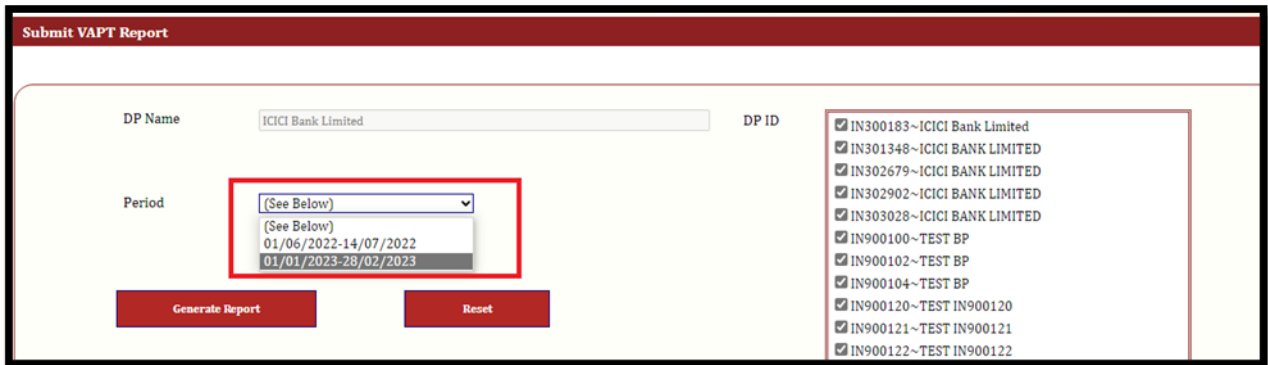
- View Update DP Details
- Surveillance
- DP Periodical Reports**
  - VAPT Report
    - Submit VAPT Report**
    - View/Search VAPT Report
  - Quarterly report of Cyber Incident
  - AI and ML Report
  - Annual Cyber Security Report
  - Compliance Certificate
- Tools
- Investor Grievances
- Tariff Sheet



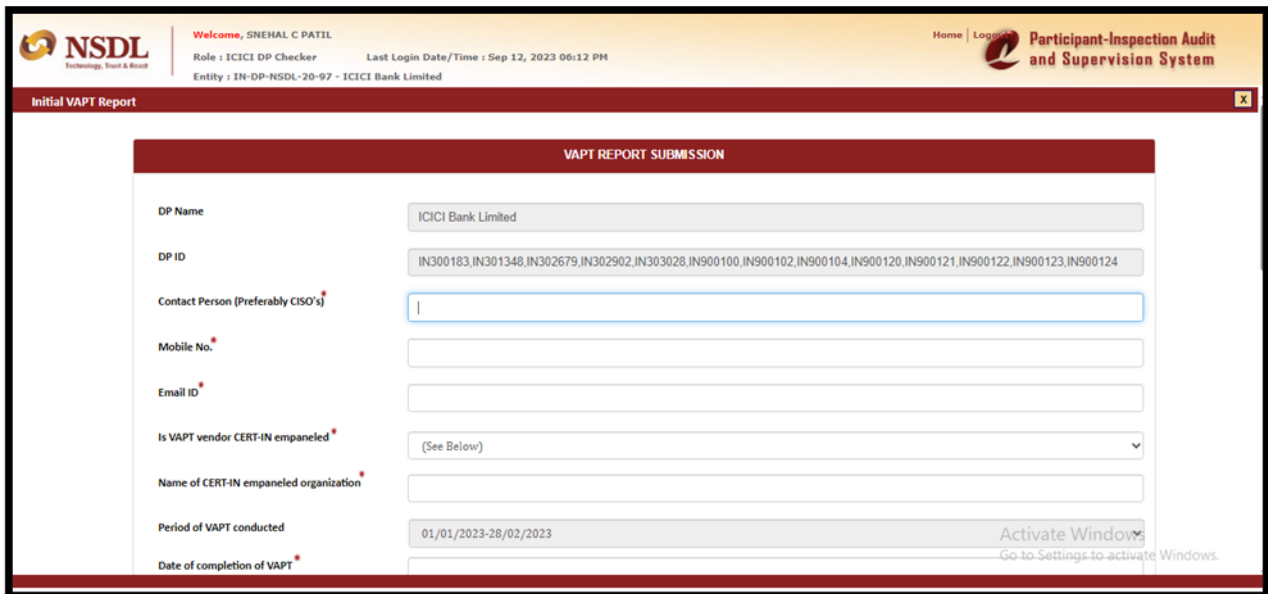
3. Click on VAPT Report. -> Click on Submit VAPT report

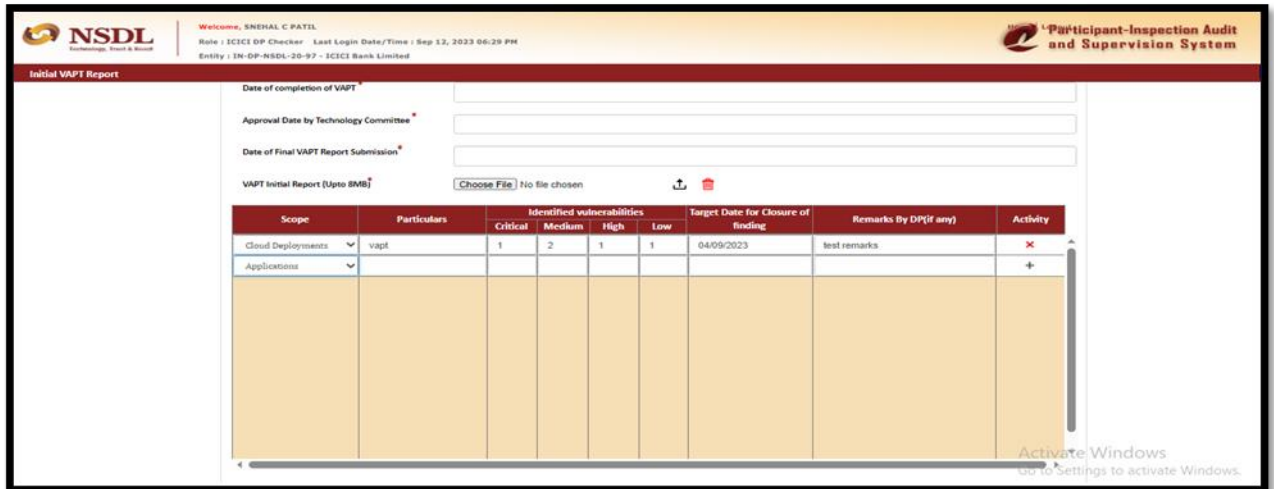


4. Select Period of VAPT conducted and click on generate report.



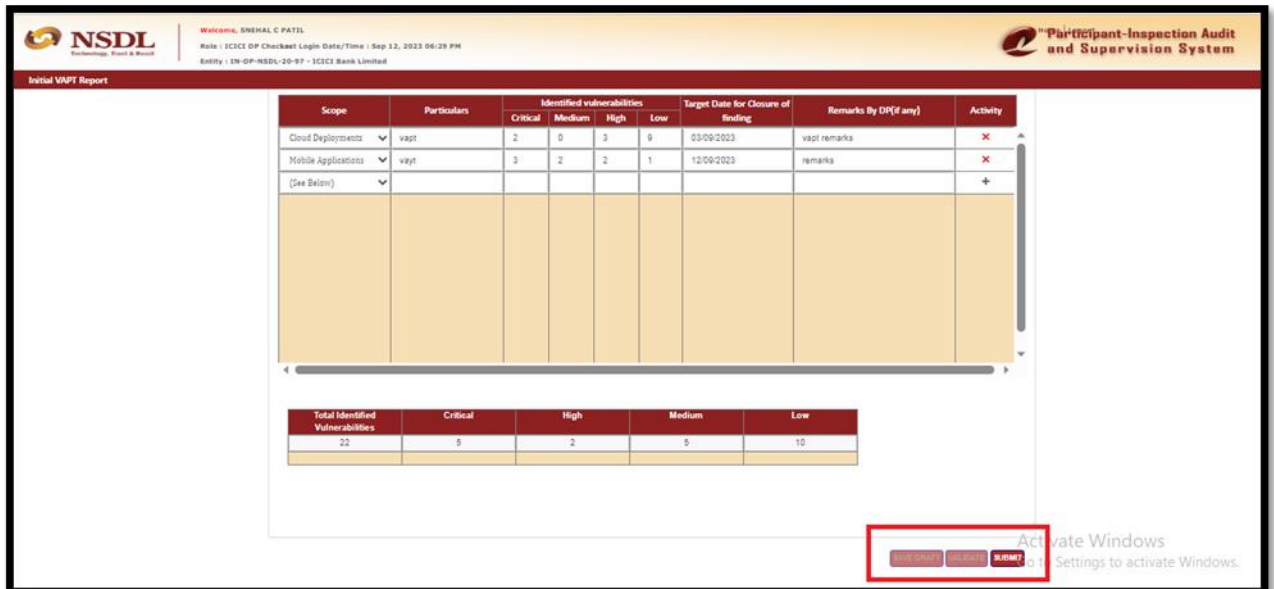
5. Fill in the details in the prescribed format.





Scope	Particulars	Identified vulnerabilities				Target Date for Closure of finding	Remarks By DP (if any)	Activity
		Critical	Medium	High	Low			
Cloud Deployments	vapt	1	2	1	1	04/09/2023	test remarks	✗
Applications								+

6. Click on **SAVE DRAFT, VALIDATE AND SUBMIT** the report.

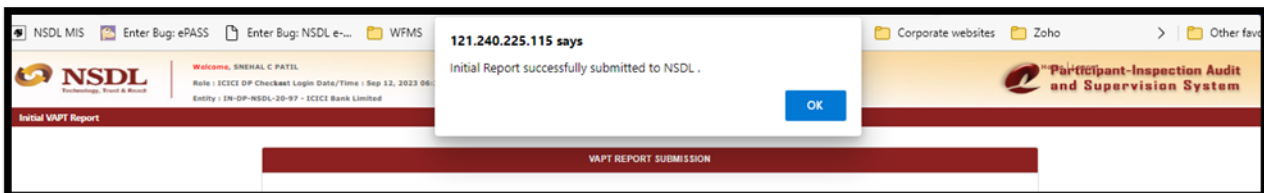


Scope	Particulars	Critical	Medium	High	Low	Target Date for Closure of finding	Remarks By DP (if any)	Activity
Cloud Deployments	vapt	2	0	3	9	03/09/2023	vapt remarks	✗
Mobile Applications	vapt	3	2	2	1	12/09/2023	remarks	✗
(See Below)								+

Total Identified Vulnerabilities	Critical	High	Medium	Low
22	5	2	5	10

7. Submit initial VAPT report.



121.240.225.115 says  
Initial Report successfully submitted to NSDL.

OK



## VIEW/SEARCH -VAPT REPORT:

1. If the DP checker wants to check the submitted report, then the same can be checked from View / Search VAPT Report.

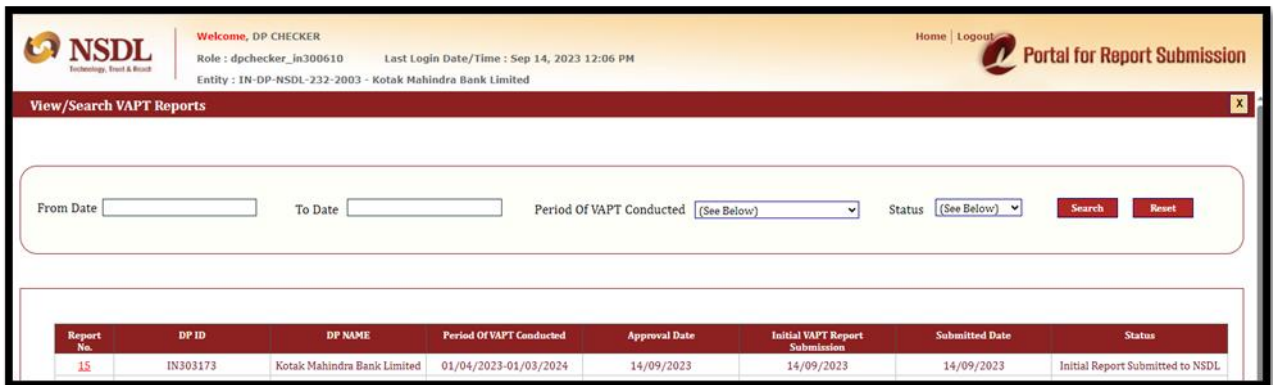


NSDL | Welcome, DP CHECKER | Role: dpchecker\_in300610 | Last Login Date/Time : Sep 14, 2023 12:06 PM | Entity: IN-DP-NSDL-232-2003 - Kotak Mahindra Bank Limited

Home | Logout | Portal for Report Submission

DP Periodical Reports | Others | Tools | DP IG Report | FATF | Net worth Submission | Investor Grievances

- Risk Assessment Report
- VAPT Report
  - Submit VAPT Report
  - View/Search VAPT Report
- AJ and ML Report
- Compliance Certificate



NSDL | Welcome, DP CHECKER | Role: dpchecker\_in300610 | Last Login Date/Time : Sep 14, 2023 12:06 PM | Entity: IN-DP-NSDL-232-2003 - Kotak Mahindra Bank Limited

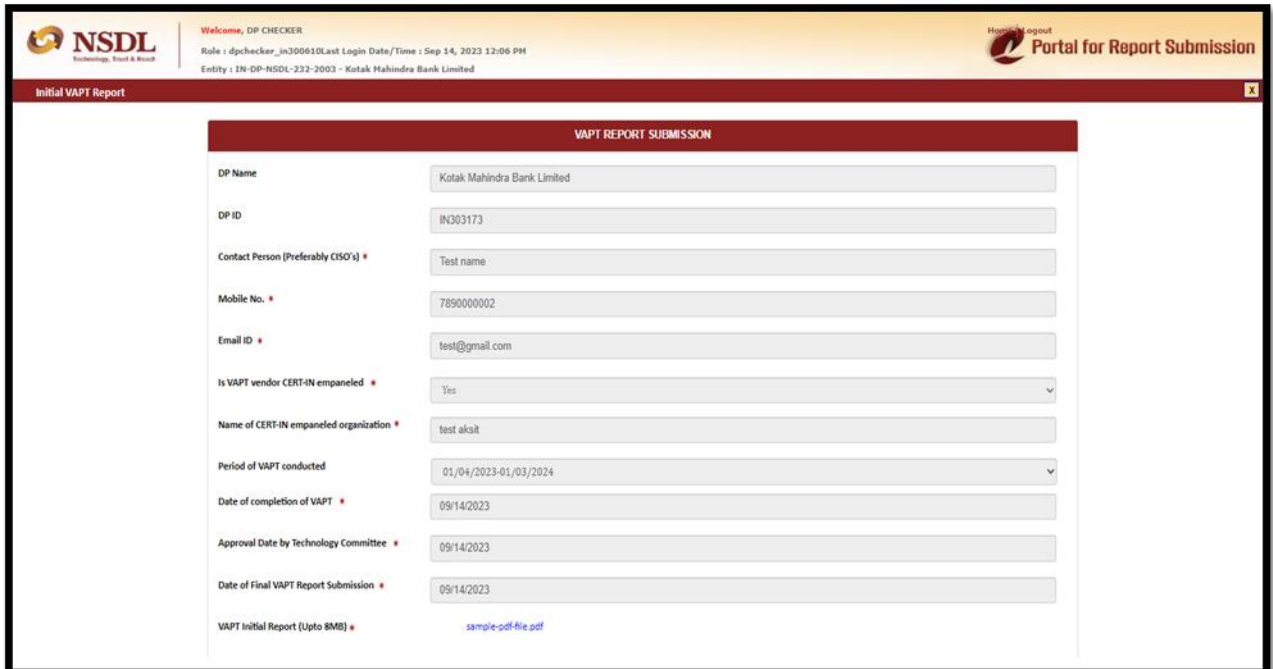
Home | Logout | Portal for Report Submission

View/Search VAPT Reports

From Date  To Date  Period Of VAPT Conducted (See Below) Status (See Below) Search Reset

Report No.	DP ID	DP NAME	Period Of VAPT Conducted	Approval Date	Initial VAPT Report Submission	Submitted Date	Status
15	IN303173	Kotak Mahindra Bank Limited	01/04/2023-01/03/2024	14/09/2023	14/09/2023	14/09/2023	Initial Report Submitted to NSDL

2. Click on Report Number and open/view report.



NSDL | Welcome, DP CHECKER | Role: dpchecker\_in300610 | Last Login Date/Time : Sep 14, 2023 12:06 PM | Entity: IN-DP-NSDL-232-2003 - Kotak Mahindra Bank Limited

Home | Logout | Portal for Report Submission

Initial VAPT Report

VAPT REPORT SUBMISSION

DP Name: Kotak Mahindra Bank Limited

DP ID: IN303173

Contact Person (Preferably CISO's): Test name

Mobile No.: 7890000002

Email ID: test@gmail.com

Is VAPT vendor CERT-IN empaneled: Yes

Name of CERT-IN empaneled organization: test aksh

Period of VAPT conducted: 01/04/2023-01/03/2024


Date of completion of VAPT: 09/14/2023

Approval Date by Technology Committee: 09/14/2023

Date of Final VAPT Report Submission: 09/14/2023

VAPT Initial Report (Upto 8MB): [sample-pdf-file.pdf](#)





**Welcome, DP CHECKER**

Role : dpchecker\_in300610Last Login Date/Time : Sep 14, 2023 12:06 PM

Entity : IN-DP-NSDL-232-2003 - Kotak Mahindra Bank Limited

Home Logout

**Portal for Report Submission**

**Initial VAPT Report** ✕

Scope	Particulars	Identified vulnerabilities				Target Date for Closure of finding	Remarks By DP(if any)	Activity
		Critical	Medium	High	Low			
Network	router	1	1	3	1	14/09/2023	test remarks	✕
Server	SQL server	3	3	4	3	20/09/2023	test ertew eter	✕
Applications	DM	7	3	7	7	29/09/2023	vapt report done	✕
(See Below)								+

Total Identified Vulnerabilities	Critical	High	Medium	Low
43	11	7	14	11

Save Draft    Refresh    Logout

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