

Circular No.: NSDL/POLICY/2023/0051

April 12, 2023

**Subject: Submission of VAPT Compliance Report**

Attention of Participants is invited to Circular No. NSDL/POLICY/2022/166 dated November 28, 2022 in which guidelines related to period for conducting VAPT, and submission timelines for initial and VAPT revalidation assessment / compliance reports were provided.

With respect to the above circular, DPs are advised to submit the VAPT compliance report for closure of findings identified during VAPT **on or before April 15, 2023** for the FY 2022-2023. Participants are requested to email the VAPT report to NSDL at [vapt-nsdl@nsdl.co.in](mailto:vapt-nsdl@nsdl.co.in) .

**For and on behalf of  
National Securities Depository Limited**

**Arockiaraj  
Manager**

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15th of the following month	Through e-PASS	Circular No. NSDL/POLICY/2021/0102 dated October 04, 2021
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15th of the following month.	Through e-PASS	1. Circular no. NSDL/POLICY/2020/0069 dated May 15, 2020. 2. Circular No. NSDL/POLICY/2021/0047 dated May 10, 2021
Reporting of status of the alerts generated by Participants- (Quarterly)	Within 15 days from end of the quarter	Through e-PASS	1. Circular No. NSDL/POLICY/2021/0072 dated July 15, 2021 2. Circular No.: NSDL/POLICY/2022/001 dated January 03, 2022
Risk based Supervision of Participants (Half yearly)	April 30	Through e-PASS	1. Circular No. NSDL/POLICY/2015/0046 dated April 30, 2015 2. Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (Half yearly)	May 15	Through e-PASS	Circular No.: NSDL/POLICY/2023/0048 dated April 01, 2023
Tariff Sheet (Yearly)	April 30	Through e-PASS	Circular No.: NSDL/POLICY/2023/0049 dated April 05, 2023

**National Securities Depository Limited**

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