

Circular No.: NSDL/POLICY/2021/0104

October 08, 2021

**Subject: Extension of time for submission of networth certificate and/or audited financial statements.**

As all Participants are aware, as per Bye law 6.3.6 (i), Participants who are required to maintain a minimum networth as per the stipulated requirement are required to submit a networth certificate in the prescribed format. Further, as per Bye Law 10.2.1, all Participants are required to submit every year, a copy of its audited financial statements within six months from the end of the Participant's financial year. Accordingly, the same was required to be submitted by September 30, 2021 for financial year 2020-21.

Participants are requested to note that the last date for submission of networth certificate/audited financial statements for the financial year 2020-21 is extended to January 15, 2022. Accordingly, all Participants are requested to ensure that they submit the audited financial statements and networth certificate in the prescribed format, on or before January 15, 2022.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
Senior Manager

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) - (Quarterly)	By 15th of the following month.	Through e-PASS	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015 2. Circular No. NSDL/POLICY/2021/0102 dated October 04, 2021
Cyber Security & Cyber Resilience framework of Depository Participants - (Quarterly)	By 15th of the following month	Through e-PASS	Circular no. NSDL/POLICY/2020/0069 dated May 15, 2020.
Risk Assessment Template (April - September)	October 31st, every year	Through e-PASS	1. Circular No. NSDL/POLICY/2015/0046 dated April 30, 2015 2. Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Through e-PASS	Circular No NSDL/POLICY/2021/0048 dated May 11, 2021