

Circular No.: NSDL/POLICY/2021/0034

April 06, 2021

**Subject: SEBI Order issued under Section 11B of the Securities and Exchange Board of India Act, 1992.**

Attention of Participants is invited to the following Order passed by SEBI restraining and prohibiting the following persons/entities from accessing the securities market from buying, selling or otherwise dealing in securities, directly or indirectly, in any manner whatsoever, and restrained persons/entities mentioned below (Sr. No. 2 to 10) from being associated with any intermediary registered with SEBI for the specified period:-

Sr. No	Name	PAN	Order detail	Date	Address	Period
1	Rakhal Bharoti Fish and Food Processing Limited	-			Treasury Lane Near Co Office Madhupur, P.O.- Madhupur Madhupur Deoghar JH 815353 IN	
2	Mr. Sujit Baidya	-			Village + Post + P.S. -Hasnabad, 24 Parganas (N), West Bengal, Pin-743426	
3	Mr. Ranjit Kumar Baidya	-			Village- Amalni, P. O. Hasnabad, P. S. Hasnabad, 24 Pgs. (N) Hasnabad, West Bengal Pin- 743426	Till passing of any further order by SEBI or coming into force of the directions given in para 27 of the said SEBI order.
4	Mr. Koushik Baidya	-	WTM/AB/SE BI/19/2020-21	31-12-2020	Vill + P.O. Hasnabad, 24, Parganas (N), Hasnabad, West Bengal – 743426	
5	Ms. Swapna Baidya	-			Village-Hasnabad, P. O. Hasnabad, P. S. Hasnabad, 24 Pgs. (N) Hasnabad, West Bengal, Pin- 743426	
6	R B Horticulture & Animal Project Ltd	-			Hasnabad, 24 Parganas, Hasnabad, West Bengal, Pin- 743426	

7	Gautam Banopadhyay	-			Devi More, Hasnabad, 24-Parganas (North) West Bengal-
8	Chandana Sardar	CYUPS9717E			Vill+PO+PS-Hasnabad (Near Ps), Dist- North Twenty Four Parganas Hasnabad, West Bengal,
9	Rabin Chatterjee	ARQPC7442M			Vill+Po+Ps-Hasnabad, Dist-North Twenty Four Parganas Hasnabad, West Bengal, Pin-743426
10	Jayanta Bera	AWNPB7079H			Vill- Mamudpur, P.O.- Taki Mamudpur, P.S. Hingaljanj, Dist- (N) 24 Parganas, Hasnabad, West Bengal, Pin- 743426

Copy of the aforementioned SEBI order is enclosed. Participants are requested to take note of the same and ensure compliance.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
**Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15 <sup>th</sup> of the following month.	By email at <a href="mailto:Participant-Interface@nsdl.co.in">Participant-Interface@nsdl.co.in</a>	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019

Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15 <sup>th</sup> of the following month.	By email at <a href="mailto:dpinfosec@nsdl.co.in">dpinfosec@nsdl.co.in</a> at	Circular No. NSDL/POLICY/2019/0076 dated November 13, 2019.
Tariff Sheet (Yearly)	April 30 <sup>th</sup> every year.	By email at <a href="mailto:dpfees@nsdl.co.in">dpfees@nsdl.co.in</a>	<ol style="list-style-type: none"> <li>1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006.</li> <li>2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.</li> </ol>
Risk based supervision of Participants (October - March)	April 30 <sup>th</sup> every year.	Through e-PASS	Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (October - March)	May 15 <sup>th</sup> every year	Through e-PASS	Circular No. NSDL/POLICY/2019/0063 dated September 25, 2019