

Circular No.: NSDL/POLICY/2021/0033

April 05, 2021

**Subject: SEBI Circular on Combating Financing of Terrorism (CFT) under Unlawful Activities (Prevention) Act, 1967.**

Attention of Participants is invited to SEBI Circular no. SEBI/HO/MIRSD/DOP/CIR/P/2021/36 dated March 25, 2021 (copy enclosed) regarding Combating Financing of Terrorism (CFT) under Unlawful Activities (Prevention) Act, 1967 – Directions to Stock Exchanges, Depositories and all registered intermediaries.

Participants are requested to take note of the same and ensure compliance.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
**Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15 <sup>th</sup> of the following month.	By email at <a href="mailto:Participant-Interface@nsdl.co.in">Participant-Interface@nsdl.co.in</a>	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15 <sup>th</sup> of the following month.	By email at <a href="mailto:dpinfosec@nsdl.co.in">dpinfosec@nsdl.co.in</a>	Circular No. NSDL/POLICY/2019/0076 dated November 13, 2019.
Tariff Sheet (Yearly)	April 30 <sup>th</sup> every year.	By email at <a href="mailto:dpfees@nsdl.co.in">dpfees@nsdl.co.in</a>	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.
Risk based supervision of Participants (October - March)	April 30 <sup>th</sup> every year.	Through e-PASS	Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (October - March)	May 15 <sup>th</sup> every year	Through e-PASS	Circular No. NSDL/POLICY/2019/0063 dated September 25, 2019

