

Circular No.: NSDL/POLICY/2021/0015

February 19, 2021

Subject: Amendments to Business Rules of NSDL.

All Participants are hereby informed that consequent to SEBI Circular Nos. SEBI/HO/MIRSD/DOP/CIR/P/2018/153 dated December 17, 2018 and SEBI/HO/MRD/DOP2DSA2/CIR/P/2019/13 dated January 10, 2019, the amendments are made to Business Rule 18.1.1 wherein Sr. no. 38 is inserted to introduce new penalty head for failure to co-operate by the Depository Participant by not submitting all the information/records sought within 45 days from the due date specified in the letter of intimation. The amended Business Rule as mentioned above is enclosed herewith as Annexure A.

Participants are requested to take note of the aforesaid and ensure compliance.

For and on behalf of

National Securities Depository Limited**Chirag Shah
Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015

