

Circular No.: NSDL/POLICY/2020/0131

September 30, 2020

Subject: Extension of time for submission of networth certificate and/or audited financial statements.

As all Participants are aware, as per Bye law 6.3.6 (i), Participants who are required to maintain a minimum networth as per the stipulated requirement are required to submit a networth certificate in the prescribed format. Further, as per Bye Law 10.2.1, all Participants are required to submit every year, a copy of its audited financial statements within six months from the end of the Participant's financial year. Accordingly, the same was required to be submitted by September 30, 2020 for financial year 2019-20.

Participants are requested to note that the last date for submission of networth certificate/audited financial statements for the financial year 2019-20 is extended to October 31, 2020. Accordingly, all Participants are requested to ensure that they submit the audited financial statements and networth certificate in the prescribed format, on or before October 31, 2020.

For and on behalf of
National Securities Depository Limited

Chirag Shah
 Senior Manager

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	September 30 th , 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No. NSDL/ POLICY/2020/0062 dated May 7, 2020. 4. Circular No. NSDL/POLICY/2020/0083 dated June 16, 2020 5. Circular No. NSDL/POLICY/2020/0086 dated June 30, 2020 6. Circular No. NSDL/POLICY/2020/0105 dated July 31, 2020 7. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020
Networth Certificate and Audited Financial Statements for the FY 2019-20	October 31 st	By hard copy to Inspection Department	Circular No. NSDL/PI/98/414 dated September 1, 1998 Circular No. NSDL/POLICY/2020/0131 dated September 30, 2020
System Audit Report (Annual)	September 30 th , 2020	Through e-PASS	1. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 2. Circular No. NSDL/POLICY/2020/0071 dated May 19, 2020 3. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020


National Securities Depository Limited

4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013, India
 Tel.: 91-22-2499 4200 | Fax: 91-22-2497 6351 | Email: info@nsdl.co.in | Web: www.nsdl.co.in
 Corporate Identity Number: U74120MH2012PLC230380