



PR No.49/2020

Implementation of Section 51A of UAPA, 1967: Updates to UNSC's 1267/ 1989 ISIL (Da'esh) & Al-Qaida Sanctions List – Amendment to 11 entries (10 Individuals and one entry in Entities and Groups)

1. Clause 2.8 of the SEBI Master Circular **Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under** dated Oct 15, 2019 inter-alia states that registered intermediaries should ensure that accounts are not opened in the name of anyone whose name appears in updated list of individuals and entities which are subject to various sanction measures such as freezing of assets/accounts, denial of financial services etc., as approved by the Security Council Committee established pursuant to various United Nations' Security Council Resolutions (UNSCRs). Registered intermediaries shall continuously scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list.
2. In this regard, UNSC has released the following press release:
 - a) Note SC/14299 dated 10 September 2020 amending available information relating to 11 entries (10 individuals and one entity/group) in UNSC's 1267/ 1989 ISIL (Da'esh) & Al-Qaida Sanctions List viz.

A. Individuals

- 1) QDi.096 Name: 1: MOUSSA 2: BEN OMAR 3: BEN ALI 4: ESSAADI
- 2) QDi.099 Name: 1: KHALIL 2: BEN AHMED 3: BEN MOHAMED 4: JARRAYA
- 3) QDi.138 Name: 1: SAID 2: BEN ABDELHAKIM 3: BEN OMAR 4: AL-CHERIF
- 4) QDi.139 Name: 1: IMED 2: BEN MEKKI 3: ZARKAOUI 4: na
- 5) QDi.140 Name: 1: KAMAL 2: BEN MAOELDI 3: BEN HASSAN 4: AL-HAMRAOUI
- 6) QDi.143 Name: 1: HAMADI 2: BEN ABDUL AZIZ 3: BEN ALI 4: BOUYEHIA

- 7) QDi.149 Name: 1: NOUREDDINE 2: BEN ALI 3: BEN BELKASSEM 4: AL-DRISSI
- 8) QDi.330 Name: 1: AZZAM 2: ABDULLAH 3: ZUREIK 4: AL-MAULID AL-SUBHI
- 9) QDi.332 Name: 1: IBRAHIM 2: SULEIMAN 3: HAMAD 4: AL-HABLAIN
- 10) QDi.370 Name: 1: TARAD 2: MOHAMMAD 3: ALJABRA ALNORI ALFARES 4: na ALJARBA

B. Entities and other groups

QDe.015 Name: Wafa Humanitarian Organization

The UNSC press release concerning the amendment to the list are available at URL:

<https://www.un.org/press/en/2020/sc14256.doc.htm>

- b) The latest versions of the Sanctions lists are accessible on the UN Security Council's website at the following URL:

List of individuals and entities issued by the UNSC ISIL (Da'esh) and Al-Qaida Sanctions Committee:

https://www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list

List issued by the UNSC Committee established pursuant to resolution 1988 (2011) of individuals and entities linked to Taliban

<https://www.un.org/securitycouncil/sanctions/1988/materials>

3. Stock Brokers/Depositories/AMFI/Registered intermediaries are advised to

- a) circulate these press releases to respective members/ participants/AMC's for necessary compliance as required.
- b) follow the procedure as well as advise their respective members/participants/AMC's to follow the procedure as mentioned in the Central Government Order dated March 14, 2019 detailing the implementation of Section 51A of Unlawful Activities (Prevention) Act, 1967.
- c) Scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list and also ascertain the same for future accounts as well (As per Clause 2.8.1 of SEBI "Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under" issued on Oct 15, 2019, and

- d) Forward any request for de-listing received by them, electronically to Joint Secretary (CTCR) (e-mail id: jsctcr-mha@gov.in) and a copy of the same to MHA, Joint Secretary (UNP) (e-mail id: jsunp@mea.gov.in).
4. As per the instructions from Ministry of Home Affairs (MHA), individuals, groups, undertakings or entities seeking to be removed from the Security Council's ISIL (Da'esh) and Al-Qaida Sanctions List can submit their request for delisting to an independent and impartial Ombudsperson who has been appointed by the United Nations Secretary-General.

More details are available at the following URL:

<https://www.un.org/securitycouncil/ombudsperson/application>

Mumbai
September 21, 2020