

Circular No.: NSDL/POLICY/2020/0118

August 28, 2020

Subject: SEBI circular on execution of Power of Attorney (PoA) by the Client in favour of the Stock Broker / Stock Broker and Depository Participant.

Attention of Participants is invited to SEBI Circular No. SEBI/HO/MIRSD/DOP/CIR/P/2020/158 dated August 27, 2020 regarding execution of Power of Attorney (PoA) by the Client in favour of the Stock Broker / Stock Broker and Depository Participant (Copy enclosed).

Participants are requested to take note of the above and ensure compliance. The systems enhancements and operational procedure related to the above will be notified separately.

For and on behalf of
National Securities Depository Limited

Chirag Shah
 Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	September 30 th , 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No. NSDL/ POLICY/2020/0062 dated May 7, 2020. 4. Circular No. NSDL/POLICY/2020/0083 dated June 16, 2020 5. Circular No. NSDL/POLICY/2020/0086 dated June 30, 2020 6. Circular No. NSDL/POLICY/2020/0105 dated July 31, 2020 7. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020
Networth Certificate and Audited Financial Statements.	September 30 th every year.	By hard copy to Inspection Department	Circular No. NSDL/PI/98/414 dated September 1, 1998
System Audit Report (Annual)	September 30 th , 2020	Through e-PASS	1. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 2. Circular No. NSDL/POLICY/2020/0071 dated May 19, 2020 3. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020


National Securities Depository Limited

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