

Circular No.: NSDL/POLICY/2020/0116

August 22, 2020

**Subject: SEBI circular on Corrigendum to Master Circular for Depositories dated October 25, 2019 on preservation of records.**

Attention of Participants is invited to SEBI Circular No. SEBI/HO/MRD2/DDAP/CIR/P/2020/153 dated August 18, 2020 regarding Corrigendum to Master Circular for Depositories dated October 25, 2019 on preservation of records (Copy enclosed).

Participants are requested to take note of the above and ensure compliance.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah  
Senior Manager**

Enclosed: One

| FORTHCOMING COMPLIANCE  |   |                                       |   |
|---|---|---------------------------------------|---|
| Particulars   | Deadline                                    | Manner of sending                     | Reference   |
| Investor Grievance Report (Monthly)                           | By 10 <sup>th</sup> of the following month. | Through e-PASS                        | Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015   |
| Internal/ Concurrent Audit Report (October 2019 – March 2020) | September 30 <sup>th</sup> , 2020           | Through e-PASS                        | 1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020<br>2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020<br>3. Circular No. NSDL/ POLICY/2020/0062 dated May 7, 2020.<br>4. Circular No. NSDL/POLICY/2020/0083 dated June 16, 2020<br>5. Circular No. NSDL/POLICY/2020/0086 dated June 30, 2020<br>6. Circular No. NSDL/POLICY/2020/0105 dated July 31, 2020<br>7. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020 |
| Networth Certificate and Audited Financial Statements.        | September 30 <sup>th</sup> every year.      | By hard copy to Inspection Department | Circular No. NSDL/PI/98/414 dated September 1, 1998   |
| System Audit Report (Annual)                                  | September 30 <sup>th</sup> , 2020           | Through e-PASS                        | 1. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020<br>2. Circular No. NSDL/POLICY/2020/0071 dated May 19, 2020<br>3. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020  |

