

Circular No.: NSDL/POLICY/2020/0115

August 22, 2020

Subject: Addition of 'Margin Pledge instruction ID' & Static codes in COD and changes in CSV downloads in respect of Margin Pledge / Re-pledge functionality.

Attention of Participants is invited to Circular Nos. NSDL/POLICY/2020/0107 dated July 31, 2020 regarding implementation of Margin Pledge / Re-pledge functionality and NSDL/POLICY/2020/0113 dated August 17, 2020 regarding implementation of partial margin re-pledge / release / invocation functionality.

In this regard, NSDL had made following changes in the COD file formats (in track changes mode) of the back office interface based on feedback received from back-office vendors which are enclosed at **Annexure 1**.

1. 'Margin Pledge Instruction ID' is introduced in COD file for Margin Pledge / Re-pledge instructions which is 14 digit number starting from '50000000000000'.
2. The response file in respect of file uploaded for Margin Pledge / Re-pledge instructions will contain the 'Margin Pledge Instruction ID'.
3. The correlation of Margin Pledge Instruction ID and BP Instruction ID in COD file is mentioned below:
 - a. For Margin Pledge Transactions (i.e. **Client to TM** - Initiation, Confirmation & Release) and Invocation of Margin Pledge / Re-pledge Transactions the Margin Pledge Instruction ID will be different from BP ID Instruction ID.
 - b. For Margin Re-Pledge (**TM to CM & CM to CC** - Initiation, Confirmation & Release) Transactions, the BP ID Instruction ID will be same as Margin Pledge Instruction ID in COD file.
4. The changes in respect of Margin Pledge/Re-pledge download (.csv format) for Investor, TM, CM, TM/CM & DP users are enclosed at **Annexure 2**.

Participants are requested to make necessary changes in their back office system and apply release of **Local DPM Version 7.30** to reflect aforesaid Margin Pledge related changes in Local DPM system. The release of Local DPM **Version 7.30**.

Participants are requested to take note of the same.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two



FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	September 30 th , 2020	Through e-PASS	<ol style="list-style-type: none"> 1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No. NSDL/ POLICY/2020/0062 dated May 7, 2020. 4. Circular No. NSDL/POLICY/2020/0083 dated June 16, 2020 5. Circular No. NSDL/POLICY/2020/0086 dated June 30, 2020 6. Circular No. NSDL/POLICY/2020/0105 dated July 31, 2020 7. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020
Networth Certificate and Audited Financial Statements.	September 30 th every year.	By hard copy to Participant Interface Department	Circular No. NSDL/PI/98/414 dated September 1, 1998
System Audit Report (Annual)	September 30 th , 2020	Through e-PASS	<ol style="list-style-type: none"> 1. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 2. Circular No. NSDL/POLICY/2020/0071 dated May 19, 2020 3. Circular No. NSDL/POLICY/2020/0106 dated July 31, 2020