

Validations in respect of Client Securities Margin Pledge Account (CSMPA) and Client Securities under Margin Funding Account (CSMFA) are mentioned below:

1) Validation in respect of Margin Pledge / Re-pledge Transactions:

Sr. No	Margin Pledge / Re-pledge Transaction	Client Securities Margin Pledge Account (CSMPA)	Client Securities under Margin Funding Account (CSMFA)
1	Margin Pledge Initiation (in favour of CSMPA & CSMFA)	Allowed	Allowed
2	Margin Pledge Initiation from CSMPA & CSMFA	Not allowed	Not allowed
3	Margin Pledge Confirmation	Allowed	Allowed
4	Margin Pledge Release Request	Allowed	Allowed
5	Margin Pledge Release (Closure)	Allowed	Allowed
6	Margin Pledge Invocation	Allowed	Allowed
7	Re-pledge Initiation / Confirmation	Allowed	Not allowed
8	Re-pledge Release (Closure)	Allowed	Not allowed

2) Validation in respect of Pledge Transactions:

Sr. No	Pledge Transaction	Client Securities Margin Pledge Account (CSMPA)	Client Securities under Margin Funding Account (CSMFA)
1	Pledge Initiation (in favour of CSMPA & CSMFA)	Not allowed	Not allowed
2	Pledge Initiation from CSMPA & CSMFA	Not allowed	Not allowed
3	Pledge confirmation	Not allowed	Not allowed
4	Pledge unilateral closure	Not allowed	Not allowed
5	Pledge closure confirmation	Not allowed	Not allowed
6	Pledge Invocation	Not allowed	Not allowed

3) Validation in respect of Other Transactions in respect of CSMPA & CSMFA:

Sr. No.	Transaction Type	Debit	Credit
1	Dematerialisation of Securities	Not Applicable	Not allowed
2	Remat / Repurchase of Securities	Not allowed	Not allowed
3	Redemption of MF units	Allowed	Not Applicable
4	Corporate Action	Allowed	Allowed
5	Auto Corporate Action	Allowed	Allowed
6	Account Transfer		
(i)	Client to CM Pool Account Transfer	Allowed	Not Applicable
(ii)	Client to Client Account Transfer	Allowed	Not allowed
(iii)	CM Pool to Client	Not applicable	Not allowed
7	Inter Depository Transfer		
(i)	Client to CM Pool Account Transfer	Allowed	Not Applicable
(ii)	Client to Client Account Transfer	Allowed	Not allowed
(iii)	CM Pool to Client	Not applicable	Not allowed
8	Transfer of Holding (Account Closure/Transmission)	Allowed	Not allowed
9	Freeze / Unfreeze	Allowed	Allowed
10	Hold on Securities(NDU)	Not allowed	Not allowed