

Circular No.: NSDL/POLICY/2020/0102

July 29, 2020

Subject: SEBI Order issued under Section 11B of the Securities and Exchange Board of India Act, 1992.

Attention of Participants is invited to the following Order passed by SEBI restraining the following persons and entities from being associated with any intermediary registered with SEBI, till further orders.

Sr. no.	Name	PAN	SEBI Order No.	Date
1	FIVE CORE ELECTRONICS LIMITED	AAACF6709Q	WTM/GM/CFD/19/2020-21	23-Jul-20
2	AMARJIT SINGH KALRA	AKWPK0803H		
3	SURINDER KAUR KALRA	AFMPK2461E		
4	JAGJIT KAUR KALRA	ANTPK4395G		
5	AMARJIT SINGH KALRA HUF	AAHHA8772Q		
6	SURINDER SINGH KALRA	AFYPK7984E		
7	SURINDER SINGH KALRA HUF	AAQHS3875P		

Copy of the aforementioned SEBI order is enclosed. Participants are requested to take note of the same and ensure compliance.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	July 31 st 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No. NSDL/ POLICY/2020/0062 dated May 7, 2020. 4. Circular No. NSDL/POLICY/2020/0083 dated June 16, 2020 5. Circular No. NSDL/POLICY/2020/0086 dated June 30, 2020
Compliance Certificate (January - June)	July 31 st every year	Through e-PASS	Circular No. NSDL/POLICY/2019/0088 dated December 17, 2019.
System Audit Report (Annual)	July 31, 2020	Through e-PASS	1. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 2. Circular No. NSDL/POLICY/2020/0071 dated May 19, 2020

