

Circular No.: NSDL/POLICY/2020/0083

June 16, 2020

Subject: Relaxation in timelines for Concurrent Audit of depository operations.

Attention of Participants is invited to NSDL circular no. NSDL/POLICY/2020/0062 dated May 7, 2020 whereby extension till May 31, 2020 was provided in completing the concurrent audit of depository operations for the period from March 16, 2020 to May 17, 2020 considering the situation due to COVID-19 pandemic.

In view of the representations received from the Participants, it has been decided to further extend the timelines for completing the concurrent audit for the period from March 16, 2020 to May 31, 2020 till June 30, 2020.

Participants are requested to take note of the above and guide their auditors suitably.

For and on behalf of
National Securities Depository Limited

Chirag Shah
 Senior Manager

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	June 30 th 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No. NSDL/ POLICY/2020/0062 dated May 7, 2020. 4. Circular No. NSDL/ POLICY/2020/0083 dated June 16, 2020
Compliance Certificate (January - June)	July 31st every year	Through e-PASS	1. Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 2. Circular No. NSDL/POLICY/2018/0028 dated May 21, 2018. 3. Circular No. NSDL/POLICY/2019/0088 dated December 17, 2019.
Risk based supervision of Participants (October 2019- March 2020)	June 20 th 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018 2. Circular No. NSDL/ POLICY/2020/0082 dated June 16, 2020