

Circular No.: NSDL/POLICY/2020/0082

June 16, 2020

**Subject: Relaxation in timelines for submission of Risk Assessment Template.**

Attention of Participants is invited to NSDL circular no. NSDL/POLICY/2020/0060 dated May 4, 2020 whereby extension till May 31, 2020 was provided to Participants for submission of Risk Assessment Template for the half year period of October 1, 2019 to March 31, 2020 considering the lockdown situation due to COVID-19 pandemic.

In view of the representations received from the Participants, it has been decided to grant extension to Participants for submission of Risk assessment Template for the aforesaid period till June 20, 2020.

Participants are requested to take note of the above and ensure compliance.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
 Senior Manager

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	June 30 <sup>th</sup> 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No.: NSDL/ POLICY/2020/0062 dated May 7, 2020.
Compliance Certificate (January - June)	July 31st every year	Through e-PASS	1. Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 2. Circular No. NSDL/POLICY/2018/0028 dated May 21, 2018. 3. Circular No. NSDL/POLICY/2019/0088 dated December 17, 2019.
Risk based supervision of Participants (October 2019- March 2020)	June 20 <sup>th</sup> 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018 2. Circular No.: NSDL/ POLICY/2020/0082 dated June 16, 2020

