

Circular No.: NSDL/POLICY/2020/0072

May 21, 2020

**Subject: SEBI circular on Relaxation in timelines for compliance with regulatory requirements.**

Attention of Participants is invited to Circular no. NSDL/ POLICY/2020/0052 dated April 24, 2020 regarding SEBI circular on relaxation in time period for certain activities carried out by Depository Participants and other SEBI Registered Market Intermediaries. In this regard, SEBI has vide its circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/82 dated May 15, 2020 (Copy enclosed) further extended the timelines for compliance with certain regulatory requirements, by the Participants.

Participants are requested to take note of the above and ensure compliance.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
**Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	May 31 <sup>st</sup> , 2020 for quarter ended March 2020.	By email at <a href="mailto:Participant-Interface@nsdl.co.in">Participant-Interface@nsdl.co.in</a>	1. Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020
Tariff Sheet (Yearly)	May 31 <sup>st</sup> , 2020	By email at <a href="mailto:dffees@nsdl.co.in">dffees@nsdl.co.in</a>	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007. 3. Circular No. NSDL/ POLICY/2020/0058 dated May 4, 2020
Risk based supervision of Participants (October 2019- March 2020)	May 31 <sup>st</sup> , 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018 2. Circular No.: NSDL/ POLICY/2020/0060 dated May 4, 2020
Internal/ Concurrent Audit Report (October 2019 – March 2020)	June 30 <sup>th</sup> 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No.: NSDL/ POLICY/2020/0062 dated May 7, 2020.