

Circular No.: NSDL/POLICY/2020/0070

May 15, 2020

Subject: Mapping of Unique Client Code (UCC) with demat accounts of the clients.

Attention of Participants is invited to SEBI Circular No. SEBI/HO/MIRSD/DOP/CIR/P/2019/136 dated November 15, 2019 regarding Mapping of Unique Client Code (UCC) with demat account of the clients. In this regard, NSDL has mapped the UCC details provided by Stock Exchanges viz. NSE, BSE and MSEI to NSDL with demat accounts based on PAN captured in the demat accounts. If there are multiple UCCs in respect of single PAN, then all such UCCs are mapped in the demat account(s) on the basis of PAN. In case of a joint holding demat account(s), UCCs are mapped each holder-wise on the basis of PAN in demat account(s).

In this context, the following facilities are made available:

1. Participants can download UCC details mapped in demat accounts maintained with them from the link viz., **DOWNLOAD UCC LINKED DATA** made available on NSDL i-Assist portal.
2. The clients will have an option to delink the UCC which is linked to their demat account. In addition, for cases where UCC details are not provided by the stock exchanges, the clients will have an option to link the UCC to their demat account, if the client so desires.
3. On the basis of the client request to delink or to link the UCC in demat accounts maintained with them, a facility has been provided to Participants to upload the data on link viz., **UPLOAD UCC DELINKING/LINKING DATA** on NSDL i-Assist portal. For delinking/ linking of UCC in demat accounts, Participants are required to obtain request from client in the prescribed format as enclosed at **Annexure 1**. The format in respect of downloading of UCC mapping details in demat accounts and UCC delinking /linking in demat accounts alongwith error file details, are enclosed at **Annexure 2**.
4. In addition to above, NSDL will also send SMS and Email to clients regarding UCC mapped in their demat accounts. In the SMS and email, an option will be provided to client to link / delink UCC in their demat accounts directly through NSDL portal using OTP based authentication.
5. In case of linking of UCC in demat accounts, such linking request(s) will get processed after receipt of confirmation from the respective stock exchange(s). The delinking of UCC in demat accounts will get processed at the EOD of the request received date at NSDL.

Participants are requested to take note of the aforesaid and inform their clients accordingly.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	May 18 th , 2020 for the months of March 2020 and April 2020.	Through e-PASS	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	May 31 st , 2020 for quarter ended March 2020.	By email at Participant-Interface@nsdl.co.in	1. Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020
Tariff Sheet (Yearly)	May 31 st , 2020	By email at dffees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007. 3. Circular No. NSDL/ POLICY/2020/0058 dated May 4, 2020
Risk based supervision of Participants (October 2019- March 2020)	May 31 st , 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018 2. Circular No.: NSDL/ POLICY/2020/0060 dated May 4, 2020
Internal/ Concurrent Audit Report (October 2019 – March 2020)	June 30 th 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No.: NSDL/ POLICY/2020/0062 dated May 7, 2020.