

Circular No.: NSDL/POLICY/2020/0067

May 15, 2020

Subject: SEBI circular on entities permitted to undertake e-KYC Aadhaar Authentication service of UIDAI in Securities Market.

Attention of Participants is invited to SEBI Circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/80 dated May 12, 2020 (copy enclosed) regarding entities permitted to undertake e-KYC Aadhaar Authentication service of UIDAI in Securities Market.

Participants are requested to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	May 18 th , 2020 for the months of March 2020 and April 2020.	Through e-PASS	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	May 31 st , 2020 for quarter ended March 2020.	By email at Participant-Interface@nsdl.co.in	1. Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020
Tariff Sheet (Yearly)	May 31 st , 2020	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007. 3. Circular No. NSDL/ POLICY/2020/0058 dated May 4, 2020
Risk based supervision of Participants (October 2019- March 2020)	May 31 st , 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018 2. Circular No.: NSDL/ POLICY/2020/0060 dated May 4, 2020
Internal/ Concurrent Audit Report (October 2019 – March 2020)	June 30 th 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No.: NSDL/ POLICY/2020/0062 dated May 7, 2020.

