

Circular No.: NSDL/ POLICY/2020/0049

April 20, 2020

Subject: SEBI Orders issued under Section 11B of the Securities and Exchange Board of India Act, 1992.

Attention of Participants is invited to the following Orders passed by SEBI restraining the following persons from being associated with any intermediary registered with SEBI for the specified periods.

Sr. no.	Name	PAN	SEBI Order No.	Date	Period
1	Arvind Gupta	AERPG2839N	WTM/AB EFD1/ EFD1-DRA3/7449/2020-21	7-Apr-20	4 Years
2	Yogesh Ghisumal Gemawat	ADVPG4051D			
3	Girishchandra Mukundram Baluni	AAKPB5408R			
4	Nimain Charan Biswal	ACRPB3767C			
5	Sanjay Gupta	ABUPG5799B			
6	Kamlendra Chunilal Joshi	ABIPJ6029P			
7	Manoj Singhal	ARFPS7604E			
8	Suresh Kumar	ADZPK2242A			
9	Rishi Agarwal	ADNPA1610Q	WTM/MPB/EFD-1-DRA-III/115/2020	24-Mar-20	4 Years
10	Kamlesh Kumar Agarwal	ABUPA9340A			
11	Vikrant Kayan	AFJPK8437M	WTM/MPB/EFD-DRA-III/113/2020	19-Mar-20	3 Years
12	Shaleni Kayan	AFZPK1051R			
13	Sukumar Das	AEJPD0435R			
14	Sharad Jhunjhunwala	ACVPJ0763C			
15	Datuk K Ketheeswaran	AUZPK2273Q	WTM/MPB/EFD-1-DRA-IV/ 104 /2020	18-Feb-20	5 Years
16	R Chandrasegaran	AHUPC1432D			
17	Sudhir Kumar Jena	AASPS2187G			
18	K Murugavel	AKIPN9312K			
19	Vasan Chidambaram	ACEPV8596L			
20	K Ramdasan	AKTPR9734M			

Copies of the aforementioned SEBI orders are enclosed. Participants are requested to take note of the same and ensure compliance

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Four



FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Tariff Sheet (Yearly)	April 30 th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.
Risk based supervision of Participants (October - March)	April 30 th every year.	Through e-PASS	Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (October - March)	May 15 th every year	Through e-PASS	Circular No. NSDL/POLICY/2019/0063 dated September 25, 2019