

Circular No.: NSDL/POLICY/2020/0031

March 16, 2020

**Subject: Updates to UNSC's 1267/1989 ISIL (Da'esh) & Al-Qaida Sanctions List.**

All Participants are hereby informed that SEBI vide its press release dated March 11, 2020 (copy enclosed) has informed about the updates on UNSC's 1267/ 1989, ISIL (Da'esh) & Al-Qaida Sanctions List for necessary compliance as required under provisions of SEBI Master Circular Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under dated October 15, 2019 which inter-alia states that registered intermediaries should ensure that accounts are not opened in the name of anyone whose name appears in updated list of individuals and entities which are subject to various sanction measures such as freezing of assets/accounts, denial of financial services etc., as approved by the Security Council Committee established pursuant to various United Nations' Security Council Resolutions (UNSCRs).

In this regard, UNSC has released the following press release and sanctions list

- 1) Press release SCA/2/20 (06) dated 4 March 2020 regarding addition of three entities, namely, QDe.164: JAMAAH ANSHARUT DAULAH, QDe.165: ISLAMIC STATE IN IRAQ AND THE LEVANT - LIBYA, and QDe. 166: ISLAMIC STATE IN IRAQ AND THE LEVANT - YEMEN to UNSC's 1267/ 1989 ISIL (Da'esh) & Al-Qaida Sanctions List, issued by the United Nations Security Council (UNSC).
- 2) The UNSC press release(s) concerning amendments to the list are available at URL: <https://www.un.org/securitycouncil/sanctions/1267/press-releases>
- 3) The latest versions of the Sanctions list are accessible on the UN Security Council's website at the following URL:
  - a. List issued by the UNSC Committee established pursuant to resolution 1989 (2011) of individuals and entities linked to ISIL (Da'esh) and AlQaida  
[https://www.un.org/securitycouncil/sanctions/1267/aq\\_sanctions\\_list](https://www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list)
  - b. List issued by the UNSC Committee established pursuant to resolution 1988 (2011) of individuals and entities linked to Taliban  
<https://www.un.org/securitycouncil/sanctions/1988/materials>



- 4) The details of the sanctions measures and exemptions are available at the following URL:  
[https://www.un.org/securitycouncil/sanctions/1267#further\\_information](https://www.un.org/securitycouncil/sanctions/1267#further_information)

Participants are advised as under:

1. Follow the procedure as mentioned in the Central Government Order dated March 14, 2019 detailing the implementation of Section 51A of Unlawful Activities (Prevention) Act, 1967.
2. Participants should scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list and also ascertain the same for future accounts as well (As per Clause 2.8.1 of SEBI "Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under" issued on October 15, 2019.
3. Forward any request for de-listing received, electronically to Joint Secretary (CTCR) (e-mail id: [jsctcr-mha@gov.in](mailto:jsctcr-mha@gov.in)) and a copy of the same to MHA, Joint Secretary (UNP) (e-mail id: [jsunp@mea.gov.in](mailto:jsunp@mea.gov.in)).

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
**Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Tariff Sheet (Yearly)	April 30 <sup>th</sup> every year.	By email at <a href="mailto:dpfees@nsdl.co.in">dpfees@nsdl.co.in</a>	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.