

Circular No.: NSDL/POLICY/2020/0030

March 16, 2020

Subject: Yes Bank Limited Reconstruction Scheme, 2020.

Attention of Participants are invited to NSDL Circular No. NSDL/POLICY/2020/0029 dated March 15, 2020 regarding Yes Bank Limited Reconstruction Scheme, 2020.

Further, Participants are hereby informed that the Ministry of Finance (Department of Financial Services) has vide its Notification dated March 16, 2020 notified as below:

“In respect of all cash transactions entered into by the existing shareholders before the commencement of the scheme, settlement will happen without the application of the lock-in period, subject to the condition that the shares so acquired shall be deemed to be part of the shareholding of the buyer and the lock-in period will apply to the shareholding of the buyer. Accordingly, if X has sold 1000 shares to Y before commencement of the Scheme, X shall deliver 1000 shares to Y on settlement date. The lock-in as per para 3(8) will then apply to Y.”

Participants are requested to take note of the above and inform their clients accordingly.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Tariff Sheet (Yearly)	April 30 th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.

**National Securities Depository Limited**

4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013, India
Tel.: 91-22-2499 4200 | Fax: 91-22-2497 6351 | Email: info@nsdl.co.in | Web: www.nsdl.co.in
Corporate Identity Number: U74120MH2012PLC230380