



PR No.08/2020

Implementation of Section 51A of UAPA, 1967 - Addition of 1 entry in 1267 List

1. Clause 2.8 of the SEBI Master Circular **Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under** date Oct 15, 2019 which inter-alia states that registered intermediaries should ensure that accounts are not opened in the name of anyone whose name appears in updated list of individuals and entities which are subject to various sanction measures such as freezing of assets/accounts, denial of financial services etc., as approved by the Security Council Committee established pursuant to various United Nations' Security Council Resolutions (UNSCRs). Registered intermediaries shall continuously scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list.
2. In this regard, UNSC has released the following press release and sanctions list:
 - a) Security Council document No. SC/14097 (Note SCA/2/20 (2)) dated 4 February 2020 regarding addition of one entry [QDi.425; AMADOU KOUFA of Malian origin] to its Sanctions List.

The UNSC press releases concerning amendments to the list are available at URL:

<https://www.un.org/securitycouncil/sanctions/1267/press-releases>

- b) The latest versions of the Sanctions list are accessible on the UN Security Council's website at the following URL:
 - i) List issued by the UNSC Committee established pursuant to resolution 1989 (2011) of individuals and entities linked to ISIL (Da'esh) and Al-Qaida
https://www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list
 - ii) List issued by the UNSC Committee established pursuant to resolution 1988 (2011) of individuals and entities linked to Taliban

<https://www.un.org/securitycouncil/sanctions/1988/materials>

3. Stock Brokers/Depositories/AMFI/Registered intermediaries are advised to
 - a) circulate these press releases to respective members/ participants/AMC's for necessary compliance as required.
 - b) follow the procedure as well as advise their respective members/participants/AMC's to follow the procedure as mentioned in the Central Government Order dated March 14, 2019 detailing the implementation of Section 51A of Unlawful Activities (Prevention) Act, 1967.
 - c) Scan all existing accounts to ensure that no accountant is held by or linked to any of the entities or individuals included in the list and also ascertain the same for future accounts as well (As per Clause 2.8.1 of SEBI "Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under" issued on Oct 15, 2019, and
 - d) Forward any request for de-listing received by them, electronically to Joint Secretary (CTCR) (e-mail id: jsctcr-mha@gov.in) and a copy of the same to MHA, Joint Secretary (UNP) (e-mail id: jsunp@mea.gov.in).

Mumbai

February 18, 2020