

Circular No.: NSDL/POICY/2020/0024

March 2, 2020

Subject: Implementation of Section 51A of UAPA 1967 - Updates to UNSC's 1267/1989 ISIL (Da'esh) List & Al-Qaida Sanctions List and 1533 DRC Sanctions List.

All Participants are hereby informed that SEBI vide its press releases dated February 18, 20, 25 & 26, 2020 (copies enclosed) has informed about the updates on UNSC 1267/1533, ISIL (Da'esh) & Al-Qaida Sanctions List and UNSC's 1988 Taliban Sanctions List for necessary compliance as required under provisions of SEBI Master Circular Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under dated October 15, 2019 which inter-alia states that registered intermediaries should ensure that accounts are not opened in the name of anyone whose name appears in updated list of individuals and entities which are subject to various sanction measures such as freezing of assets/accounts, denial of financial services etc., as approved by the Security Council Committee established pursuant to various United Nations' Security Council Resolutions (UNSCRs).

In this regard, UNSC has released the following press release and sanctions list:

- a) Security Council document No. SC/14097 (Note SCA/2/20 (2)) dated February 4, 2020 regarding addition of one entry [QDi.425; AMADOU KOUFA of Malian origin] to its Sanctions List.
- b) Security Council document No. SC/14101 (Note SCA/1/20 (07)) dated February 6, 2020 regarding addition of one new entry [Cdi.036; SEKA BALUKU of Ugandan origin] to its Sanctions List, issued by the United Nations Security Council (UNSC).
- c) Security Council document No. SC/14113 (Note SCA/2/20(03)) dated 18 February 2020 regarding removal of two entries [QDi.063; AL-MOKHTAR BEN MOHAMED BEN AL-MOKHTAR BOUCHOUCHA of Tunisian origin and QDi.176 IMAD BEN BECHIR BEN HAMDA AL-JAMMALI of Tunisian origin] from 1267/ 1989 ISIL (Da'esh) & Al-Qaida Sanctions List, issued by the United Nations Security Council (UNSC).
- d) Security Council document Note SCA/2/20 (04) dated 23 February 2020 regarding addition of two entities [QDe.162 Islamic State West Africa Province (ISWAP) and QDe.163 Islamic State in the Greater Sahara (ISGS)] to UNSC's 1267/ 1989 ISIL (Da'esh) & Al-Qaida Sanctions List.

The UNSC press releases concerning amendments to the list are available at URL:

<https://www.un.org/securitycouncil/sanctions/1267/press-releases>
www.un.org/securitycouncil/sanctions/1533/press-releases



The latest version of the Sanctions list is accessible on the UN Security Council's website at the following URL:

www.un.org/securitycouncil/sanctions/1533/materials

List issued by the UNSC Committee established pursuant to resolution 1989 (2011) of individuals and entities linked to ISIL (Da'esh) and Al-Qaida

https://www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list

List issued by the UNSC Committee established pursuant to resolution 1988 (2011) of individuals and entities linked to Taliban

<https://www.un.org/securitycouncil/sanctions/1988/materials>

The details of the sanctions measures and exemptions are available at the following URL:

https://www.un.org/securitycouncil/sanctions/1267#further_information

Participants are advised as under:

1. Follow the procedure as mentioned in the Central Government Order dated March 14, 2019 detailing the implementation of Section 51A of Unlawful Activities (Prevention) Act, 1967.
2. Participants should scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list and also ascertain the same for future accounts as well (As per Clause 2.8.1 of SEBI "Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under" issued on Oct 15, 2019
3. Forward any request for de-listing received, electronically to Joint Secretary (CTCR) (e-mail id: jsctcr-mha@gov.in) and a copy of the same to MHA, Joint Secretary (UNP) (e-mail id: jsunp@mea.gov.in).

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Four

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Charge Structure	April 30 th every year.	By email at dpfees@nsdl.co.in	<ol style="list-style-type: none">1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006.2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.