

(To be printed on the letter head of the Participant and submitted to
dpservices@nsdl.co.in)

Date:

To,

Participant Interface Department
National Securities Depository Limited
4th Floor, "A" Wing, Trade World,
Kamala Mills Compound,
Senapati Bapat Marg,
Lower Parel,
Mumbai – 400 013

Dear Sir,

With reference to NSDL Circular No. NSDL/POICY/2020/0015 dated January 30, 2020, We wish to avail the NSDL STP Navigator facility of NSDL to seamlessly upload Instruction/Transaction related files on e-DPM. In this regard, **<Name of Participant>** undertakes and confirms as follows:

- (1) The mechanism of Maker/Checker is incorporated in our DP back office system and we adhere and comply to it.
- (2) All the pre-requisites & security measures for interfacing with NSDL STP Navigator are put in place.
- (3) **<Name of Participant>** takes responsibility that all the instructions executed in eDPM system by using aforesaid functionality will be in accordance with Client instructions.
- (4) **<Name of Participant>** will ensure compliance of the provisions of Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 2018, Prevention of Money Laundering Act, 2002, Bye Laws and Business Rules of NSDL as well as any other guidelines issued by NSDL / SEBI from time to time.

Name and Designation of Compliance Officer

Signature of Compliance Officer