

Circular No.: NSDL/POLICY/2020/0012

January 24, 2020

Subject: Updates to UNSC's 1267/1989 ISIL (Da'esh) & Al-Qaida Sanctions List and UNSCs 1988 Taliban Sanctions List.

All Participants are hereby informed that SEBI vide its press release dated January 22, 2020 (copy enclosed) has informed about the updates on UNSC 1267/ 1989, ISIL (Da'esh) & Al-Qaida Sanctions List and UNSC's 1988 Taliban Sanctions List for necessary compliance as required under provisions of SEBI Master Circular Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under dated October 15, 2019 which inter-alia states that registered intermediaries should ensure that accounts are not opened in the name of anyone whose name appears in updated list of individuals and entities which are subject to various sanction measures such as freezing of assets/accounts, denial of financial services etc., as approved by the Security Council Committee established pursuant to various United Nations' Security Council Resolutions (UNSCRs). Registered intermediaries shall continuously scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list.

In this regard, UNSC has released the following press release and sanctions list:

a) Press Release SC/14078 dated January 14, 2020 titled 'Security Council ISIL (Da'esh) and Al-Qaida Sanctions Committee Amends 85 Entries on Its Sanctions List'

The UNSC press releases concerning amendments to the list are available at URL: <https://www.un.org/securitycouncil/sanctions/1267/press-releases>

b) List issued by the UNSC Committee established pursuant to resolution 1989 (2011) of individuals and entities linked to ISIL (Da'esh) and Al-Qaida

c) List issued by the UNSC Committee established pursuant to resolution 1988 (2011) of individuals and entities linked to Taliban

The latest versions of the above Sanctions list are accessible on the UN Security Council's website at the following URL:

a) https://www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list

b) <https://www.un.org/securitycouncil/sanctions/1988/materials>

Participants are advised to take note of the above and ensure compliance with the aforementioned instructions pertaining to UAPA and update the list of individuals/entities as circulated by SEBI and



ensure that the accounts are not opened in the name of anyone whose name appears in updated list of individuals.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (July - December)	January 31st every year	Through e-PASS	Circular No. NSDL/ POLICY/2019/0088 dated December 17, 2019.