



PR No.04/2020

Implementation of Section 51A of UAPA, 1967 - Updates to UNSC's 1267/ 1989 ISIL (Da'esh) & Al-Qaida Sanctions List and UNSC's 1988 Taliban Sanctions List

1. Please refer to clause 2.8 of the SEBI Master Circular **Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under** date Oct 15, 2019 which inter-alia states that registered intermediaries should ensure that accounts are not opened in the name of anyone whose name appears in updated list of individuals and entities which are subject to various sanction measures such as freezing of assets/accounts, denial of financial services etc., as approved by the Security Council Committee established pursuant to various United Nations' Security Council Resolutions (UNSCRs). Registered intermediaries shall continuously scan all existing accounts to ensure that no account is held by or linked to any of the entities or individuals included in the list.
2. In this regard, UNSC has released the following press release and sanctions list:
 - a) Press Release SC/14078 dated 14 January 2020 titled 'Security Council ISIL (Da'esh) and Al-Qaida Sanctions Committee Amends 85 Entries on Its Sanctions List'
The UNSC press releases concerning amendments to the list are available at URL: <https://www.un.org/securitycouncil/sanctions/1267/press-releases>
 - b) List issued by the UNSC Committee established pursuant to resolution 1989 (2011) of individuals and entities linked to ISIL (Da'esh) and Al-Qaida
 - c) List issued by the UNSC Committee established pursuant to resolution 1988 (2011) of individuals and entities linked to Taliban

The latest versions of the above Sanctions list are accessible on the UN Security Council's website at the following URL:

- a) https://www.un.org/securitycouncil/sanctions/1267/aq_sanctions_list
- b) <https://www.un.org/securitycouncil/sanctions/1988/materials>

3. Registered intermediaries are advised to ensure compliance with the aforementioned instruction pertaining to UAPA and update the list of individuals/entities as circulated by SEBI and ensure that the accounts are not opened in the name of anyone whose name appears in updated list of individuals.

Mumbai

January 22, 2020