

Circular No.: NSDL/POLICY/DDP/2020/0009

Date: June 29, 2020

**Subject: Temporary relaxation in processing of documents pertaining to FPIs due to COVID-19.**

Attention of Participants who are registered with SEBI as Designated Depository Participants (DDPs) and Custodian of securities (Custodians) are invited to SEBI circular no. SEBI/HO/FPI&C/CIR/P/2020/056 dated March 30, 2020 (informed vide NSDL Circular no. NSDL/POLICY/DDP/2020/0005 dated April 1, 2020) regarding temporary relaxation in processing of documents pertaining to FPIs due to COVID-19.

In this context, SEBI had vide Circular No. SEBI/HO/FPI&C/CIR/P/2020/056 dated March 30, 2020 prescribed temporary relaxation in processing of documents pertaining to FPIs due to COVID-19. Accordingly, in view of the prevailing situation due to COVID-19 pandemic and representations received from various stakeholders, it has been decided vide SEBI Circular no. SEBI/HO/FPI&C/CIR/P/2020/104 dated June 23, 2020 (copy enclosed) that the temporary relaxations shall be extended to August 31, 2020. It is stated at **point no. 2 & 3** of aforesaid SEBI circular dated June 23, 2020 as under:

***“2. In view of the prevailing situation due to COVID-19 pandemic and representations received from various stakeholders, it has been decided that the temporary relaxations shall be extended to August 31, 2020.***

***3. All other terms and conditions specified in the aforesaid circular dated March 30, 2020 shall remain unchanged.”***

DDPs/Custodians are advised to take note of the same and ensure compliance.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
**Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	June 30 <sup>th</sup> 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No. NSDL/ POLICY/2020/0062 dated May 7, 2020. 4. Circular No. NSDL/ POLICY/2020/0083 dated June 16, 2020
Compliance Certificate (January - June)	July 31st every year	Through e-PASS	1. Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 2. Circular No. NSDL/POLICY/2018/0028 dated May 21, 2018. 3. Circular No. NSDL/POLICY/2019/0088 dated December 17, 2019.


**National Securities Depository Limited**

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