

Circular No.: NSDL/POLICY/DDP/2020/0008

April 15, 2020

Subject: DEA order dated April 13, 2020 - Entities from Mauritius under SEBI (Foreign Portfolio Investors) Regulations, 2019.

Attention of Participants who are registered with SEBI as Designated Depository Participants (DDPs) / Custodian of Securities are invited to Ministry of Finance [Department of Economic Affairs (DEA)] order no. F. No. 10/6/2019-EM dated April 13, 2020 (copy enclosed) regarding entities from Mauritius under SEBI (Foreign Portfolio Investors) Regulations, 2019.

It is stated in the aforesaid DEA order dated April 13, 2020 that ***“the central Government hereby specifies Mauritius as an eligible country for the purposes of regulation 5 (a)(iv) of SEBI (Foreign Portfolio Investors) Regulations 2019”***.

Designated Depository Participants (DDPs) / Custodian of Securities are requested to take note of the same.

For and on behalf of
National Securities Depository Limited

**Chirag Shah
Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15 th of the following month.	By email at Participant-Interface@nsdl.co.in	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15 th of the following month.	By email at dpinfosec@nsdl.co.in	Circular No. NSDL/POLICY/2019/0076 dated November 13, 2019.
Tariff Sheet (Yearly)	April 30 th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.
Risk based supervision of Participants (October - March)	April 30 th every year.	Through e-PASS	Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (October - March)	May 15 th every year	Through e-PASS	Circular No. NSDL/POLICY/2019/0063 dated September 25, 2019