

Circular No.: NSDL/POLICY/2019/0060

September 6, 2019

Subject: Handling of Clients' Securities by Trading Members / Clearing Members.

Attention of Participants is invited to SEBI Circular no. **SEBI/HO/MIRSD/DOP/CIR/P/2019/95** dated August 29, 2019 regarding Handling of Clients' Securities by Trading Members/Clearing Members. Copy of the same is enclosed.

Participants are requested to take note of the above.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Networth Certificate and Audited Financial Statements.	September 30 th every year.	By hard copy to Participant Interface Department.	Circular No. NSDL/PI/98/414 dated September 1, 1998.

**National Securities Depository Limited**

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