

Circular No.: NSDL/POLICY/2019/0043

July 23, 2019

Subject: United Nations Security Council Consolidated List.

All Participants are hereby informed that SEBI vide its email dated July 19, 2019 has informed about insertions/ deletions and amendments made to the UNSC Sanctions Committee list since January 2019 as received from Ministry of External Affairs (list enclosed) for necessary compliance as required under provisions of SEBI "Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under" issued on July 04, 2018.

These UNSC Sanctions Committee list can also be accessed from the following URL:
<https://www.un.org/securitycouncil/sanctions/1267/press-releases>

Participants are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Twelve

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (January - June)	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 and Circular No. NSDL/ POLICY/2018/0028 dated May 21, 2018.

