

Annexure D

Revised off-market transfer's reason codes applicable with effect from September 14, 2019 without track changes mode:

Reasons/purpose of the transfer of securities between BO accounts held in NSDL system.		Reasons/purpose of the transfer of securities from BO account held in NSDL system to account held in other depository.	
Reason Code	Reasons / purpose to be selected	Reason Code	Reasons / purpose to be selected
1	Off-market Sale	1	Off-market Sale
2	Margin to stock broker / PCM	2	Margin to stock broker / PCM
3	Margin returned by stock broker / PCM	3	Margin returned by stock broker / PCM
4	Transfer to Own account	4	Transfer to Own account
12	Buy-back	12	Buy-back
13	Open offer for Acquisition	13	Open offer for Acquisition
91	Payout - On payment for unpaid securities	91	Payout - On payment for unpaid securities
92	Gift	92	Gift
93	Donation	93	Donation
94	Refund of securities by IEPF Authority	94	Refund of securities by IEPF Authority
95	ESOP/Transfer to employee	95	ESOP/Transfer to employee
96	Implementation of Govt./Regulatory Direction/Orders	96	Implementation of Govt./Regulatory Direction/Orders
97	Erroneous Transfer pertaining to Client Securities	97	Erroneous Transfer pertaining to Client Securities
98	Meeting Legitimate Dues of Stock Broker	98	Meeting Legitimate Dues of Stock Broker