

Annexure A

Revised off-market transfer's reason codes applicable with effect from August 3, 2019 with track changes mode:

Reasons/purpose of the transfer of securities between BO accounts held in NSDL system.		Reasons/purpose of the transfer of securities from BO account held in NSDL system to account held in other depository.	
Reason Code	Reasons / purpose to be selected	Reason Code	Reasons / purpose to be selected
1	Off-market Sale	1	Off-market Sale
2	Margin to stock broker / PCM	2	Margin to stock broker / PCM
3	Margin returned by stock broker / PCM	3	Margin returned by stock broker / PCM
4	Transfer to Own account	4	Transfer to Own account
5	Open Offer / Buy-back	5	Open Offer/Buy-back
6	Transfers to PMS account	6	Transfers to PMS account
7	Transfers from PMS account	7	Transfers from PMS account
8	Gift / Donation	8	Gift / Donation
11	Transmission	9	Account Closure
12	Buy-back	10	On-market Sale (Transfer towards Pay-in)
13	Open offer for Acquisition	11	Transmission
91	Payout - On payment for unpaid securities	12	Buy-back
92	Gift	13	Open offer for Acquisition
93	Donation	91	Payout - On payment for unpaid securities
94	Refund of securities by IEPF Authority	92	Gift
95	ESOP/Transfer to employee	93	Donation
96	Govt. / Regulatory directions orders	94	Refund of securities by IEPF Authority
	Implementation of Govt./Regulatory Direction/Orders		
97	Reversal of erroneous transfers	95	ESOP/Transfer to employee
	Erroneous Transfer pertaining to Client Securities		
98	Legitimate Dues of the stock broker	96	Govt. / Regulatory directions orders
	Meeting Legitimate Dues of Stock Broker		Implementation of Govt./Regulatory Direction/Orders
99	Other (please specify)	97	Reversal of erroneous transfers
			Erroneous Transfer pertaining to Client Securities
		98	Legitimate Dues of the stock broker
			Meeting Legitimate Dues of Stock Broker
		99	Other (please specify)