

Circular No.: NSDL/POLICY/2019/0040

July 10, 2019

Subject: SEBI Circular on Framework for the process of accreditation of investors for the purpose of Innovators Growth Platform.

Attention of Participants is invited to SEBI Circular no. SEBI/HO/CFD/DIL2/CIR/P/2019/67 dated May 22, 2019 (copy enclosed) regarding framework for the process of accreditation of investors for the purpose of Innovators Growth Platform.

Investors having a demat account with NSDL, will make an application to its depository participant for recognition as an Accredited Investor (AI). Accordingly, participants are requested to take note that the applications shall be submitted by the investors to the participants, where they hold the demat account. The format for Application for Accreditation applicable to Individuals and Non-Individuals is enclosed at Annexure 1 & 2 respectively.

Participants are requested to take note of the above. Further, the detailed procedure / guidelines for mapping the accredited investor in the system will be intimated separately.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Three

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15 th of the following month	By email at Participant-Interface@nsdl.co.in	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15th of the following month.	By email at dpinfosec@nsdl.co.in	Circular No. NSDL/POLICY/2019/0039 dated July 9, 2019.
Compliance Certificate (January - June)	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 and Circular No. NSDL/ POLICY/2018/0028 dated May 21, 2018.