

Circular No.: NSDL/POLICY/2019/0039

July 9, 2019

Subject: SEBI Circular on Cyber Security & Cyber Resilience framework of Depository Participants.

Attention of Participants is invited to NSDL Circular No. NSDL/POLICY/2018/0069 dated December 06, 2018 regarding SEBI Circular on Cyber Security & Cyber Resilience framework of Depository Participants.

In terms of clause 52 of the Framework for Cyber Security and Cyber Resilience of the said SEBI Circular, Participants are required to submit quarterly reports containing information on cyber-attacks and threats experienced by Participants and measures taken to mitigate vulnerabilities, threats and attacks including information on bugs / vulnerabilities / threats that may be useful for other Participants.

Accordingly, Participants shall be required to submit the report of the cyber-attacks and threats experienced and measures taken to mitigate vulnerabilities, threats and attacks including information on bugs / vulnerabilities / threats that may be useful for other Participants, for the said quarter, as per below details:

- a) The quarterly report (excel format) as prescribed at **Annexure 1** needs to be filled and submitted to NSDL on email ID dpinfosec@nsdl.co.in.
- b) The schedule to be followed for the submission of the quarterly report on cyber-attacks and threats, to NSDL is as under:

Quarter	Due date for submission of form to NSDL
January - March	April 15
April – June	July 15
July - September	October 15
October - December	January 15

In view of the above, Participants are requested to take note of the revised compliance schedule enclosed at **Annexure 2**.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two



FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15 th of the following month	By email at <u>Participant-Interface@nsdl.co.in</u>	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15th of the following month.	By email at <u>dpinfosec@nsdl.co.in</u>	Circular No. NSDL/POLICY/2019/0039 dated July 9, 2019.
Compliance Certificate (January - June)	July 31st every year	Digitally signed compliance certificate by email at <u>nsdl-bp-inspection@nsdl.co.in</u> Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 and Circular No. NSDL/ POLICY/2018/0028 dated May 21, 2018.